

**Graduate Studies Handbook**

2022-2023

Notes of Guidance for *DPhil in Information, Communication and the Social Sciences and* *DPhil in Social Data Science* students and supervisors at the Oxford Internet Institute

Version 1.0, October 2022

Issued by the Graduate Studies Committee

Oxford Internet Institute

University of Oxford

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# Introduction

## Welcome from the Director of Graduate Studies

*As the Director of Graduate Studies for the Oxford Internet Institute, I would like to extend a warm welcome to the OII community. You join a growing group of current and former OII students who have studied for degrees here on our MSc and DPhil programmes, but also students who have taken part in shorter engagements such as our annual ‘Connected Life’ student conference, our annual Summer Doctoral Programme, and of course the many public events we hold at the OII. All the faculty and staff here at OII want to make your time here productive and rewarding, and we look forward to the opportunities we will have in the coming year to get to know you and to learn from you and your diverse experiences as we teach and supervise you in your work. As you will soon discover, the OII is a department with multidisciplinarity at its core, which is reflected both in the wide range of academic backgrounds of the faculty but also in the diversity of students who come here to better understand life online. Welcome!*

Greg Taylor, Director of Graduate Studies, OII

## Scope and purpose of this handbook

This handbook applies to students starting the DPhil programme in *Information Communication and the Social Sciences* and the *DPhil in Social Data Science* in 2022-23. The information in this handbook may be different for students starting in other years. It is hoped that you will find the information contained within the handbook to be a useful guide both while you are new to studying your course and throughout the duration of your studies. The handbook is also a source of reference for academic staff appointed as your supervisors and anyone else involved in teaching DPhil students in classes, seminars and lectures.

The information given here is an informal description and interpretation of some of the most relevant rules in the *Examination Regulations*. Any formal question has to be settled by reference to the Regulations and not this handbook. To keep it up to date, a copy of this handbook is available on [Canvas](https://login.canvas.ox.ac.uk/).

Comments on this handbook are welcome and should be sent to the OII’s DPhil Coordinator at [dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk). The Canvas version will contain the most up-to-date information.

*Disclaimer*

The Examination Regulations relating to DPhil degrees at the OII are available [online](https://examregs.admin.ox.ac.uk/Regulation?code=rdtoxfointeinst&srchYear=2022&srchTerm=1&year=2020&term=1); please note there are [OII-specific regulations](https://examregs.admin.ox.ac.uk/Regulation?code=rdtoxfointeinst&srchYear=2022&srchTerm=1&year=2022&term=1), [General Regulations for Research Degrees](https://examregs.admin.ox.ac.uk/Regulation?code=grgoveresedegr&srchYear=2022&srchTerm=1&year=2021&term=1), and [General Regulations for the DPhil](https://examregs.admin.ox.ac.uk/Regulation?code=grftdodoctofphil&srchYear=2022&srchTerm=1&year=2021&term=1). If there is a conflict between information in this handbook and the Examination Regulations, then you should follow the Examination Regulations. If you have any concerns please contact the DPhil Coordinator at [dphil@oii.ox.ac.uk](mailto:teaching@oii.ox.ac.uk).

The information in this handbook is accurate as at 1 October 2022; however, it may be necessary for changes to be made in certain circumstances, as explained at [www.graduate.ox.ac.uk/coursechanges](http://www.graduate.ox.ac.uk/coursechanges). If such changes are made, the department will publish a new version of this handbook on Canvas and students will be notified.

## Induction

**OII Induction Programme**

A general compulsory introductory meeting for all newly admitted graduates (Full and Part-Time) at the OII will be held at the beginning of the academic year in the week preceding the start of Michaelmas Term. During that first meeting they will be introduced to the OII’s Director, Director of Graduate Studies, DPhil Programme Directors and the DPhil Coordinator. This general introductory meeting will be followed by induction sessions introducing the new graduate students to OII premises, IT resources, and departmental policies. These general meetings will then be followed by separate meetings dealing with induction matters for the DPhil and MSc courses. In addition, students will be given a tour of the OII and will be formally introduced to faculty members.

All new students will be e-mailed the induction timetable, reading lists, and Graduate Studies Handbook before the induction meetings.

As soon as you arrive in Oxford you should obtain your **University Card** from your college. This is essential for access to the University’s email and computing systems and for registration as a reader at the OII’s departmental library, the *Bodleian Library* (see Section 1.4), and the Social Sciences Library located in the Manor Road Building (Manor Rd, Oxford OX1 3UQ). It is also used to access the OII’s premises at 1 St Giles, 34 St Giles and 41 St Giles.

Your college will also provide you with:

* information about Examination Regulations
* a leaflet on Oxford libraries and how to register with them
* a statement on data protection regarding personal student data, including a declaration for you to sign indicating your acceptance of that statement (please contact your college’s Data Protection Officer if you have not received it).

Additional information covering non-academic matters and facilities at the OII is available on the [OII intranet site](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Home.aspx)

**Divisional Induction Event**

All doctoral students are strongly encouraged to attend the Social Sciences Divisional Induction in on Thursday 6 October 2022, from 16:00-18:00. It will:

* show you how the division can support you throughout your doctorate
* give you opportunities to meet fellow new students from other departments
* connect you with existing students and staff, with plenty of time for your questions.

Students will be e-mailed on where to attend by the start of induction week.

## Laptop Specification for Students

All software taught on courses is available on the departmental servers. Students will be given instructions on how to access this software as part of the IT induction during Induction Week.

Students should also install the software on their own personal laptops, which will require that the laptop meet some minimum specifications. Most modern laptops should work with the software used on the course, but as a guideline, you should have a laptop capable of running Windows 10/11 and/or currently-supported versions of OSX/Linux distros.

Generally most software taught on the course is available on Windows, Mac, or Linux operating systems. Chromebooks, netbooks, or tablets are not suitable for the course. Please note that some packages might be Linux only; however, students will have access to this software via the departmental servers.

## Key sources of useful information

There are several key sources of information for OII DPhil Students.

* The OII’s[**website**](http://www.oii.ox.ac.uk). There you will find lists of Faculty and their research interests, which can help identify those working in fields similar to your own and a regularly updated events section, with information on OII activities.
* The OII [**intranet**](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Home.aspx)contains information on teaching and academic issues.
* The **University’s web** **pages** contain extensive information on numerous key aspects of graduate work. We note particularly useful ones below.
  + [**Oxford Students**](http://www.ox.ac.uk/students)
  + [**International Students**](https://www.ox.ac.uk/students/new/international)
  + [**Student Health and Welfare**](http://www.ox.ac.uk/students/welfare)
  + [**Examination Regulations**](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\examregs.admin.ox.ac.uk\)
  + [**Policy on Research Degrees**](https://academic.admin.ox.ac.uk/research-degrees)
  + [**Dates of Term**](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\o%09http:\www.ox.ac.uk\about\facts-and-figures\dates-of-term)
* The [**University Gazette**](https://gazette.web.ox.ac.uk/), published at least weekly in term time, contains a wide range of other university announcements, including forthcoming seminars and lectures. It can be read online, consulted in most libraries including the OII Library, and is distributed to all colleges.
* [**Canvas**](https://login.canvas.ox.ac.uk/)**:** The most up to date reading lists and course deadlines are held on Oxford’s Virtual Learning Environment, Canvas.
* **College Handbooks**: Please refer to your own College’s website - a list can be found [here](http://www.ox.ac.uk/admissions/graduate/colleges).
* Additionally, please check your **Oxford email** (via outlook.office365.com/, log in with your SSO) regularly as it will be used to send you important information about your course.

## Academic Progress—Whom to Contact

The Programme Directors have immediate responsibility for students on this course. The DPhil Coordinator is responsible for course administration and is the person you should contact in the first instance with any queries.

Your supervisor will remain the main point of contact for academic progress and will serve as liaison between you and other faculty members.

Students who wish to discuss any issues that they prefer not to discuss with the Programme Directors or their supervisor can also speak to the Director of Graduate Studies.

It is usually expected that students contact their college for any welfare or health related issues. If a student wishes to speak to someone at OII who is not directly involved in teaching or supervision please speak the Director of the OII. She can be contacted at [victoria.nash@oii.ox.ac.uk](mailto:douglas.thornton@oii.ox.ac.uk) or on 01865 287231.

The Social Science Division Graduate Studies Assistant who processes forms and keeps track of students’ status can be contacted at [graduate-studies-2@socsci.ox.ac.uk](mailto:graduate-studies-2@socsci.ox.ac.uk).

The OII has four trained harassment advisors who have volunteered to be confidential points of contact for concerns or questions. These are Mrs Laura Maynard ([laura.maynard@oii.ox.ac.uk](mailto:tim.davies@oii.ox.ac.uk); 01865 287222), Professor Sandra Wachter ([sandra.wachter@oii.ox.ac.uk](mailto:sandra.wachter@oii.ox.ac.uk); 01865 612326), Dr Grant Blank ([grant.blank@oii.ox.ac.uk](mailto:grant.blank@oii.ox.ac.uk); 01865 612336), Mrs Karen Mead ([karen.mead@oii.ox.ac.uk](mailto:karen.mead@oii.ox.ac.uk); 01865 612775) and Dr Kathryn Eccles ([kathryn.eccles@oii.ox.ac.uk](mailto:kathryn.eccles@oii.ox.ac.uk); 01865 612338).

# General programme structure and timetable

Students are expected to have completed at least a one- or two-year Master’s degree before embarking on their doctoral studies. The minimum period in which the programme can be completed is two years (four years for part-time students). This may occur if you have completed an advanced degree of more than one year’s duration. However, the normal expectation is that the DPhil will be completed in three years (six years for part-time students).

Full-time students should plan to spend an average of 40 hours per week on their studies to ensure the timely completion of their degree (20 hours per week for part-time students).

At Oxford there are three terms per academic year. These are called Michaelmas Term (October–December), Hilary Term (January–March), and Trinity Term (April–June). Each term consists of eight weeks of *term-time* (or ‘*full term*’) numbered Weeks 1 to 8. Each term starts on the Sunday of Week 1 and runs right up to Week 1 of the next term (even past the end of term-time). Reference is sometimes made to ‘Week 0’, which is simply the week preceding Week 1 (although it technically is part of the previous term).

The duration of the programme is as follows:

* Full-time DPhil students must submit a doctoral thesis within 12 terms from the date of admission as a graduate student. Part-time students are expected to submit their thesis within 24 terms.
* In special circumstances, students may apply for an extension of time to their maximum submission deadline through the Graduate Studies Committee. Students are permitted up to 6 full-time terms (or the part-time equivalent) of extensions. For full-time students, the absolute maximum terms for completion is 18 terms ; part-time students can have 27 terms.

|  |  |  |
| --- | --- | --- |
| **Exceptional Circumstances** | **Number of additional terms allowed** | |
|  | **DPhil (full-time)** | **DPhil (part-time)** |
| Deferral of Transfer of status | 2 | 4 |
| Deferral of Confirmation of status | 3 | 6 |
| Extension of Time | 6 | 12 |
| Suspension | 6 | 12 |

* It should be noted that for both full-time and part-time students, extensions are not automatic and require the student to demonstrate a feasible plan for completion and evidence of active progress on their research.
* Further extensions beyond the absolute maximum 18 terms (27 terms for part-time students) are possibly only in exceptional circumstances and requires special dispensation from the university’s Education Committee.

During the programme, students will move through three different stages: Probationer Research Student (PRS), DPhil Student, and Confirmed DPhil Student status. Further details about each stage are given in the sections that follow.

The primary goal for Probationer Research Students should be gathering materials to draft a research proposal for Transfer to DPhil status. The DPhil Student stage will normally be devoted to data gathering, preliminary analysis, and mapping the outline structure of the thesis. Students should make significant progress in the writing their thesis during this stage, drafting sufficient material for the Confirmation of Status application. Thesis research may include a period of fieldwork away from Oxford. The Confirmed DPhil Student stage will comprise any final data gathering and analysis, writing the final chapters of the thesis, submission of the thesis for final examination, and the final examination of the thesis.

For further information on DPhil milestones see the University’s [DPhil milestones page](https://www.ox.ac.uk/students/academic/guidance/graduate/research/status/DPhil) and in Appendices L and M. Students are expected to be fully engaged in the intellectual life of the department throughout their studies, including attendance at DPhil workshops, participation in departmental seminars, OII research projects and the OII’s events programme. Students are encouraged to make a significant contribution to a workshop or event, and they should consider ways throughout their studies to communicate about their work to the world at large.



## Scheduling for Part-Time Students

Part-time students will normally be expected to spend a minimum of **one day per week** in Oxford during term time attending seminars, meeting with faculty, and attending relevant talks and events. Part-time students will also be encouraged to spend at least **two to four additional full days per term** (for a total of 30 days minimum annually) working in Oxford and participating in relevant research seminars and research events, so as to integrate more fully into the department. Each part-time student’s supervisor should discuss their supervisees’ attendance at research and training events (some of which may be done online). This will be monitored through the student self-reporting system, GSR.

Please also refer to the course-specific schedules for part-time students in ICSS (section 3.3) and SDS (section 4.3).

## Coursework Requirements

DPhil students must satisfactorily complete the required coursework component of their programme as a necessary precondition for progressing from Probationer Research Student status to DPhil Student status. Further details on required coursework can be found in sections 3.2 (for the DPhil ICSS) and 4.2 (for the DPhil SDS).

We also strongly recommend that students take additional methods classes as appropriate to their topic of study, either at OII or across the University, such as those offered in the ESRC Doctoral Training Partnership and the research training offered by the Division of Social Sciences. Decisions about which courses and training sessions to attend should be made in consultation with supervisors.

### Waiver of Core Course Requirements

The requirement to pass a course will automatically be waived for any student who has previously passed that course as part of an MSc at the OII. Please also see the course-specific waiver sections for further details.

In some other circumstances a waiver of one or more of the taught requirements may be granted.

* If a student has previously completed training equivalent to that provided by one of the core courses then a waiver of the requirement to complete the course in question may be considered.
* A waiver may also be considered for students who wish to replace one or more of the core taught courses with alternative arrangements more suited to their training needs. Such alternative arrangements might include taking an alternative advanced course taught at Oxford or submitting a more advanced piece of coursework for assessment to the course convenor.
* In all cases, students who wish to be considered for a waiver should discuss the matter with their supervisor and the course convenor at the earliest opportunity. Waivers must be received in writing from the DPhil Programme Director prior to the normal assessment deadline for the waived requirement.

### Coursework Deadline Extension Requests

Extensions may be granted **in exceptional circumstances only** and must be obtained in writing from the DPhil Programme Director. An extension must be obtained **in advance** of the submission deadline unless the circumstances giving rise to the extension make this impossible.

Students can only apply for extensions if there are clear medical or personal circumstances that have affected their ability to submit on time. Good justifications for an extension typically

1. are outside the student’s control, and
2. could not reasonably have been anticipated and planned around.

In the case of a coursework essay, a revised extended deadline will be set that reflects the severity of the circumstances. This new deadline should be treated as strict unless a further extension has been obtained. In the case of a scheduled written exam, students with grounds for an extension will be offered alternative assessment arrangements.

### Late Submission and Non-Attendance

If an extension or waiver has not been granted, a penalty for late essays will be enforced, with 5 marks out of the total 100 marks possible deducted every day after the deadline until the essay is handed in.

Students who fail to attend a scheduled written exam without obtaining an extension or waiver receive a failing mark of 0.

### Failures and Resubmission of Coursework

A student who fails any required assessment will be permitted one further attempt. All resubmissions should be submitted by Monday of week 4 of Trinity Term by 12 noon. Extensions to the resubmission deadline may only be granted in exceptional circumstances by the DPhil Programme Director.

# DPhil in Information, Communication and the Social Sciences



## Aims of the programme

The DPhil programme is designed to help you:

* Develop exceptional research skills.
* Gain knowledge of information, communication and the social sciences, enabling you to place emerging technologies into a variety of historical and comparative contexts.
* Undertake original research which makes a significant and substantial contribution to knowledge of the subject.
* Communicate your ongoing and completed work to academic and non-academic audiences in verbal, written, and other formats.
* Produce a lucid and scholarly thesis including a satisfactory abstract for examination.

On completion of the DPhil programme, it is expected that you will have developed your knowledge and understanding of:

* The application of one or more of the social sciences to a detailed study of the Internet or related information and communication technologies and their societal implications.
* Practices and technologies relevant to the Internet and related information and communication technologies.
* Theories and techniques of social sciences research applicable to the field of information and communication and of emerging technologies in particular.
* Modes of communicating and applying research in the field of information and communication to such issues as the design of new technologies and the formation of public policy.
* The qualities and transferable skills necessary to excel in teaching, research, policymaking or business in your studied field.
* Leading-edge research methods relevant to investigating emerging information and communication technologies in the social sciences.

## Core Courses: DPhil in Information, Communication and the Social Sciences

These are the four formal taught requirements for the OII DPhil in Information, Communication and the Social Sciences:

* **Digital Social Research: Methods Core** teaches essential research design and methods skills expected to undertake original research.
* **Digital Social Research: Statistics Core** teaches essential statistical interpretation skills.
* **Advanced Social Theory** focuses on how social scientists think
* **DPhil Seminar**: The DPhil Programme Directors lead a weekly seminar series during term time. The seminars are an opportunity for students to present and receive feedback on their research, to receive training in various aspects of graduate skills, and to build and maintain a supportive peer-group network. There is no formal assessment for this seminar.

### Core course waiver policy

A student who has completed the MSc Social Science of the Internet or the MSc in Social Data Science is automatically granted a waiver for Digital Social Research: Statistics Core, Digital Social Research: Methods Core, but not for Advanced Social Theory.

A waiver absolves a student from the formal requirement to satisfactorily complete a course. Students in possession of a waiver are nevertheless welcome to continue to attend the course in question and are encouraged to discuss their wider training needs with their supervisor on a regular basis.

All DPhil students may apply for an ad hoc waiver of any required course on the basis that they have equivalent prior training. Such applications are considered by the DPhil programme director.

## Part-Time DPhil ICSS Core Course Schedule

Part-time students will be required to spend at least 30 days physically in Oxford each year, and will be expected to commit approximately 20 hours per week to their studies. Part-time DPhil students will be expected to take the core classes over two years, which currently means taking *Methods Core* and *Advanced Social Theory* in Year 1, and Statistics Core in Year 2. In individual cases, permission may be granted to swap these; this should be discussed with the student’s supervisor and the ICSS Programme Director. The courses planned for Year 1 have been scheduled to allow part-time students to take them on a single day. The *Statistics Core* course, planned for Year 2, is scheduled on Thursdays. Students may choose to switch this order upon consultation with their supervisor. Alternative combinations may require attendance of courses on two different days of the week.

**Typical Timeline of Part Time Student**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Michaelmas** | **Hilary** | **Trinity** |
| **Year 1** | 2 Core Courses: Advanced Social Theory and Methods Core | 1 Optional Course | Develop research proposal and begin drafting Transfer document |
| **Year 2** | 1 Core Course: Stats Core | 1 Optional Course | Submit Transfer application |
| **Year 3** | Progress to field work/data collection | Final deadline for Transfer |  |
| **Year 4** |  |  | Submit Confirmation application |
| **Year 5** | Progress to writing up | Final deadline for Confirmation |  |
| **Year 6** |  |  | Aim to submit final thesis |
| **Year 7** |  |  |  |
| **Year 8** |  |  | Final deadline for submission |

## Digital Social Research: Methods Core

DPhil students are assessed through submission of a 4-5,000-word essay due by noon on Monday of week 9 of Michaelmas Term. This should be submitted electronically via Canvas.

## Digital Social Research: Statistics Core

DPhil students are assessed through a written exam in week 9 of Michaelmas Term. The date, time and location will be announced after the start of term. Note that while this course is taken in conjunction with the MSc cohort, DPhil students sit the examination in the department, rather than in the Examination Schools with the MSc students.

Should any student fail the exam, they will need to resit the assessment later in the year (usually in Trinity Term), or agree an alternative assessment arrangement with the course convenor and DPhil Programme Director.

## Advanced Social Theory

The course will be formally assessed by two short (2,000–3,000 words) essays due during Michaelmas Term. The first essay is due by 12:00pm on Friday of Week 5. The second essay is due by 12:00pm on Friday of week 9.

## Summary of 2022-23 Coursework Deadlines

|  |  |
| --- | --- |
| **Course/Name of Assessment** | **Deadline** |
| Advanced Social Theory Coursework Essay 1 | Friday, 12 noon, Week 5 of Michaelmas Term |
| Advanced Social Theory Coursework Essay 2 | Friday, 12 noon, Week 9 of Michaelmas Term |
| Digital Social Research: Methods Core written submission | Friday, 12 noon, Week 0 of Hilary Term |
| Digital Social Research: Statistics Core in-person examination | Thursday, Week 9 of Michaelmas Term, 9:30-12:30 |

# DPhil in Social Data Science



## Aims of the programme

The DPhil programme is designed to help you:

* Develop exceptional research skills.
* Develop and adapt advanced quantitative methods to analyse large, structured and unstructured, complex datasets in order to improve decision making and answer social science research questions.
* Undertake original research which makes a significant and substantial contribution to knowledge of the subject.
* Communicate your ongoing and completed work to academic and non-academic audiences in verbal, written, and other formats.
* Produce a lucid and scholarly thesis including a satisfactory abstract for examination.

On completion of the DPhil programme, it is expected that you will have developed the skills to:

* Demonstrate in-depth research experience in at least one of the social data science areas.
* Be able to generate impact on the policy and design of socio-technical systems based on social data science research.
* Have an in-depth knowledge of specific contemporary social and political science theories and data science approaches to tackling research questions related to these theories.
* Have made an original contribution to the current state-of-the art for analysing large-scale human behavioural data and either have developed new methods and/or adapted existing methods to the specific challenges of data related to human behaviour.
* Design, execute, document, and disseminate research that applies tools and methods from data science to address a social science research question.
* Have the qualities and transferable skills necessary to excel in teaching, research, policymaking or business in your studied field.

## Core Courses: DPhil in Social Data Science

These are the five formal taught requirements for the OII DPhil in Social Data Science:

* **Foundations and Frontiers of Social Data Science**:

Providing an intellectual framework for Social Data Science within the landscape of scientific inquiry, including important external issues and challenges that shape the contexts in which Social Data Science takes place and how these influence what social data science is and is not.

* **Applied Analytical Statistics:**

Focussing on the tools and techniques used by social scientists to understand, describe and analyse quantitative data. The focus will be on learning how to apply practical statistics in a social research context (rather than looking at fundamental mathematical foundations of statistical concepts).

* **Research Design for Social Data Science:**

Core methods and understandings of data science—reliability, robustness, validity, reproducibility, predictive accuracy—effective research design, and ethical research. There will also be a separate DPhil Seminar run for this course.

* **Foundations of Visualisation**:

Discussion of the two-way interaction between visualisation and the social sciences: (i) using visualisation technology in social sciences, and (ii) using social science methodologies to facilitate discourses about visualisation. There is no formal assessment for this course.

* **Doctoral Seminar**:

The DPhil Programme Directors lead a weekly seminar series during term time. The seminars are an opportunity for students to present and receive feedback on their research, to receive training in various aspects of graduate skills, and to build and maintain a supportive peer-group network. There is no formal assessment for this seminar.

### Core course waiver policy

A student who has completed the MSc Social Data Science is automatically granted a waiver for all required DPhil Social Data Science courses.

A student who has completed the MSc in Social Science of the Internet will automatically be granted a waiver for Foundations of Social Data Science and Research Design for Social Data Science, but not for Applied Analytical Statistics, Frontiers of Social Data Science, and Foundations of Visualisation.

A waiver absolves a student from the formal requirement to satisfactorily complete a course. Students in possession of a waiver are nevertheless welcome to continue to attend the course in question and are encouraged to discuss their wider training needs with their supervisor on a regular basis.

All DPhil students may apply for an ad hoc waiver of any required course on the basis that they have equivalent prior training. Such applications are considered by the DPhil programme director.

## Part Time DPhil Schedule

Part-time students will be required to spend at least 30 days physically in Oxford each year, and will be expected to commit approximately 20 hours per week to their studies. Part-time DPhil students will be expected to take the core classes over two years. The exact order can vary depending on the exact needs and prior preparation of the student and will be agreed in discussion with their supervisor and the SDS Programme Director. The suggested default is to take *Foundations* and *Frontiers of Social Data Science, Applied Analytical Statistics* and *Research Design for Social Science* in Year 1. The *Foundations of Visualisation* course is, by default, taken in year 2, which only requires one day in Oxford, currently Tuesdays. Alternative combinations may require attendance of courses on two different days of the week.

**Typical Timeline of Part Time Student**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Michaelmas** | **Hilary** | **Trinity** |
| **Year 1** | 2 Core Courses: Foundations of Social Data Science and Applied Analytical Statistics | 2 Core Courses: Frontiers of Social Data Science and Research Design for Social Data Science | Develop research proposal and begin drafting Transfer document |
| **Year 2** |  | 1 Optional Course | 1 core course: Foundations of Visualisation  Submit Transfer application |
| **Year 3** | Progress to field work/data collection | Final deadline for Transfer |  |
| **Year 4** |  |  | Submit Confirmation application |
| **Year 5** | Progress to writing up | Final deadline for Confirmation |  |
| **Year 6** |  |  | Aim to submit final thesis |
| **Year 7** |  |  |  |
| **Year 8** |  |  | Final deadline for submission |

## Foundations and Frontiers of Social Data Science

This class is taught over two terms (Foundations of Data Science in Michaelmas term and Frontiers of Data Science in Hilary term), both of which will be assessed.

Foundations of Social Data Science will be examined through assessed written work consisting of one 5,000-word essay and will form 50% of the overall mark. The deadline for submission is 12:00pm on Friday of 0th Week of Hilary term. This should be submitted via Canvas.

Frontiers of Social Data Science will form the remaining 50% of the mark and will be examined through assessed written work consisting of one 5,000-word essay and will form 50% of the overall mark. The written materials are due 12.00 noon on Friday of 0th week of Trinity Term. This should be submitted via Canvas.

## Applied Analytical Statistics

This class is taught during Michaelmas term, and will be examined through assessed written work consisting of one essay not to exceed 5,000 words in length. The essay must be submitted by 12:00 noon on Friday of 0th Week of Hilary term.

## Research Design for Social Data Science

Research Design for Social Data Science takes place during Hilary term and is examined via assessed written work, consisting of one 5,000-word essay. This should be submitted electronically on Canvas by 12.00 noon on Friday of 0th week of Trinity Term.

## Summary of 2021-22 Coursework Deadlines

|  |  |
| --- | --- |
| **Course/Name of Assessment** | **Deadline** |
| Foundations of Social Data Science | Friday, 12 noon, Week 0 of HT |
| Applied Analytical Statistics | Friday, 12 noon, Week 0 of TT |
| Frontiers in Social Data Science | Friday, 12 noon, Week 0 of TT |
| Research Design for Social Data Science | Friday, 12 noon, Week 0 of TT |

# DPhil training and development



## DPhil Seminar

All OII DPhil students are expected to attend the weekly DPhil seminars held during term time until they reach Confirmed status. These seminars are an opportunity for students to present and receive feedback on their research, to receive training in various aspects of graduate skills, and to build and maintain a supportive peer-group network. Content covered in these seminars will vary each term, but all students should expect to present their research at least over the course of their programme. All students are encouraged to present their work as part of their preparation for Transfer, Confirmation, and/or final submission. It is recommended that you contact the DPhil Coordinator the term **before** you wish to schedule in your presentation in the DPhil Seminar. If you are presenting as part of your milestone preparation, we recommend that you aim to present 2 weeks before your milestone submission in order to be able to integrate any feedback.

Students undertaking fieldwork or study outside Oxford will normally be given permission not to attend DPhil Seminar but will still be expected to present their research to the DPhil Seminar. All students may participate remotely.

### Part-time Students in the DPhil Seminar

All Part-Time DPhil students will be required to attend for the first four years or until successful completion of Confirmation of Status, whichever is earlier. After the first four years, students will be expected to attend on occasion, for instance to present a mock viva or talk and to give feedback to their colleagues doing likewise.

Recognizing that part-time students may have difficulty arranging attendance of DPhil seminars every week, the following schedule outlines their minimum expected attendance:

* In the first and fourth terms, when part-time DPhil students have their heaviest class load, part-time students will only be required to attend two weeks, although more regular attendance is encouraged.
* In the remaining terms of the first four years, part-time students will normally be expected to arrange to attend a minimum of four out of the eight sessions per term, although more regular attendance is encouraged.

## Auditing Optional Courses

DPhil students may choose to gain further methods training and/or theoretical grounding by auditing option courses offered on the MSc in Social Science of the Internet or the MSc in Social Data Science during Hilary Term. Auditors will be expected to attend all the lectures, any additional workshops and to fully participate and engage in the course. Auditors will also be expected to submit all formative assignments. No summative assignment at the end of the term is required of auditors.

Doctoral students must discuss which optional courses they wish to take during Hilary Term with their supervisor in the first instance. Once they have reached agreement, the student must complete an Audit Request Form.

An Audit Request Form must be submitted to [dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk) by Friday Week 8 in Michaelmas Term. Priority will be given to students who can demonstrate the relevance of a particular course in their Training Needs Assessment. Please note it is strongly recommended that students do not audit more than one course at a time.

## Researcher Development



### How do I get help to develop as a researcher?

As a doctoral student in the social sciences, you are expected to develop your expertise in relevant research methods and techniques, as well as a range of professional skills. The right combination of training and experience will help your research and make you more employable, within and beyond academia.

You have access to a wide range of training, including

* Research methods training within your department (see below, 5.3.4), and other departments as appropriate.
* Researcher development courses and opportunities delivered by the Social Sciences Division and open to all doctoral students.
* Training provided by the University such as the Careers Service, IT Services, the Language Centre, and the Bodleian Library.

### What is a Training Needs Analysis?

Throughout your degree, you are encouraged to reflect and think strategically about your ongoing development as a researcher. Students are expected to complete the Training Needs Analysis (TNA) at the start of their studies and thereafter on an annual basis. The form and guidance can be found inside the Graduate Student Reporting system (note that your access to the TNA is not limited to the GSR reporting windows). This will help students work with their supervisors to develop bespoke training and development objectives each year. These are not formally assessed by the department, but the TNA is discussed during the Transfer of Status interview to ensure that the examiners are satisfied with the student’s training.

### How do I find the training I need?

You are likely to want to extend and develop the research training that your department offers or expects you to undertake. To find what you need, start by looking at [the Social Sciences Researcher Development website](http://researchtraining.socsci.ox.ac.uk) for a full programme of the courses and opportunities the Division offers to doctoral students, and a curated list of relevant courses and resources from across the University. You can also find external research methods events and resources on the website of the National Centre for Research Methods (NCRM): <http://www.ncrm.ac.uk/training/>.

In addition, a number of courses are available from providers across the University.

* Bodleian Library <http://libguides.bodleian.ox.ac.uk/workshops> provides training in information skills and information literacy.
* Careers Service <http://www.careers.ox.ac.uk> runs courses, offers one-to-one sessions with a careers adviser, and provides information on jobs and internship opportunities.
* IT Learning Programme <http://www.it.ox.ac.uk/do/training-and-facilities> offers a range of courses on computing, software, coding, visualization, and data management.
* Language Centre <http://www.lang.ox.ac.uk/> offers specialist and difficult languages training, as well as a popular English for Academic Writing course for international students.

### Research and Skills Training at OII and the Social Science Division

The training programmes available to students are not limited to the single purpose of helping them to produce a better thesis, but also impart skills that will be valuable to throughout their life. The personal and professional skills that are developed in all aspects of the degree course are not only about how to conduct research, but also about project management, personal effectiveness, communication and networking skills and career management.

*Research and Skills in the Social Sciences* is the Social Science Division's programme of research training and skills development for all social science doctoral students. To support your broader development as a researcher, the Social Sciences Division organises a skills training programme covering a range of training to help with completing the DPhil and to prepare you for your future career. The Research and Skills Training website lists all of the skills training sessions that are coordinated by the social sciences division. You can also find out about other training related news and events via the announcements section on this site.

The Researcher Training Tool in WebLearn provides a means of browsing and signing up for training within social sciences departments, the divisional skills training programme, and other training providers across the University. For more information, and to sign up to courses, see the [Research and Skills Training](http://researchtraining.socsci.ox.ac.uk/) website and the [Researcher Training Tool](https://weblearn.ox.ac.uk/portal/hierarchy/grad/).

Students can access specialist and advanced research methods training in other Departments and across the University. Oxford’s participation in the ESRC's Advanced Training Network (<https://esrc.ukri.org/skills-and-careers/doctoral-training/>) gives you access to selected advanced research methods training offered at other UK universities. Further information is available online where you can also browse and search for courses.

At the OII, DPhil students are encouraged to attend weekly seminars and events in which Department research is presented, as well as training seminars organised specifically for each student group. This will help students to develop presentational skills, and to give and receive feedback. Students will also be encouraged where possible to present their work at relevant seminars, conferences etc. outside Oxford.

### How can I get teaching experience?

As a second- or third-year doctoral student, you may wish to attend the *Preparation for Teaching and Learning at Oxford* (PLTO), which provides an introduction to teaching in higher education. The Divisional PLTO runs once a term and is advertised on [the Social Sciences Researcher Development website](http://researchtraining.socsci.ox.ac.uk). In addition, teaching and learning is one of the topics covered in DPhil Seminars and materials are available on Canvas from previous seminars.

The OII relies on teaching assistants to support the course convenors to deliver seminars and workshops. Many DPhil students have enjoyed this experience in the past, and the experience is a valuable addition to your CV if you want to pursue a career in academia. It gives students the opportunity to collaborate with a faculty member and insight into what work of academia might entail. OII TA positions are paid and have oversight with training and mentoring support. These positions are typically open to second- and third-year students, but first year DPhil students may apply with their supervisor’s support. This is most likely to be relevant for first year students who have previously completed an MSc at the OII or have received a waiver from one or more required courses.

## Other University Resources for Training

Oxford offers a wide range of training opportunities and resources for graduate students. In addition to research methods training and the skills development programme, there are also University-wide courses and career development opportunities available.

The [Bodleian Library](https://www.bodleian.ox.ac.uk/) provides training in information skills and information literacy. Some workshops are offered by the Division; others are offered directly by the Library. The [Careers Service](http://www.careers.ox.ac.uk/) has a range of events and resources for doctoral students. Through its [IT Learning Programme](https://www.it.ox.ac.uk/it-training) , [IT Services](http://www.it.ox.ac.uk/) offers a range of computing courses. Several online courses are available, including the [Research Skills Toolkit](https://skills.it.ox.ac.uk/research-skills-toolkit-for-social-sciences-division) and a [Research Integrity](https://weblearn.ox.ac.uk/portal/hierarchy/skills/ricourses) course for social science researchers. The Centre for Learning and Teaching has a useful set of resources on [supervision](https://www.ctl.ox.ac.uk/teaching-resources).

The Language centre offers specialist and difficult languages training. Please note that you should discuss attending courses run by the [Language Centre](http://www.lang.ox.ac.uk) with your supervisor and departmental graduate administrator before signing up for or attending any course.

A full list of useful links is given below:

* [Research and Skills Training in the Social Sciences](https://researchtraining.socsci.ox.ac.uk)
* [ESRC’s Advanced Training Network](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\•%09http:\www.esrc.ac.uk\skills-and-careers\studentships\doctoral-training-centres\advanced-training\)
* [ESRC Postgraduate Training and Development Guidelines](https://www.ukri.org/wp-content/uploads/2022/06/ESRC-160622-PostgraduateTrainingDevelopmentGuidelines2022.pdf)
* [Researcher Development Framework](https://www.vitae.ac.uk/researchers-professional-development/about-the-vitae-researcher-development-framework)
* [Bodleian Library](http://www.bodleian.ox.ac.uk/)
* [Careers Service](http://www.careers.ox.ac.uk)
* [Linkedin Learning via IT Services](https://skills.it.ox.ac.uk/linkedin-learning)
* [IT Learning Programme](https://www.it.ox.ac.uk/it-training)
* [Research Skills Toolkit](https://weblearn.ox.ac.uk/portal/hierarchy/skills/res_skil_kit):
* [Research Integrity Online Course](https://weblearn.ox.ac.uk/portal/hierarchy/skills/ricourses)
* [Centre for Learning and Teaching](https://www.ctl.ox.ac.uk/)[Research Supervision](https://www.ctl.ox.ac.uk/teaching-resources)
* [Language Centre](http://www.lang.ox.ac.uk/)

## Additional Talks and Seminars

You will also have the opportunity to attend other public seminars and talks at the OII where registration is on a first come first served basis. Subject to space and funding, you may be able to take part in other private workshops and seminars within your research area.

In your time at Oxford you should take full advantage of Oxford’s rich range of offerings to develop both general and specialist skills that will be of great value to you in your subsequent work and career. Courses offered by other departments can be found in the [Lecture Lists](https://www.ox.ac.uk/students/academic/guidance/lectures)) and attendance arranged with the department concerned through the DPhil Coordinator. Open lectures are listed in the *University Gazette (*<http://www.ox.ac.uk/gazette/>).

## Training in Research Ethics in the Social Sciences

All University of Oxford research projects involving human participants or personal data, conducted by Oxford students or staff (including academic and research staff), require research ethics scrutiny and approval before the research starts.

Why is ethics scrutiny and approval important?

* It is part of the responsible conduct of research.
* It demonstrates that your research has been conducted according to the highest ethical standards. It is important to protect the dignity, rights and welfare of all those involved in the research (whether they are participants, researchers or third parties).
* It is a University requirement.
* It is now the expectation - and in some cases formal requirement - of funding bodies and academic journals.
* As a DPhil student, you will have to answer a series of questions regarding ethical scrutiny of your research in your Transfer and Confirmation of Status application forms.

You need ethics approval if...

* Your research requires human subjects to participate directly by, for example:
* answering questions about themselves or their opinions, whether as members of the public or in elite interviews.
* performing tasks, or being observed - such as completing an online survey, participating in an experiment in a computer lab, reading words aloud for linguistic analysis.
* *OR* your research involves data (collected by you or others) about identified or identifiable people.

### What you need to do

Ethics approval must be obtained before a research project begins, and confirmation that you have submitted your form for approval is a mandatory part of the Transfer of Status application. It cannot be provided retrospectively. To do this you will need to:

* Complete a CUREC 1A checklist form. In some cases, alternative pathways are required. If you and your supervisor feel strongly that your research will not require ethical review (for instance if your work does not involve research data about specific individuals), you can pursue the Internal Research Ethics Checklist (IREC) form pathway. In addition, if you or the OII’s departmental research committee believe that a more stringent CUREC 2 Checklist form may be required.
* When submitting your application, you will need to provide the relevant checklist (signed by supervisor via email thread), and potentially Supporting documents, such as recruitment invitation letters/emails/adverts, a participant information sheet, a document setting out interview questions, and written or oral consent form).
* Obtain the signature of your supervisors (or email confirmation) from your department, including your supervisor's signature;
* Send the checklist to [drec@oii.ox.ac.uk](mailto:drec@oii.ox.ac.uk) for approval and CC [dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk).

Details of the procedure and application forms can be found on the OII’s Intranet, here <https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Ethics%20Procedures.aspx>. On this page you will find further advice about the process and how it is managed within the OII, as well as links to the university’s pages where the most up-to-date forms are located. Your research ethics application should be sent to [drec@oii.ac.uk](mailto:drec@oii.ac.uk) in the first instance. **Please allow at least 60 working days for a decision from the Committee.** The OII’s Departmental Research Ethics Committee (DREC) aims to approve CUREC 1A applications within 30 days. However, should your application be directed towards a CUREC 2 checklist it will be considered by both the OII’s DREC and the Social Sciences and Humanities Interdivisional Research Ethics Committee (SSH IDREC). The timeframe for approving CUREC 2 applications in this scenario is within 60 days.

For any CUREC queries, please contact the OII’s Research Facilitation Team at [drec@oii.ox.ac.uk](mailto:drec@oii.ox.ac.uk).

## Fieldwork Safety and Training

Many students will, as part of their course, be required to undertake fieldwork providing it is safe and practical to do so, including taking the coronavirus pandemic into account. Fieldwork is considered as any research activity contributing to your academic studies, and approved by your department, which is carried out away from the University premises. This can be overseas or within the UK. The safety and welfare of its students is of paramount importance to the University. This includes fieldwork and there are a number of procedures that you must follow when preparing for and carrying out fieldwork.

If a student is proposing to undertake fieldwork or research overseas they should read the University’s policy on:

* *Foreign Travel (University Policy Statement S1/03) -* https://safety.admin.ox.ac.uk/overseas-travel
* *Safety in Fieldwork (Guidance Note S7/95) -* https://safety.admin.ox.ac.uk/travel-and-fieldwork

The *Safety in Fieldwork* document contains advice on practical work carried out by staff or students of the University for the purpose of teaching and/or research in places which are not under University control, but where the University is responsible for the safety of its staff and/or students and others exposed to their activities.

**Preparation**

Safe fieldwork is successful fieldwork. Thorough preparation can pre-empt many potential problems. When discussing your research with your supervisor please think about the safety implications of where you are going and what you are doing. Following this discussion and before your travel will be approved, you will be required to complete a travel risk assessment form, which can be found on [Canvas](https://canvas.ox.ac.uk/courses/4670/modules/items/132597). This requires you to set out the significant safety risks associated with your research, the arrangements in place to mitigate those risks and the contingency plans for if something goes wrong. There is an expectation that you will take out University travel insurance. Your department also needs accurate information on where you are, and when and how to contact you while you are away. The travel assessment process should help to plan your fieldwork by thinking through arrangements and practicalities. More [information on fieldwork](https://socsci.web.ox.ac.uk/research-fieldwork) and a number of useful links can be found on the Social Sciences divisional website, including accounts of [fieldwork experiences](https://socsci.web.ox.ac.uk/fieldworker-experiences).

**Training**

Training is highly recommended as part of your preparation. Even if you are familiar with where you are going there may be risks associated with what you are doing.

Departmental course (annually):

* Short basic **fieldwork safety awareness session** covering personal safety and planning tips. Post fieldwork students are invited to attend to share their experiences. All students carrying out fieldwork are expected to attend this. This session will usually run in one of the termly DPhil Seminars.

[Social Sciences Division Research and Skills Training](https://socsci.web.ox.ac.uk/welcome-to-researcher-development) (termly):

* **Preparation for Safe Fieldwork**: A half day course for those carrying out social science research in rural and urban contexts
* **Fieldworkers’ Sessions**: A safe and productive space for talking about the joys and challenges of field-based research for DPhil students
* **Vicarious trauma workshops:** For research on traumatic or distressing topic areas or contexts.
* University Safety Officer Dr Chris Williams ([chris.williams@safety.ox.ac.uk](mailto:chris.williams@safety.ox.ac.uk)) can be contacted for any advice on Safety in Fieldwork

[Safety Office courses](https://safety.admin.ox.ac.uk/training) (termly):

* Emergency First Aid for Fieldworkers.
* [Fieldwork Safety Overseas](http://www.admin.ox.ac.uk/safety/overseastravelfieldwork/): A full day course geared to expedition-based fieldwork.

**Risk Assessment and Travel Insurance**

Risks in fieldwork can assume many forms. Students should be aware that some research into sensitive issues or areas may be open to misunderstanding, and might incur suspicion or hostility. It is important to be clear about the goals of research, articulate potential risks, understand possible reactions, and to minimise possible grounds for misunderstanding.

If the research involves a degree of risk, it is necessary to complete a written risk assessment to be submitted to the Head of Research Facilitation [research.fac@oii.ox.ac.uk](mailto:research.fac@oii.ox.ac.uk)

Students are urged to do the following:

* discuss any plans for fieldwork overseas at an early stage with your supervisor;
* raise relevant issues in the various DPhil workshops;
* consult the advice to travellers as issued by the FCO, and/or from your own foreign ministry or other national agency if you are an overseas student;
* include a discussion of potential risks in your transfer materials (when transferring from PRS to DPhil status).

All students and staff should have adequate travel insurance. Details of [travel insurance](https://sharepoint.nexus.ox.ac.uk/sites/oii/SiteAssets/SitePages/Travel%20Bookings/Travel_Insurance_Application_Form_2018.doc) available for those travelling for University purposes can be obtained from the Intranet. Please provide at least two weeks’ notice when making an application.

# Examinations and progress through the DPhil



## Overview of Progression

The DPhil programme involves a series of stages through which doctoral students progress. Details of the expectations for these stages are below. The typical DPhil at OII takes three to four years for full-time students, and six to eight years for part-time students.

DPhil students start with Probationary Research Student (PRS) status. During this period, students take courses and work with their supervisors to develop their research proposal, which is submitted as part of the Transfer of Status application. Transfer of Status is the process by which students progress from Probationary Research Student status to DPhil Student status.

After successfully passing the Transfer of Status to full DPhil student, the student then begins work on their doctoral research in preparation for the next milestone, Confirmation of Status. This is the process by which a student progresses from DPhil Student status to Confirmed DPhil Student status.

Finally, Confirmed DPhil Students work on completing their thesis for final examination.

Roughly speaking, full-time students should expect to spend one year in each of these three statuses (two years for part-time students). The Transfer of Status, Confirmation of Status, and final examination processes are described in detail later in this section.

For further information on Research degree stages, please refer to [the University website](http://www.ox.ac.uk/students/academic/guidance/graduate/research/status/DPhil).

## Transfer from Probationer Research Student (PRS) status to DPhil status

### Probationer Research Student Status

DPhil students will normally be registered, in the first instance, as a Probationer Research Student (PRS) and must apply for ‘transfer’ to the status of a DPhil student (DPhil status) before the end of your fourth term (eighth term for part-time students). Applying for transfer within the allowed time entails also meeting the submission deadline for that term, which is Monday of Week 4 in Michaelmas and Hilary Term, and Monday of Week 6 in Trinity Term.

For full details, students should refer to the [General Regulations Governing Research Degrees](https://examregs.admin.ox.ac.uk/Regulation?code=grgoveresedegr&srchYear=2020&srchTerm=3&year=2020&term=1).

Probationer Research students usually focus on the development of, and early work on, a thesis topic; on improving knowledge of research methods; on attendance at relevant lectures, seminars and classes; and in preparing their transfer to DPhil status. You should meet the requirements for residency in Oxford for your particular degree in term time throughout the probationary period, and you should not normally undertake any fieldwork until after you have successfully transferred to full DPhil status.

### Purpose of Transfer of Status

The Transfer of Status assessment is to ensure that the student is making satisfactory progress in the development of the research, to ensure that the work is of potential DPhil quality, and that the methodology of the research is appropriate and practicable. The transfer process provides the opportunity for the student to discuss their work with two independent examiners and to receive feedback. Broadly, the assessment should show a plan for the thesis, which locates the research in the context of earlier work in the field, sets out the questions, hypotheses or issues on which it will focus, and describes and explains the methods by which these will be answered, tested or addressed.

The assessment procedures are intended to reduce the risk of subsequent failure as far as possible, and must therefore be as rigorous as necessary to achieve this.

### Timing of Transfer of Status

The *Examination Regulations* state that PRS status can be held for a maximum of four terms for full-time students (eight terms for part-time students). The OII expects full-time students to complete the transfer from PRS status to DPhil status by this point and preferably by the end of their first academic year (the third term) or by the end of second year (the sixth term) for part-time students.

Some PRS students may indeed be required by their grant-awarding body to complete the transfer to DPhil status at a time around the end of their first year or second year for Part-Time Students. Students in receipt of research funding through such an awarding body should check whether they are in this position, and if so discuss it with their supervisor.

Please note that completion of the requirement to transfer involves both the submission *and the assessment* of the Transfer of Status document. Thus, submissions should be made by the department deadlines for submissions to ensure enough time for the transfer submission to be read and assessed by the end of the term. **The deadlines for submitting a transfer application are Monday of Week 4 of Michaelmas and Hilary and week 6 for Trinity Term.**

Students who fail to submit by these deadlines will not be assessed until the next term. If this would cause the student to hold PRS status for more than the maximum time outlined above then the student must also apply for an extension of time. More details about extensions of time are given later in this section.

### How to Apply for Transfer of Status Assessment

Each Transfer of Status is assessed by two assessors, who should be part of the OII (permission must be granted by the Graduate Studies Committee for external Transfer assessors). The student’s supervisor is responsible for making the necessary arrangements to obtain agreement to assess from these assessors. Once the arrangements are in place, the student submits the [Appointment of Assessors form](https://canvas.ox.ac.uk/courses/4670/modules/items/685847) to the DPhil Coordinator. The deadline for this form (separately from the main submission to Transfer) is **Monday of Week 2 of Michaelmas and Hilary Term**, or **Monday of Week 6 of Trinity Term**. See also section 6.2.6, below.

Applications for Transfer of Status should be made using the GSO.2 form available from the [Student Self-Service](http://www.ox.ac.uk/students/academic/guidance/graduate/progression)Students are required to complete the form and to provide supplementary information on development of both research specific and personal and professional skills during their time as a Probationer Research Student. Students are also required to indicate whether their work requires research ethics approval. Both the student’s supervisor and College should then sign the form. Please allow time to collect the signatures for this form before the submission deadlines.

The complete application must be submitted to the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:laura.maynard@oii.ox.ac.uk)) by the relevant deadline.

Students who know that their chosen assessors may be unavailable for a period of time should take that into account and may wish to submit earlier to ensure that their assessment can take place in time to meet the deadline for Transfer of Status.

Please note it is the responsibility of the student to gather all the signatures except the one for Director of Graduate Studies.

The Transfer assessment is a formal requirement, but the interview is not an official examination or viva, and *sub fusc* is not worn.

### Content of Transfer of Status Application

The transfer of status application must include:

1. Appointment of Assessors Form (available on [Canvas](https://canvas.ox.ac.uk/courses/4670/modules/items/685847)) (please note this must be submitted by **noon on Monday of Week 2** (Week 6 in Trinity Term) in the term you are submitting)
2. Application form GSO.2, available at: <http://www.ox.ac.uk/students/academic/guidance/graduate/progression>

* Signed by supervisor and an appropriate officer of student’s college
* Clearly indicating the status to which they wish to transfer
* Confirming that required courses have been satisfactorily completed;

1. An outline of your research (one side of A4 paper), summarising your thesis topic;
2. A detailed research proposal of no more than 15,000 words (see Appendix C). This should:

* Draw upon relevant literature to discuss the background to the research, theoretical perspectives, and possible outcomes to the research;
* State key research questions
* Discuss the overall methodological approach and specific strategies to answering these research questions, paying particular attention to practical and ethical issues relevant to the research;
* Include a preliminary timetable for the research;
* Include a list of references;

1. Confirmation of ethics approval: As stated in the GSO.2 form, you should either have received or are awaiting ethics approval for your research project when applying for transfer of status (either an IREC, CUREC 1A, or CUREC 2 checklist). For information about how to submit an application for ethics approval, see section 2.7 of this handbook.
2. OII Training Need Assessment Form (Available in Appendix H or [Canvas](http://plato.oii.ox.ac.uk/))
3. Any other materials, including substantial original research or expression made on the public Internet, that you think will help the assessors evaluate your candidacy.

Before submission, students should discuss drafts of all of these items with their supervisor. Students need to submit electronic copies of all the materials listed.

### Appointment and role of assessors

In order to minimise delays, supervisors are expected to identify appropriate assessors and seek GSC approval not later than the beginning of the term in which transfer materials are to be submitted. This can be done by completing an Assessors Approval form which can be downloaded from Canvas and e-mailed to [dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk). Twoassessors must be appointed, neither ofwhomwill be the student’s supervisor. Assessors will normally be academic members of staff working in the University of Oxford and only in exceptional circumstances will an external assessor be appointed. It is permissible for the same assessor to be used for both transfer and confirmation of status, and an internal transfer assessor may also act as the internal examiner for the DPhil *viva voce* examination. However, any assessor may only act in this capacity twice across Transfer, Confirmation, and final examination, with the exception of a referred milestone (see 6.2.9), when the same assessor normally assesses the second attempt.

Supervisors should submit the names of the assessors to the DPhil Coordinator prior to the first GSC meeting of the term the student plans to submit their transfer. Once the Graduate Studies Committee has confirmed and approved the assessors, it is the responsibility of the student’s supervisor to contact the assessors to organise a mutual convenient time for the interview. Students should not contact the assessors directly but may of course respond to their communications about dates etc. Students should normally expect to be interviewed within ***6-8 weeks* of submission of their transfer application**, though this may be longer during the vacation periods depending on the availability of assessors. Students who submit an application in late June or July should be aware that the interview with the assessors may not be held until September because of the long vacation and the assessors’ availability.

The assessors will write a report and submit their recommendations on the outcome of the assessment to the Graduate Studies Committee. Following the interview, students should normally expect to hear the outcome of their assessment within 2 weeks.

Students will be expected during the interview to show evidence of their understanding of general theory and research methods in the social sciences applicable to their proposed study, as well as specific research methods appropriate to the study of social aspects of the Internet and related technologies.

Before submitting their report, assessors may request additional written work or other evidence from the candidate. Assessors then submit their report to the OII’s Graduate Studies Committee which may:

* accept the application
* recommend resubmission, within a specified time and/or on specified terms
* reject the application (see below)
* request additional written work or other evidence, or appoint an additional assessor, before reaching a decision

### Instructions to assessors

Assessors are asked to contact the students as soon as reasonably possible to arrange a time for the interview, or to explain problems in doing so. Students can find it an anxious wait, and may have good reasons for wanting the assessment completed as soon as possible (please let the DPhil Coordinator know if there is a problem in this respect at the time of application).

Assessors are invited to consider whether the student is capable of carrying out advanced research, and that the subject of the thesis and the manner of its treatment proposed by the student are acceptable for transfer to DPhil status. Assessors should judge the application against the criteria for success defined below. They should aim to provide constructive criticism and advice to the student to identify and address deficiencies and thereby strengthen their proposed research project, rather than to present a judgemental verdict. Dismissive or aggressive remarks are not appropriate.

An application to transfer to DPhil status must provide evidence that the applicant can construct an argument, can present material in a scholarly manner, has a viable subject to work on, and can be reasonably expected to complete it in 3–4 years for full-time students or 6-7 years for part-time students. However, the assessors should judge the submissions in the light of the fact that they usually reflect 3–4 terms (6 terms for part-time) work for full-time students and are made at the early stages of the research project. The written work will not read like a final thesis. Omissions, unpersuasive arguments, or missing perspectives are not fatal unless they seem to indicate an inability to reach the necessary standard for the final thesis. The research proposal and thesis structure need not be completely finalised, but the student should have clearly defined ideas of what the research questions are and have possible ways to answer them.

The joint assessors’ report should normally be 1–2 pages in length, providing a permanent record of advice given to the student at this stage and an indication of the student’s progress. In their report, the assessors are required to advise whether:

* the student is competent to complete and present a thesis in English
* the required coursework materials have been submitted
* the proposed thesis topic and treatment represent a viable project
* the proposed thesis topic and treatment comply with the Division’s ethical standards
* the written work and oral examination show that the student has a good knowledge and understanding of the subject
* the research schedule is viable and should in principle be able to be completed in the proposed schedule of work for the DPhil, i.e. within three or at most four years from initial registration as PRS (or two to three years in the case of a student transferring from an Oxford MLitt, MSc or MPhil).

Significant differences of opinion between the assessors will be adjudicated by the Graduate Studies Committee, in consultation with the assessors.

### Criteria for Success

For transfer of status to be approved, the student will need to be able to show that their proposed thesis and treatment represents a viable topic and that their written work and interview show that they have a good knowledge and understanding of the subject. Students must show that they are competent to complete and present their thesis in English. In addition, the assessors will judge the application against the following criteria:

1. All required coursework materials have been submitted.
2. All required subject specific training courses have been attended.
3. Student shows competence in both written and spoken English.
4. The aims of the research are realistic and focused.
5. Evidence given of wide reading and critical analysis.
6. Appropriate methodology and research techniques are proposed.
7. Limitations to the research are addressed.
8. It is clear how the research will develop.
9. There is a suitable timetable for the research.
10. The candidate demonstrates the progression of an argument.
11. The candidate shows a scholarly and rigorous approach to research issues.
12. The research topic and treatment meet the Division’s ethical standards.
13. The written work and interview show that the candidate has a good overall knowledge and understanding of the subject.
14. The University has adequate facilities (including supervision) to enable the research to progress.
15. The student is capable of carrying out advanced research.
16. The proposed schedule of work can be completed within the time allowed for the DPhil.

### Outcomes of Transfer of Status

In determining their recommendation for the outcome of the Transfer of Status, assessors occasionally request additional clarification, written work or other evidence from the candidate after the interview. The assessors then recommend one of four outcomes, which must be considered and approved by the Divisional Graduate Studies Committee:

1. Successful transfer.
2. Referral for a second attempt at transfer with an interview. This should normally involve the same assessors and take place within one term of the first attempt. If the first attempt is made in the fourth term or later of PRS status (eighth term or later for part-time students), a one-term extension of PRS status is automatically granted to allow time for the second attempt. This extension of PRS status does not affect the total amount of time permitted for registration on the DPhil. The assessors should provide clear guidance on what needs to be done to improve the application prior to the second attempt at transfer being submitted. This may require additional written work or other evidence, and possibly the appointment of an additional assessor.
3. Transfer to the *MLitt.* Although the work presented was not suitable for transfer to DPhil status, the assessors felt it was strong enough for the lower award which is a less demanding and shorter time-scale research degree.
4. Rejection of the application. The assessors cannot recommend transfer to either DPhil status or the lower award.

At the first attempt at transfer only options (i)–(iii) should normally be chosen. At the second attempt, only options (i), (iii) or (iv) should be considered.

If at the first attempt a student is transferred to the lower degree they may accept this, or may choose to retain PRS status and make a second transfer application the following term. If a student accepts transfer to the lower degree at the first attempt, or is transferred to the lower degree at the second attempt, they may exceptionally be permitted one further opportunity to transfer to DPhil status provided that sufficient time has elapsed (normally at least six months) to allow the possibility of significant improvement, that significant progress has been made, and that the student’s supervisor supports the application. In addition, the student’s college and Director of Graduate Studies would also need to endorse the application.

If a student fails to transfer to DPhil status or to the status of the applicable lower degree after two transfer applications, they shall cease to hold the status of a PRS student and their name shall be removed from the Register of Graduate Students. In such circumstances, informal counselling, often involving the student’s college, should be an integral part of the procedures.

### Deferral of Transfer of Status

Any student who has not applied to transfer status by the end of their fourth term (eighth term for part-time students) will be required to attend a formal academic review meeting involving their supervisor(s) and Director of Graduate Studies (or at least one other member of academic staff who may or may not be a future assessor for Transfer of Status). The purpose of this meeting will be to review progress to date, and to draw up a clear timetable to ensure that Transfer of Status is successfully achieved within four terms (6 terms for part-time students) as required by the *Examination Regulations*.

If a student has not been able to attempt Transfer of Status within the normal maximum number of terms, it will also be necessary to make an application for extension of PRS status. The Exam Regulations permit a maximum of extensions totalling up to two additional terms (four additional terms for part-time students) prior to attempting Transfer. Any extension to PRS status does not affect the total amount of time permitted to complete the doctorate, which remains at 12 terms (or 24 terms for part-time students). An application can be made via the [Student Self-Service](https://evision.ox.ac.uk). The application will then be considered by the student’s supervisor and College, with the final decision being made by the OII’s Graduate Studies Committee.

Further extensions beyond the maxima described here are not permitted by the Examination Regulations and can be granted only in exceptional cases through application to the University’s Education Committee for special dispensation. This process should be regarded as truly exceptional, may take longer to be approved, and may require the submission of detailed evidence and planning in support of the application.

Students must submit deferral applications by **Monday of Week 5** of the term during which they are scheduled to submit their transfer materials. Applications for deferral must be submitted electronically, including an outline of thesis progress and timeline until submission of confirmation application. Once the Director of Graduate Studies has approved the electronic documents then the student must get the required signatures on a hard copy and submit to the DPhil Coordinator at [dphil@oii.ox.ac.uk](mailto:victoria.mcdermott@oii.ox.ac.uk).

## Confirmation of DPhil status

Confirmation of DPhil status is an essential stage on the way to the doctorate. Indeed, it is not possible to submit a thesis for examination until DPhil status has been confirmed.

### The Purpose of Confirmation of Status

The Confirmation of Status process allows the student to have an assessment of their thesis progress by two assessors to give an indication of whether it would be reasonable to consider submission of the thesis within the course of a further three terms (six terms for part-time students), given the continuation of satisfactory work. Successful Confirmation of Status should not be seen as explicitly linked to the final outcome of the examination of the thesis.

The confirmation assessment is different to the transfer assessment. The assessor*s* focus on how the research is progressing, the quality of the draft chapters, and on the plan for completion. The assessors look to ensure that the student is making the appropriate amount of progress in the development of the thesis, so that submission could be achieved within 12 terms for full-time and 24 terms for part-time students. In doing so, assessors are also required to ensure that the student is not attempting to deal with an impossibly or unnecessarily large scope of thesis work or material. The student should benefit from independent assessment of their work and should receive authoritative comments and suggestions on problems and how to address them. The assessors may be able provide guidance on how to better present the material and on the use of concepts and methods. Even if the thesis is in good shape, the assessors often stimulate valuable improvements to it. However, the assessors may also identify any weaknesses in theory, research design, data collection and analysis that may compromise the final thesis. The confirmation interview isa good opportunity to prepare for the *viva voce* examination of the thesis.

The confirmation assessment is also a formal test that is possible to fail. Critical comments from the assessors are meant to be constructive, and are aimed at helping students refine and improve their work in anticipation of their final submission. Students are strongly recommended to discuss such comments with their supervisor to ensure that they are adequately addressed.

The formal Regulations for Confirmation of Status are set out in the general regulations of the *Examination Regulations*, and in the special regulations for individual subjects, grouped within their particular Division. Further information is also available in the [Policy on Research Degrees](https://academic.admin.ox.ac.uk/research-degrees.).

### The Timing of Confirmation of Status

**Students who entered the DPhil as a Probationer Research Student**

The general regulations of the *Examination Regulations* state that applications for Confirmation of Status should be made not earlier than the sixth term from admission as a PRS for full-time and not earlier than the 12th term for part-time students. Students are required to achieve Confirmation of Status within nine terms (eighteen terms for part-time students). Students should normally achieve Confirmation of Status at the latest three months before submission of their thesis for full-time students, and six months before submission of their thesis for part-time students.

As with the Transfer of Status, the department has set deadlines for each term for submission of Confirmation of Status applications. This is to ensure that there is enough time for assessors to read the submission, schedule an interview, assess the transfer application, and file their report in that term. The complete application must be submitted to the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:laura.maynard@oii.ox.ac.uk)) by midday on the following dates:

* + Michaelmas Term, Monday of week 5
  + Hilary Term, Monday of week 5
  + Trinity Term, Monday of week 6

Note that these dates are deadlines, and materials may be submitted earlier. Typically, full-time students submit a Confirmation application in Trinity Term of their second year or Michaelmas of their third year, and at the latest must have passed Confirmation of Status before the end of their ninth term. Students cannot Confirm and apply for their final viva in the same term, and must obtain an extension of time until the following for their final submission.

Students who fail to submit by these deadlines must wait until the next term. If waiting would cause the student to exceed the maximum number of terms prior to confirmation (nine terms for full-time students, eighteen for part-time students) then the student must also apply for an extension of time. More details about extensions of time are given later in this section.

### How to Apply for Confirmation of Status

Like Transfer, each Confirmation of Status is assessed by two assessors, who should be part of the OII (permission must be granted by the Graduate Studies Committee for external Transfer assessors). The student’s supervisor is responsible for making the necessary arrangements to obtain agreement to assess from these assessors. Once the arrangements are in place, the student submits the [Appointment of Assessors form](https://canvas.ox.ac.uk/courses/4670/modules/items/685847) to the DPhil Coordinator. The deadline for this form (separately from the main submission to Transfer) is **Monday of Week 2 of Michaelmas and Hilary Term**, or **Monday of Week 6 of Trinity Term**. See also section 6.3.4, below.

Applications for confirmation of status should be made using the GSO.14 form available from <http://www.ox.ac.uk/students/academic/guidance/graduate/progression>. Students are required to complete the form, which should then be signed by the student’s supervisor and College. Students should include details of any research specific and/or personal and professional skills acquired, or further training needed in, and also information on any other related activities undertaken, e.g., presentation of posters, attendance at conferences, etc. Students are also required to state whether their work required research ethics approval (and if appropriate, that this was granted).Students should also complete an assessor form (in consultation with their supervisor) which suggests names of appropriate assessors. It is recommended that supervisors contact the proposed assessors before the form is submitted to gauge whether they are willing and able to accept.

Students should submit the following papers as part of their Confirmation of Status documentation:

1. Application form **GSO.14**, available at:<http://www.ox.ac.uk/students/academic/guidance/graduate/progression>
   * Signed by supervisor and an appropriate officer of student’s college;
   * Indicate clearly the status to which they wish to confirm;
2. an abstract of the thesis;
3. an outline structure of the thesis, consisting of chapter headings, and a brief statement of the intended content;
4. an outline timetable detailing what work has already been carried out and what activities are planned for the remaining stages;
5. for monograph theses: two completed draft chapters intended to form part of the final thesis;
6. for integrated theses: one completed paper and either a fully-developed literature review or a second completed paper;
7. a self-assessment report on your progress of not more than 300 words;
8. Appointment of Assessors Form (available on [Canvas](https://canvas.ox.ac.uk/courses/4670/modules/items/685847)); Please note this must be submitted by noon on **Monday of Week 2** (Week 6 in Trinity Term) of the term you plan to submit your milestone application.
9. OII Training Needs Assessment Form (Available in Appendix H or on [Canvas](https://canvas.ox.ac.uk/courses/4670/modules/items/347024)).

Before submission, students should discuss drafts of all of these items with their supervisor. The complete application must be submitted to the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk)) by the relevant deadline. Students should submit **all signed forms and materials listed in the application electronically.**

Please note it is the responsibility of the student to gather all the signatures except the one for Director of Graduate Studies.

Students who know that their chosen assessors may be unavailable for a period of time should take that into account and may wish to submit earlier to ensure that their assessment can take place in time to meet the deadline for Confirmation. In cases where students receive an exceptional extension to the in-term deadline, they should be aware that the viva with the assessors may not be held until September because of the long vacation and assessors’ availability.

The Confirmation assessment is a formal requirement but the interview is not an official examination or viva, and *sub fusc* is not worn.

### Appointment of Assessors and Arranging the Interview

In order to minimise delays, supervisors are expected to identify appropriate assessors and seek GSC approval at the beginning of the term in which confirmation materials are to be submitted. Twoassessors must be appointed, neither ofwhomwill be the student’s supervisor. Assessors will normally be academic members of staff working in the University of Oxford; only in exceptional circumstances will an external assessor be appointed. It is permissible for the same assessor to be used for both Transfer and Confirmation of Status, and an internal confirmation assessor may also act as the internal examiner for the DPhil *viva voce* examination (but not for all three). Where an external confirmation assessor is exceptionally approved, that assessor will not normally be eligible to examine the DPhil *viva voce* examination.

Supervisors should preferably submit the names of the assessors to the DPhil Coordinator prior to the first GSC meeting of the term the student plans to submit their Confirmation. Once the Graduate Studies Committee has confirmed and approved the assessors, it is the responsibility of the student’s supervisor to contact the assessors to organise a mutually convenient time for the interview. Students should not contact the assessors directly, but may of course respond to their communications about dates, etc.

Students should normally expect to be interviewed within four weeks of submitting their application, though this may be longer during the vacation periods depending on the availability of the assessors. The assessors will write a report and submit their recommendations on the outcome of the assessment to the Graduate Studies Committee. Following the interview, students should normally expect to hear the outcome of their assessment within 2 weeks, although this may be longer during the vacation periods.

### Instructions to Assessors

The assessors are asked to contact students as soon as reasonably possible to arrange a time for the interview, or to explain problems in doing so. Students can find it an anxious wait, and may have good reasons for wanting the assessment completed as soon as possible (please let the DPhil Coordinator know if there is a problem in this respect at the time of application).

An applicant for Confirmation of Status should be close to having a complete thesis plan, and the work submitted should be close to reading as complete thesis chapters. In contrast to the Transfer assessment, omissions and missing perspectives are much more serious at this stage, but if the student can satisfy the assessors at interview that matters will improve, this should not be a reason to decline recommending confirmation of status. The work should be presented in a scholarly fashion and should be essentially of the standard expected of a DPhil thesis in the final examination. While the work is expected to be complete, it is not a requirement for Confirmation of Status that there be no errors or omissions in the documents. The assessors should judge the application against the criteria for success defined below. As with the Transfer assessment, the assessors should aim to provide constructive criticism and advice to the student to identify and address deficiencies and thereby strengthen their thesis, rather than presenting a judgemental verdict. Dismissive or aggressive remarks are not appropriate. If it is unclear during the assessment how the research will be completed, or the proposed work is over-large, the assessors may request a revised thesis outline. Assessors may also request further written work or clarifications from the student before submitting their initial report.

The joint assessors’ report should be 1–2 pages in length, providing a permanent record of advice given to the student at this stage and indication of the student’s progress. It should normally include a summary of the points raised in the interview, feedback on the written work submitted prior to the interview, comments on the positive aspects of the student’s work and any concerns about the student’s progress and suggestions for the research going forward.

Assessors should consider the clarity of the goals, the chapter structure, the timetable for completion and progress to date, and the significance to the existing literature and field. They should provide an evaluation of the written work submitted and whether the work is presented in a scholarly and lucid manner. Assessors should also consider commenting on whether the student has presented evidence of being able to undertake research that provides new knowledge or understanding that is capable of advancing their field, will withstand peer review, and may be suitable for publication. Assessors should consider whether the student has developed a systematic acquisition and understanding of the substantial body of knowledge at the forefront of their field and a thorough understanding of the techniques for research needed for advanced academic enquiry. Furthermore, the student should show the capacity to design, carry through, and defend the thesis within three or at most four years (pro-rata for part-time students). Finally, the report should indicate the assessors’ view of the student’s ability to present and defend the work in English.

Significant differences of opinion between the assessors will be adjudicated by the Graduate Studies Committee, in consultation with the assessors.

### Criteria for Success

For Confirmation of Status to be approved, the student will need to be able to show that the research already accomplished shows promise of the ability to produce a satisfactory thesis on the intended topic, the work submitted for assessment is of the standard expected of a DPhil thesis in the final exam, the bulk of any fieldwork has been completed and the analysis is well developed, and the research schedule is viable so that the thesis can be completed within three or at most four years from admission (four to eight years for part-time students).

Students must also show that they are able to present and defend their work in English. In addition, the assessors will judge the application against the following criteria:

1. Evidence of wide reading and critical review of the literature.
2. A clear indication of how the research is being developed into a thesis.
3. A clear indication that the thesis will make an original contribution to the field of study.
4. Evidence of progression of argument and logic throughout the thesis.
5. Evidence of a scholarly and lucid approach to the research issues.
6. A clear timetable for the completion of the research within three or at most four years from admission (six to eight years for part-time students).
7. The ability to write in a clear and coherent manner, with due attention to presentation.
8. Competence in both written and spoken English.
9. The ability to articulate and defend the argument in the interview.
10. The draft chapters are of the quality expected for a final DPhil thesis.

### Outcomes of Confirmation of Status

The assessors may recommend one of four outcomes, which must be considered and approved by the Graduate Studies Committee:

1. Successful confirmation.
2. Referral for a second attempt at confirmation. This should normally involve the same assessors and take place within one term of the first attempt. If the first attempt is made in the final term permitted, a one-term extension is automatically granted to allow the second attempt. This extension does not affect the total amount of time permitted for registration on the DPhil; however, if the student has already been registered on the DPhil for twelve terms, the extension is counted as one of the potential six terms of extension of time permitted under the general regulations. The assessors should provide clear guidance on what needs to be done to improve the application before the second attempt at confirmation is submitted. This may require additional written work or other evidence, and possibly the appointment of an additional assessor.

Referral may simply represent attempts to ensure that the student’s work is enhanced and set on the best possible course, and should not necessarily be seen as a failure. However, a referral may be disappointing to a student and may take some time to come to terms with, especially if the assessors’ comments are highly critical. Most students who do then go on to successfully complete the DPhil see the comments in retrospect as helpful, having given them the opportunity and incentive to make substantial improvements to the thesis and to avoid the risk of a far more costly and time-consuming referral of the final thesis.

1. Transfer to *MLitt.* Although the work presented was not suitable for confirmation of DPhil status, the assessor(s) felt it was still strong enough for the lower award, which is a less demanding and shorter-timescale research degree. In cases where transfer to MLitt is approved, if the student is already in their ninth term or beyond, a formal extension of time will also be needed to allow the student to stay on the graduate register for the lower degree, otherwise their status will lapse, and they will have to subsequently apply for reinstatement to the graduate register.
2. Reject the application. The assessors cannot recommend either confirmation of status or transfer to the lower award.

At the first attempt at confirmation only options (i) or (ii) should normally be chosen. At the second attempt, options (i), (iii) or exceptionally (iv) should be considered. The Graduate Studies Committee may also request additional work or other evidence, or appoint an additional assessor to help in making a final decision.

If a student fails to confirm DPhil status or to transfer to the status of the applicable lower degree after two attempts, then their student status will lapse and their name will be removed from the Register of Graduate Students. In such circumstances, informal counselling, often involving the student’s college, should be an integral part of the procedures.

The department should refer to the *Examination Regulations* governing the removal of a graduate student from the Register of Graduate Students for more information.

### Deferral of Confirmation of Status

If a student is unable to apply for Confirmation of Status within nine terms (18th terms for part-time students) of admission as a graduate student, they must apply for a deferral of Confirmation of Status, otherwise their student status will lapse and their name will be removed from graduate register. It is possible to apply for a deferral of Confirmation of Status for up to three terms, as long as the total number of terms from admission as a graduate student does not exceed twelve (24 for part-time students).

Any student who is considering applying for a deferral of Confirmation of Status will be required to attend a formal academic review meeting involving their supervisor(s) and Director of Graduate Studies (or at least one other member of academic staff who may or may not be a future assessor for confirmation of status). The purpose of this meeting will be to review progress to date, and to draw up a clear timetable to ensure that confirmation of status is successfully achieved within the proposed period of deferral.

To apply for a deferral of Confirmation of Status, a student will need to complete an online application accessed via the [Student Self-Service](https://evision.ox.ac.uk). The application will then be considered by the student’s supervisor and College.

The Director of Graduate Studies and DPhil Programme Director will then assess the application for deferral, taking into account any recommendations from the academic review meeting. If Confirmation of Status is not achieved within nine terms of admission as a graduate student, or approval given for a deferral of confirmation of status, their status will lapse. In exceptional cases only, deferral may be granted beyond twelve terms; however, this requires approval by the Director of Graduate Studies, DPhil Programme Director and the University’s Education Committee as it requires formal dispensation from the *Examination Regulations*.

Students must submit deferral applications by Monday of Week 5 of the term during which they are scheduled to submit their confirmation materials. Applications for deferral must be submitted electronically, including an outline of thesis progress and timeline until submission of Confirmation application. Once the Director of Graduate Studies has approved the electronic documents then the student must get the required signatures on a hard copy and submit to the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk)).

## Summary of Administrative Process for Transfer & Confirmation Applications

(Guidance for Supervisors & Assessors)

1. Supervisor asks potential assessors if, in principle, they would be happy to act as an assessor for the student milestone. Supervisors should aim to have confirmation from the proposed assessors not later than the end of week 1 of the term in which the milestone assessment is to take place.
2. Student submits the Appointment of Assessors form by Monday of Week 2 (Week 6 in Trinity).
3. DPhil Coordinator writes to the proposed assessors and asks them to confirm their willingness to act in that capacity. Once the DPhil Coordinator receives confirmation in writing, the DGS signs the Appointment of Assessors form.
4. Student submits the relevant milestone application by the deadline (Week 4 for Transfer and Week 5 for Confirmation, with the exception of Trinity Term which has a week 6 deadline for both milestones).
5. DPhil Coordinator submits the application materials to assessors.
6. The first-named assessor confirms the agreed time of interview with external and student and CCs the DPhil Coordinator.
7. Interview is scheduled in the DPhil calendar (and on Teams if held online) by the DPhil Coordinator.
8. Interview is conducted.
9. The nominated internal assessor completes the report and sends it back to the DPhil coordinator for final processing within 2 weeks of the interview.

Please note that remote (online) interviews can be conducted without requiring approval from the Proctors, by applying for permission to the Director of Graduate Studies. Please see the [*Policy and Guidance on Research Degrees*](https://academic.admin.ox.ac.uk/research-degrees)*,* Annex D, for further information.

## Entering for Examination for the DPhil

The final stage of the DPhil is submitting a complete thesis, which is then examined at a formal *viva voce*.

### Examination criteria

The examiners of a DPhil thesis are asked to certify whether:

* the candidate possesses a good general knowledge of the particular field of learning within which the subject of the thesis falls;
* the candidate has made a significant and substantial contribution in the particular field of learning within which the thesis falls;
* the thesis is presented in a lucid and scholarly manner;
* it merits the degree of Doctor of Philosophy;
* the candidate has presented a satisfactory abstract of the thesis.

DPhil examiners are asked to bear in mind that their judgement of the substantial significance of the work should take into account what may reasonably be expected of a capable and diligent graduate student after three or at most four years of full-time study (or the equivalent durations for part-time students).

### Application process

A few months before a thesis is to be submitted for examination, a student can access the Application for Appointment of Examiners form through an online application available via the [Student Self-Service](https://evision.ox.ac.uk). The accompanying guides to the final examination can be found there as well. (If your thesis title has changed, it is possible to request approval of your new title on the same application).

It is strongly advised that student submit the Application for Appointment of Examiners form four to six weeks prior to the submission of the thesis. The examiners have to be approved by the Graduate Studies Committee and the examiners’ formal acceptance received before a thesis can be sent out by the Examination Schools (which are responsible for sending theses to the examiners), even if the thesis has already been submitted.

Students must submit their digital examiners’ copy of their thesis online, via [RTDS](https://student.rtds.ox.ac.uk) (Research Thesis Digital Submission), no later than the last day of the vacation immediately following the term in which their application for the appointment of examiners was made.

Students may submit the digital examiner’s copy of their thesis at the same time as applying for the appointment of examiners, but as noted above, their examiners will not be sent a link to download the thesis until the Application for Appointment of Examiners form has been approved and the examiners have formally confirmed their appointment to the Research Degree Team at the Examination Schools.

Please note that hard copies of a student’s thesis may only be requested by the examiners from the Research Degrees Team, and submitting hard copies to the Examination Schools is not required. The minimum time between both examiners receiving the official digital copy of the thesis and a viva date remains at four weeks (as referred to under ‘the oral examination or viva’ section below).

Students can access RTDS via the [Research Theses Digital Submission website](https://student.rtds.ox.ac.uk/). Full guidance on the functionality and instructions on how to submit a thesis using RTDS can be found in the [Quick Reference Guide for Students](https://www.ox.ac.uk/sites/files/oxford/field/field_document/QRG%20for%20Students%20v1.5.pdf).

The Internal Examiner will arrange the date of the oral examination, the *viva voce* (or viva for short). On no account should the candidate submit their thesis directly to the examiners or contact the examiners directly, although students may of course respond to their communications about dates, etc.

Examiners are required to return the examiners’ joint report within one month of the date of the candidate's viva.

### Timing of the viva

The date by which the student submits their thesis and abstract to the Examination Schools will be influenced by residence and other regulations (see section 10.3 residence requirements) but the submission date that the student states on their Application for Appointment of Examiners form should be no later than the last day of the next vacation.

If students wish to submit during Trinity Term and have to leave the UK during the summer vacation, they should remember that potential examiners often have heavy examining commitments in June and July. Students should, therefore, make their application for the appointment of examiners ideally prior to the Graduate Studies Committee meeting in Hilary Term. Any such application must be made at least 14 days before the meeting, and must give a firm date for the planned thesis submission. There remains a risk that the *viva* will not be held until the following Michaelmas Term.

Students may apply to the OII’s Graduate Studies Committee for the oral examination to be held not later than a certain date, provided that this date is not less than one calendar month after the date on which the thesis has been received at the Examination Schools **or** after the date on which the examiners have agreed to act, whichever is the later. Applications for time-limited vivas can be made on the Application for Appointment of Examiners form at the same time as the main submission; please notify the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk)) if you are making such an application. If the committee is satisfied that there are special circumstances justifying this application, it will ask the examiners to make arrangements to enable the oral examination to be held within the period specified.

If a student has been prevented by exceptional circumstances from completing their thesis within 12 terms of becoming a graduate student (24 terms for Part-Time), then they can apply for an extension through the Extension of Time form on the [Student Self-Service](https://evision.ox.ac.uk). This application must be submitted no later than the term in which they were due to submit. The application will require the support of the student’s supervisor and their college. It will be considered by the Graduate Studies Committee at their next available meeting and the committee has the power to grant an extension of up to six terms in all for full-time and up to 3 terms for part-time students. The Department will normally only approve one term of extension at a time.

### Selection of examiners

Supervisors are asked to suggest on the Application for Appointment of Examiners form the names of at least two proposed examiners (one internal from the University, one external) to examine the thesis, and to consult with the student to check if they have any reasonable objection to any of the names proposed.

Neither examiner will be the student’s supervisor, or have given significant help or advice to the student in the preparation of the thesis, or have a connection to the candidate that would impair their ability to act as an impartial examiner. In the case of in-person exams, examiners should in principle be based in the UK or Europe, or planning to visit the UK in any case, as there is only limited funding available to pay the travel costs of external examiners. For approved remote (online) vivas, no restrictions on the supervisor’s location exist.

It is helpful if the supervisor can check informally with the proposed examiners whether they would in principle be willing to act before they indicate their names on the form. A backup examiner can also be indicated in case the proposed examiner is unable to attend.

### Oral examination arrangements

Please note that remote (online) interviews can be conducted without requiring approval from the Proctors, by applying for permission to the Director of Graduate Studies. Please see the [*Policy and Guidance on Research Degrees*](https://academic.admin.ox.ac.uk/research-degrees)*,* Annex D, for further information.

The oral examination will normally be held in Oxford, except when approved in advance by the Director of Graduate Studies.

# Thesis Preparation & Submission

This section reflects and expands upon the provisions of the *Examination Regulations*, which should always be consulted and supersede this section in case of any conflicts. The following suggestions, in so far as they go beyond the printed regulations, are not obligatory, but they are what the department recommends as clear and acceptable.

Bear in mind that success in writing a thesis is achieved in a number of ways: by an imaginative search for sources, by a thorough investigation of evidence, by rigour of analysis, by profundity or originality of interpretation, and by clarity of presentation.

Students should also consult the [guidance notes](http://www.ox.ac.uk/students/academic/guidance/graduate/progression) issued by the Graduate Office and the [General Regulations for the Degree of Doctor of Philosophy](https://examregs.admin.ox.ac.uk/Regulation?code=grftdodoctofphil).



## Thesis preparation

### Choice of thesis topic

The exact topic and title of your thesis require careful thought. At the risk of stating the obvious, it should be a topic which:

* is of interest to you
* addresses interesting questions
* is researchable (in the sense that there is information in respect of the subject – preferably neither too little nor too much)
* can be supervised and researched in Oxford (in terms of the resources available, such as supervisors and libraries)
* hasn’t been covered before (check through lists of previously accepted theses in the Bodleian, [www.theses.com](http://www.theses.com), and a full literature search)
* doesn’t depend too heavily on confidential information (see below)

The University works upon the assumption that the results of research contained in a thesis belong in the public domain and that subsequent readers should be able to verify any documentary references. You should not therefore embark on research that is heavily dependent on confidential information without first checking with your supervisor that this is likely to be acceptable, and then applying to the Graduate Studies Committee. For the reasons given above, the Graduate Studies Committee is very reluctant to accede to requests to exempt a thesis from consultation, particularly if this request is made only when the thesis is submitted. Under no circumstances should you give undertakings to providers of sources that access to the completed work will be restricted, unless you have first sought the agreement of the Committee. As a centre devoted to the understanding of the Internet, it is also expected that to the extent practical, data and results will be published online, at least through the OII site.

It is important to be clear not only about the overall topic of the thesis, but also about the particular questions regarding your topic that you seek to answer.

### Thesis title

Thesis titles should be concise, clear and specific. They should be comprehensible to a non-specialist. A sub-title, if used, should be separated from the first part of the title by a colon, and it should begin with a capital letter. Titles should contain indications of the precise scope or focus of the work.

### Change of title

Should the focus of the student’s research change during their work on their thesis, it is important that they consider whether their current title is still appropriate or whether it should be revised.

If a DPhil student wishes to revise their thesis title they must make an application to do so by completing a Change of Thesis Title form available online through the [Student Self-Service](https://evision.ox.ac.uk). It is also possible to indicate a change of thesis title on the final submission form (Application for Appointment of Examiners, also through the Student Self-Service), so no separate application of the Change of Thesis Title form needs to be made for this at the point of submission.

DPhil students should also note that if the research area changes dramatically that they may also need to resubmit another CUREC form to seek ethical approval.

### Notifying funders of changes of title or topic

If you have funding from external bodies such as the ESRC, you must check the terms of their funding to determine whether you need the funding body’s approval for a change of title. Normally such approval is needed for a change of research topic, but not for a minor change of title. If approval is required it is likely to be the responsibility of your supervisor to ensure that the relevant body is contacted and its approval sought.

### Introduction

An introduction to a thesis is crucial to clarifying the thesis’s purpose and character. The following are among the items which you might wish to consider including in an introduction:

* A short explanation of the thesis topic and the reasons for choosing it.
* A listing of the principal questions that the thesis seeks to answer.
* A summary of the main existing views or conventional wisdom about your subject.
* An indication of how the thesis confirms or challenges these views.
* An account of the types of primary and secondary sources used, and a discussion of the problems associated with these sources.
* An explanation of the main methods of analysis/intellectual approaches used in the thesis.
* An indication of what the thesis has not done: what parts of the subject were neglected, what sources were ignored or unavailable, what questions were not asked, what methodologies were not used.

### Writing

**Habits and timetable**

It is essential to keep in the habit of writing, and not to procrastinate on the grounds that further sources are sought or more reading is necessary. Often the process of writing itself helps to clarify the precise areas on which more information is still needed, and to refine the questions that should be asked in respect of the topic. In consultation with the supervisor, it is important to set a business-like timetable for completion of each chapter of the thesis, and stick to it.

**Back-up copies**

Please keep ample back-up copies of all parts of your work, and keep them where they cannot be corrupted or lost.You are strongly advised to ensure that your computer is automatically saving work and that backups are copied to an online location (Dropbox, OneDrive, iCloud, etc.).

**English**

A thesis must be in the English language and should, as far as possible, be written in plain and comprehensible English. Good syntax and punctuation are vital. You should not think that because you are writing an academic thesis you must use long and complicated sentences.

For practical (and sometimes entertaining) guides to good (and bad) English, see:

* Sir Ernest Gowers, *The Complete Plain Words*, rev. edn., Penguin Books, London, 1987.
* William Strunk and E.B. White, *The Elements of Style*, 4th edn., Allyn and Bacon, Boston, 1999.
* Lynne Truss, *Eats, Shoots and Leaves: The Zero Tolerance Approach to Punctuation*, Profile Books, London, 2003.

See also Fowler’s *Modern English Usage*, published by Oxford University Press.

Correcting English is not the responsibility of your supervisor. If you are not a native speaker of English, it is your responsibility to ensure that your thesis is written in correct and lucid English. Courses in English as a second language and in academic writing are available at the University’s language centre.

**Style**

A thesis should have a clear and consistent style so far as matters of spelling, capitalisation, abbreviation, dates, foreign words, references, bibliography etc. are concerned.

Dates should be rendered consistently both in text and footnotes, preferably as follows: 30 June 1999.

Students should be similarly consistent about their use of quotation marks. They should use *either* single *or* double as the default, not a mixture. The use of single quotation marks is generally preferred, with double quotes onlybeing used for a quote within a quote. The precise choice of style in such matters partly depends on what is most appropriate to the particular subject matter of the thesis, and in some cases on the form of publication ultimately sought.

Useful guides to style containing much material of particular relevance to academic theses include:

* P.J. Dunleavy, *Authoring a PhD*: How to plan, draft, write and finish a doctoral dissertation or thesis. Palgrave, 2003
* R. E. Allen, *The Oxford Writers’ Dictionary* (or else its immediate predecessor, *The Oxford Dictionary for Writers and Editors*), Oxford University Press.
* Robert Ritter, *The Oxford Guide to Style*, Oxford University Press.
* Margaret Mahan, *The Chicago Manual of Style*, University of Chicago Press.
* Kate L. Turabian, *A Manual for Writers of Research Papers, Theses, and Dissertations*, Heinemann, London, 1982; and University of Chicago Press, sixth edition 1996.

Most academic journals also contain at least brief guidance on style and footnoting.

**Headings and subheadings**

In most theses it is important not only that each chapter has a clear and informative title, but also that there is a consistent system of headings and sub-headings to assist the reader (and possibly the writer too) in following the narrative argument of the thesis. Very often a hierarchy of headings is needed. One quite common way of establishing a hierarchy is to have major section breaks centred and in larger type, and lesser ones set left and in the same size type as the main body of the text (albeit distinguished from it by being in bold, italics or similar). Many variations in between are possible, the number of levels being dependent on the nature of the material. Work out a clear and consistent hierarchy of headings appropriate to your subject, and then stick to it. Chapters, sections, sub-sections, etc. should be numbered in a systematic and consistent way. For example, Section 3.5.2 might be the second subsection of Section 5, which is itself inside Chapter 3.

### References

References are an important part of a thesis. They enable you to provide an indication of your skill and judiciousness in use of sources, and they assist the reader in understanding and evaluating what you are saying. They can also be one line of protection against accusations of plagiarism – the presentation of another person’s work as your own. The American Psychological Association’s style, otherwise known as **APA style**, is the OII recommended referencing style. The OII library has copies of the APA style guide and related reference works; additional information is available [online](http://www.apastyle.org/).

Particularly for theses in some domains of research, it may be appropriate to give references in footnotes. Apart from indicating a clear preference that footnotes should be at the bottom of each page, the *Examination Regulations* give no guidance on a preferred style for reference notes. The Graduate Studies Committee has therefore issued the following guidelines for footnotes:

* Any system of footnote referencing is acceptable, provided it is **rational, unambiguous** and **consistent.** Guidance may be sought from works mentioned above.
* Footnotes should, if at all possible, be at the foot of the page to which they refer. Alternatively, they may be grouped at the end of the thesis. They should not be grouped at the ends of chapters. When they are grouped at the end of a thesis, two separate copies of footnotes, separately bound or stapled, should be enclosed with the thesis for the examiners’ use.
* In almost all footnoting systems, book and journal titles should be either underlined or italicised. Italics are preferred. Article and chapter titles should be in quotation marks.

Be consistent about these matters.

Modern software packages exist that will automate the procedure of compiling lists of references and inserting properly formatted citations and references into your thesis. Examples of such software packages include Endnote, Mendeley, and BibTeX. The use of such software minimises the chance of improper or inconsistent citation practice and is recommended.

### Thesis length

The maximum length of a DPhil thesis is 100,000 words, excluding the bibliography. This limit must be observed. It is a maximum, not a norm: many successful theses have been significantly shorter. Over-length theses are liable to be rejected unless you have, with the support of your supervisor, secured in advance permission through the Graduate Studies Committee for your DPhil to exceed the limits. Such permission is granted only very exceptionally.

In calculating the DPhil thesis length, please note the following points:

* The only item excluded from calculations of the number of words is the bibliography.
* Tables in the text are counted in terms of their word equivalents.
* Footnotes and appendices are included in calculations of word length.

### Integrated Thesis

An Oxford DPhil thesis has traditionally been submitted in monograph format, as a series of chapters presented in the style of a book. The majority of DPhil theses continue to be submitted in this format.

However, it is increasingly common in some fields for theses to include published papers, or papers intended for future publication, within the main body of the text; this type of work is known as an ‘integrated thesis’. Whether a thesis is integrated or conventional in format, the content of the thesis should reflect the amount, originality and level of work expected for a DPhil thesis.

To ensure the thesis constitutes a thematically coherent whole, an integrated thesis must include an introduction, a literature survey and a conclusion. Any included papers should relate directly to the candidate’s approved field of study, and should have been written whilst holding the status of PRS or a student for an Oxford MSc, MPhil, MLitt, or DPhil. Students are expected to clarify in the introduction and/or conclusion how the chapters are integrated as a complete text or how the papers relate to one another. In some cases, students may wish to rewrite portions of the published material to make it fit the structure of their thesis. If they have done so, this should be clearly indicated, normally with a footnote or endnote. The original sources of published papers should always be clearly cited.

There is no minimum or maximum number of papers written for publication that can be submitted as part of the DPhil thesis, but the thesis must address an overarching research question, represent a coherent and focused body of research, and be of the appropriate standard and scope to pass a doctoral examination. Requests to submit an integrated thesis should be made with the support of the student’s supervisor(s) to the Programme Director prior to applying for either Transfer of Status or Confirmation of Status. If the Programme Director approves the request, the final decision will be made by the Graduate Studies Committee of the Oxford Internet Institute based on the recommendation of the assessors for these milestones. Applications to submit an integrated thesis will normally not be considered for students who have already passed Confirmation of Status. Students who wish to change back to a conventional thesis format are required to make this request in writing to the Graduate Studies Committee prior to applying for their next assessment milestone or final thesis submission. Ideally students must clarify when they submit their transfer application if they plan to submit an integrated thesis.

A thesis submitted under this rubric may include co-authored articles. In the case of co-authored articles, students must submit to the Graduate Studies Committee a description of their contribution to the article and demonstrate that their work represents the majority contribution. Additionally, the student must be able to defend all papers written in collaboration in their entirety. If the Graduate Studies Committee is not satisfied that the greater part of the work included in the thesis is the student’s own, the committee will not proceed to appoint examiners.

Where papers form part of an integrated thesis, they should be incorporated in accordance with the [General Regulations Governing Research Degrees](https://examregs.admin.ox.ac.uk/Regulation?code=grgoveresedegr) (particularly 7.1).

Students should note that an integrated thesis is not an easier option than a conventional thesis. The inclusion of one or more papers which have been accepted for publication or have been published does not constitute proof that the work is of sufficient quality or significance to merit the award of the degree concerned; this remains a judgement of the Graduate Studies Committee on the recommendation of its examiners. Additionally, it is not permitted for articles published prior to the start of the DPhil to be used as part of an integrated thesis (see 7.2.2 for further details).

## Intellectual Property Rights and Plagiarism

### Intellectual property rights

As someone undertaking research and writing, every student needs to be aware of the importance of copyright and other intellectual property rights issues in relation to your own and other people’s work. Students need to learn about the possibilities and problems of academic and commercial exploitation of their research and writing. This includes the terms of contracts with publishers, universities and other bodies. It also includes the avoidance of plagiarism, addressed below.

Much help and advice is available on these matters, including from the student’s supervisor and other members of the OII’s staff with experience of different types of research, publication and employment contract. For information about Oxford University’s facilities for help in this area, and its intellectual property policy generally, see the [Bodleian Libraries’ Guide to Copyright](https://www.bodleian.ox.ac.uk/ask/how-to-guides/copyright). Plagiarism

Plagiarism is the presentation by a student of any part, or the substance of any part, of another person’s work (including the work of other students) as if it were their own. It can take the form of unacknowledged quotation and of substantial unattributed paraphrase. It is not acceptable for any of your academic work to incorporate plagiarized material. This includes essays and presentations as well as material submitted as coursework or as part of a formal examination. Additionally, you must not submit work for assessment that you have already submitted (partially or in full), either for your current course or for another qualification at this, or any other, university (this is known as auto-plagiarism). The only exception to this rule is that work submitted for assessment in the MSc in Social Science of the Internet or the MSc in Social Data Science may be used as the basis for work submitted for assessment in the DPhil, under the provision that such work is expanded and improved to suit the scope and level of the DPhil. As such, it is permitted to include improved sections of an OII MSc thesis or coursework as part of an expanded chapter or paper in the DPhil, but not to submit wholesale any OII MSc coursework as (for example) an entire paper in an integrated thesis.

If a student employs good scholarly practice in their written work − for example, by attributing quotations and using only legitimate and acknowledged paraphrase − there is little danger that they will be accused of plagiarism unjustifiably. Written work should involve the use and discussion of material written by others with due acknowledgement and with references given. This is standard scholarly practice and can be clearly distinguished from appropriating without acknowledgement and presenting material produced by others as their own.

The University employs a series of sophisticated software applications and other methods to assess plagiarism in submitted work. They regularly monitor online essay banks, essay-writing services, and other potential sources of material. The OII reserves the right to check samples of submitted essays for plagiarism. All students are required to keep electronic copies of their written work, and may be required to produce these in order to facilitate this process. These checks may take place on randomly selected essays or theses as well as on material identified as suspect by Tutors, Assessors, or Examiners.

Plagiarism is a disciplinary offence for which offenders can expect to receive severe penalties. For example, the University has the statutory power to deprive somebody of a degree or other qualification after it has been awarded, if it is proved that it was obtained unfairly (for example, if a thesis or submitted coursework is found to contain plagiarised material). The relevant disciplinary regulations are:

1. No candidate shall present for an examination as his or her own work any part or the substance of any part of another person’s work.
2. In any written work (whether thesis, dissertation, essay, coursework, or written examinations) passages quoted or closely paraphrased from another person’s work must be identified as quotations or paraphrases, and the source of the quoted or paraphrased material must be clearly acknowledged.

Please also see the [Oxford Students skills webpage](https://www.ox.ac.uk/students/academic/guidance/skills) for further information on advice on academic good practice and plagiarism.

## Thesis submission

The thesis must:

* be of no more than the maximum word count (100,000 words for DPhil), not counting bibliography
* include a title page (see below)
* include an abstract (see below)
* be submitted in English

### Title page

The title page (i.e. the first printed page after the front cover etc.) should contain the following information:

* Title, and subtitle (if any).
* Author, college and words to the effect of ‘Thesis submitted in partial fulfilment of the requirements for the degree of DPhil in [Information, Communication and the Social Sciences/Social Data Science] in the Oxford Internet Institute at the University of Oxford’.
* Month and year, or else the Oxford term and year, in which the thesis was submitted.
* The exact word count of the whole thesis. This word count must include footnotes but exclude the bibliography.

### Abstract

DPhil theses must have an abstract of up to 300 words, which should be on one side of a single sheet of A4 paper. It should be bound into all bound copies of the thesis, and be placed immediately after the title page.

The abstract should be a very clear summary of the subject matter of the thesis, its aims, scope, principal sources, central argument and conclusions. The abstract should be self-standing, and contain all the information on the title page of the thesis. It should be comprehensible to non-specialists, and be suitable for use in general surveys such as Aslib, *Index to Theses*, ‘Choice of thesis topic’.

### Preparation of theses and abstracts-style and format

* Your thesis must be submitted online via the Research Thesis Digital Submission (RTDS) application. The typewritten part of the thesis must be saved as a pdf. Additional files (images, recordings, annexes, etc. should be saved to a single zip file and uploaded under “Additional Documents”.
* Your thesis must be submitted in English, apart from quotations and recognised technical formulae, or where the Divisional or Faculty Board has determined otherwise.
* Your thesis may be scanned with text-matching software (either iThenticate or TurnItIn) should the examiners question the authenticity of the work, but such theses will NOT be saved to the software databases.
* Pages of the thesis must be numbered.
* Include an abstract with each copy of your thesis (generally after the title page in the thesis).
* Include a title page with each copy of your thesis, giving your full name, college, thesis title and your degree.
* The main body of your thesis should be in double spacing with quotations and footnotes in single spacing.
* Portrait would usually be expected, landscape may be permitted by agreement with the relevant board.
* Select an easily readable font, such as Times New Roman.
* Font size 12pt is preferred, but a minimum font size 11pt is recommended for text and 10pt for footnotes.
* You should allow a margin of 3 to 3.5cms on the left-hand edge of each page.
* Footnotes should normally be placed at the bottom of each page. Where they are given at the end of the thesis, a separate copy of footnotes should also be submitted under “Additional Documents”, for the convenience of the examiners.

### Submission of examiners’ copies of your thesis

1. You must submit your digital examiners’ copy of your thesis online, via RTDS (Research Thesis Digital Submission), no later than the last day of the vacation immediately following the term in which your application for the appointment of examiners was made. [Research Theses Digital Submission website](https://student.rtds.ox.ac.uk/). Full guidance on the functionality and instructions on how to submit your thesis using RTDS can be found in the [Quick Reference Guide for Students](https://www.ox.ac.uk/sites/files/oxford/field/field_document/QRG%20for%20Students%20v1.5.pdf).
2. You may submit the digital examiner’s copy of your thesis at the same time as applying for the appointment of examiners, but note that your examiners will not be sent a link to download the thesis, until [the](https://www.ox.ac.uk/students/academic/guidance/graduate/progression?wssl=1) Application for Appointment of Examiners form (submitted via the [Student Self-Service](https://evision.ox.ac.uk)) has been approved and your examiners have formally confirmed their appointment to the Research Degree Team at the Examination Schools.
3. If your thesis title has changed, it is your responsibility to request approval of the new title on your Application for Appointment of Examiners form. Submit this form four to six weeks prior to the submission of the thesis where possible. The examiners have to be approved by the relevant board and the examiners’ formal acceptance received before a link to download the thesis can be sent out.
4. Hard copies of your thesis may only be requested by your examiners from the Research Degrees Team. The minimum time between both examiners receiving the official digital copy of the thesis and a viva date remains at four weeks (as referred to under ‘the oral examination or viva’ section below).
5. Examiners are required to return the examiners’ joint report within one month of the date of the candidate's viva or the receipt of the completed minor corrections.

## Dispensations from viva regulations

In particular, the Education Committee would remind candidates, supervisors, and examiners of the following:

* The normal expectation is that the oral examination will take place in Oxford with the candidate and both examiners present. If the external examiner is unable to come to Oxford because of exceptional circumstances, or if there is other good reason, permission may be given by the relevant board for a *viva* to be held by videoconference with the external examiner. Such permission will only be given in exceptional circumstances.
* Requests for early *vivas* should be reasonable: it should be noted that the regulations do not permit a candidate to request an examination date that is earlier than one month after receipt of their thesis at the Examination Schools. External examiners should be advised not to make their travel arrangements in advance of early *viva* requests being agreed. Requests from examiners to hold the *viva* sooner than one month after receipt of the thesis, which will involve an application to the Proctors for dispensation from the regulations, will be considered only in exceptional circumstances.
* Electronic copies of theses should not be sent direct to examiners by supervisors or candidates. When permission has been granted for an examiner to receive an electronic copy of a thesis, it must be sent from the Examination Schools.
* All requests for dispensations from regulations should be well planned and made in good time.

## Notification of results of DPhil viva

The report and recommendation of the examiners is submitted to the OII’s Graduate Studies Committee. The examiners have a number of options which they can recommend to the Committee:

1. that the Committee should grant you leave to ‘supplicate for’ the degree of DPhil (a pass with or without minor or major corrections)
2. that your thesis should be referred back to you for re-examination for the degree of DPhil after substantial changes (referral for DPhil)
3. that you should be given leave to supplicate for the degree of MLitt (award of MLitt)
4. that you should be given leave to supplicate for the degree of MRes (award of MRes)
5. that your thesis should be referred back to you for re-examination for the degree of MLitt only (referral for MLitt)
6. that you should be given a choice between referral for DPhil or award of MLitt
7. that you should be given a choice between referral for DPhil or referral for MLitt
8. that your application for leave to supplicate should be refused (an outright fail)

The Graduate Studies Committee will usually accept the examiners’ recommendations but have the power to appoint one or more fresh examiners if they do not accept the examiners’ recommendation or the examiners are unable to agree on a recommendation.

Formal confirmation of the Committee’s decision will be sent in writing to you by the Examination Schools as soon as possible.

### Minor DPhil corrections

If, at the time of the *viva*, the students’ examiners require any minor corrections or amendments, they must supply these to the examiners **within 1 month** from the point at which the student receives the detailed list of minor corrections. If a student cannot complete minor corrections within this time period, students can apply, using the [GSO.18](https://www.ox.ac.uk/sites/files/oxford/GSO.18_0.docx) form, to extend this for another 1 month maximum. Please note that an extension is only granted in exceptional circumstances and must be approved by the Graduate Studies Committee. The form of such minor corrections is normally that they are incorporated in the finalised and hard-bound copy of the thesis. Then the examiners, having approved them, pass the finalised thesis on to the Examination Schools, and send their report and recommendation to the Graduate Office.

### Major Corrections

If the student’s examiners require major corrections, they must supply these to the examiners **within 6 months** from receiving the list of corrections. If a student cannot complete major corrections within this time period, students can apply to extend this for at most another 3 months, using the [GSO.18](https://www.ox.ac.uk/sites/files/oxford/GSO.18_0.docx) form. Please note that an extension is only granted in exceptional circumstances and must be approved by the Graduate Studies Committee.

### Reference Back

If the student’s examiners’ decision reference back for revision and re-submission then a student must supply these within 2 years from which the student received the statement of required revisions.

## Library copies

**Students will not need to submit a hardbound copy of their DPhil thesis to the Examination Schools in order to graduate, following being granted leave to supplicate, during the 2021/22 academic year.**

**This also includes any students who were unable to submit a hardbound copy due to COVID-19 social distancing measures in the 2020/21 academic year.**

**However, all candidates will still need to submit an electronic copy to the** [Oxford University Research Archive (ORA)](http://ora.ox.ac.uk) **a minimum of five working days prior to their graduation date. Students will not be able to attend a degree ceremony (even in absentia) without doing so.**

ORA provides high visibility and digital preservation for Oxford digital theses. Students will need to go to the ORA help and Information website at <http://www.bodleian.ox.ac.uk/ora> . Students should contact [ORA@bodleian.ox.ac.uk](mailto:ORA@ouls.ox.ac.uk) if they require further information or have any queries regarding deposit of their digital thesis. Please notify the DPhil Coordinator at [dphil@oii.ox.ac.uk](mailto:teaching@oii.ox.ac.uk) when you have successfully submitted your thesis onto ORA so that this can be linked onto the OII website. Please see Appendix I for further information on submitting to ORA.

**If access to a student’s thesis needs to be restricted, a hardbound copy of their thesis will still need to be submitted to the Exam Schools.**

**Submissions of Research Degrees theses to be sent to examiners will still be via the Research Thesis Digital Submissions portal.**

In order to have your degree conferred at a graduation ceremony you must submit one hard-bound copy of the final version of your thesis (including any approved minor corrections) for deposit in the Bodleian Library. You must submit [forms GSO.3a](https://www.ox.ac.uk/students/academic/guidance/graduate/progression?wssl=1) and [GSO.26](https://www.ox.ac.uk/students/academic/guidance/graduate/progression?wssl=1) to the Research Degrees team at the Examination Schools with your Bodleian copy.

Candidates for the degrees of DPhil, MLitt and MSc by Research are also required to deposit a copy of their thesis with the Oxford Research Archive (ORA). Information regarding this process can be found on the [Bodleian website](http://www.bodleian.ox.ac.uk/ora) and will be sent with your result letter. Deposit of a copy with the archive is optional for candidates for the degrees of DClinPsych, MPhil, Master of Studies in Legal Research (MSt) or Bachelor of Philosophy (BPhil) in Philosophy.

If required, you may apply for dispensation from consultation of thesis using form [GSO.3c](https://www.ox.ac.uk/sites/files/oxford/field/field_document/GSO.3c_0.doc).

If either of these submissions remain outstanding, shortly before your degree ceremony you will receive emails reminding you to complete these submissions at least five days in advance of your ceremony. If these submissions are not completed by this time, your name may be removed from the ceremony list.

## Conferral of degree

The arrangements for the conferral of the students’ degree are made through their college. Degrees are conferred at the Sheldonian Theatre and a list of dates is available on the [University website](http://www.ox.ac.uk/students/graduation/ceremonies).

DPhil students will not be able to take their degree until the Bodleian Library copy has been received.

## DPhil students research data and records management

All DPhil students who collect data that they utilise in their thesis are required by University of Oxford to submit that data to ORA (Research Data Archive). Please see the [ORA website](http://researchdata.ox.ac.uk/preserving-your-data/ora-data/) for further information .

# Supervision and Teaching



## Supervision

All graduate students have a University supervisor. The University supervisor guides the student through their course of study. The supervisor reports on the student’s progress to the Graduate Studies Committee via Graduate Supervisor Reporting at the end of each term and will also provide feedback to the student. The advice of the supervisor will always be sought by GSC before recommending any change in status, transfer between courses, and so on.

Graduate students will also all have a College Adviser. The role of the College Adviser is to provide pastoral and general academic advice, which can be particularly helpful if the student has any academic or other difficulties that they do not feel able to discuss with the University supervisor.

### Appointment of supervisor(s)

DPhil students will be allocated a supervisor based on their original submitted research proposal. This choice will normally be confirmed at the end of the first term. The supervisor will have the overall responsibility for the direction of the student’s research. This primary supervisor is assigned by the OII’s Graduate Studies Committee and may be in a different college from the student.

Students are also encouraged to consider asking a second member of the faculty to serve as a co-supervisor. This is a requirement for ESRC-funded students, but many students find the inclusion of a second supervisor to be very useful in terms of support and guidance. The second supervisor may be identified and involved from the start of a DPhil student’s time at the OII, or as is frequently done, the second supervisor may be added after the Transfer of Status.

The following groups are usually required to have secondary supervisors:

* Students who have specific conditions attached to their funding which requires a departmental advisor (who, in fact, may be rather more passive than a primary supervisor),
* All students whose main supervisor is yet to see a DPhil to completion,
* Where there is a clearly identified need for additional expertise in the supervision team.

Co-supervisors will be normally appointed if the student’s area of research requires expertise in areas outside the primary supervisor’s research interests or to cover during his or her leave of absence. What is said below about primary supervisors applies also to joint supervisors. The second supervisor may be in a different department or might be an external supervisor (for example if your research project involves collaboration with another university).

Part-time Students are required to have two supervisors.

### Role of supervisors

The primary supervisor is responsible for planning the student’s course of study and for overseeing their progress. The primary supervisor’s approval and signature is required on applications to the Graduate Studies Committee on a wide range of matters.

The supervisor(s) will be the main source of information and advice throughout the course of the student’s research. Their responsibilities include:

* planning the framework of the student’s research programme
* advising students about lecture courses, both specialist and broadening
* advising students about skills-training courses including research techniques
* advising students about literature sources
* meeting regularly with the student to discuss their work (see below)
* keeping the student informed about their progress (both informally and through the formal termly report)

There should be at least three points at which you review your skills training needs with your supervisor: in your initial supervision, in preparation for Transfer of Status (where the transfer of status form has been amended accordingly) and at Confirmation of Status.

The University’s Educational Policy and Standards Committee issued a [*Memorandum of Guidance for Supervisors and Research Students*](https://academic.admin.ox.ac.uk/files/policyandguidanceresearchdegrees2019pdf)which you should read.

Please note that supervisors’ availability in Oxford during the summer vacation months may be limited and therefore it is important that students send drafts in a timely manner. Good practice would be to ask students to submit more than 4 weeks before final deadline, allowing a response to students at least ten days before the deadline for submission. Supervisors should provide a reasonable level of availability during the summer. It is the student’s responsibility to arrange dates for submission of work to supervisors. Supervisors should warn students of any extended period of unavailability during the month before the submission dates for key milestones.

### Contact with supervisors

The tradition of graduate work at Oxford is one of individual supervision of each student, combined with small seminars and classes. **DPhil students should expect to have the equivalent of between 9 and 12 one-hour supervision meetings per academic year**. The frequency of supervision meetings may vary term to term depending on what stage you have reached in writing your thesis, but you will meet your supervisor **at least once every term**. Students who have co-supervisors should have the opportunity to meet with both supervisors at the same time at least once per year.

It is essential for you to keep in regular contact with your supervisor, irrespective of whether you are resident in Oxford or researching elsewhere. It would be a great help to your supervisors if you would contact them at or before the beginning of each term. You should ensure that the DPhil Coordinator has your full contact address, and any changes of contact details should be updated promptly.

Part-time students will also be expected to set up regular meetings with their supervisor(s). Depending on the supervisor and student, meetings may be as frequent as weekly but also may be less frequent: **the departmental minimum standard for part-time DPhil supervisory meetings is for at least once per term.** These meetings may be face to face, but they can also be held remotely.

### Supervision reports

A number of students and supervisors have found that a practical and convenient method of maintaining an agreed record of meetings is for the student, as a matter of course, to send the supervisor a short e-mail summarising the content of the meeting and the agreed outcomes.

At the end of each term your supervisor writes a formal report on the University’s Graduate Supervision Reporting (GSR) on your progress and is required by the University’s regulations to communicate the contents of the report to you. In these reports, supervisors should give a full account of your work during the term and indicate the nature and extent of their contact with you. Supervisors are asked to give priority to prompt completion and return of the report forms. Copies of the report are sent to your college and to the Graduate Studies Committee where any problems are discussed and followed up. Completed report forms are subject to the Data Protection Act 1998, which stipulates that you as the ‘data subject’ may be given access to information held on you.

Continuation on the course depends on your satisfactory progress, so you should take very seriously any warnings expressed by your supervisor(s) that you are not progressing at the expected rate. You should also bring to their attention, in good time, any problems that are seriously affecting your progress, before the situation becomes too serious.

For more information on the Graduate Supervision Reporting (GSR) please refer to Appendix G.

### Change of supervisor

There are a number of reasons why a change of supervisor may become necessary or desirable. The department and all University supervisors recognise that occasionally there can arise incompatibilities of temperament or approach between supervisor and student, and that in such circumstances a change of supervisor may be desirable, not least because of the central importance Oxford attaches to the relationship between supervisor and student. Students are urged to discuss any problem of this kind freely and in full confidence with any of the following:

* The Director of Graduate Studies
* The DPhil Programme Director
* Any other member of the OII’s Graduate Studies Committee
* Their College Adviser or the College’s Tutor for Graduates

In all cases it is important that any definite proposal for change of supervisor be made in writing on **form GSO.25** for DPhil students.

### Periods of supervisor absence

If a supervisor is to be on leave and away from Oxford, or is leaving Oxford permanently, he or she is expected to make clear arrangements through the Graduate Studies Committee to cover the period of absence. This may involve continuing to supervise some students and transferring the supervision of others. **Form GSO.25** should be used. If you find problems regarding any such arrangements you should take the matter up directly and promptly with those listed above.

### Role of College Adviser

In addition to the supervisor, your college will have assigned you to a College Adviser, who takes a general interest in your well-being, and from whom you can seek academic and other advice.

## Responsibilities of Graduate students

Graduate students have a wide range of responsibilities. Since for the most part these responsibilities are not specific to students at the Oxford Internet Institute, but apply equally to all graduate students, they are not outlined in detail here. They are, however, of critical importance.

They include:

* taking responsibility for your programme of work and research
* accepting the importance of constructive criticism within the supervisory relationship
* making positive use of the University’s teaching and learning facilities
* providing regular reports on progress as required
* following the University’s procedures (including those outlined in these Notes of Guidance) promptly and conscientiously
* doing everything to ensure a high standard of written and spoken work

You should consult the much fuller information on the role and responsibilities of graduate students obtainable in:

* *Policy and Guidance on Research Degrees*, Section 5 on ‘Responsibilities of the Student’; issued by the Education Committee of the University, found at https://academic.admin.ox.ac.uk/research-degrees

## Teaching by graduate students

The OII welcomes the development of teaching skills and experience that tutorial teaching can bring to graduate students, provided the number of hours does not exceed 20 per week in term time, including time spent on preparation and marking.

The OII offers all DPhil students the opportunity for paid Teaching Assistants to help on the MSc courses. DPhil students must apply for the position as the positions can be competitive. Please contact the HR Officer and/or DPhil Coordinator for further information.

The Teaching Assistant experience can be used towards an award, Associate Fellow of the Higher Education Academy (HEA), which is recognised at universities across the UK. Please see Appendix G for more information on the award. Students should contact the Director of Graduate Studies in the first instance to discuss the possibility of working towards the HEA award.

Depending on a student’s disciplinary background, opportunities to teach undergraduates may also exist through departments other than the OII. In order to provide teaching, you must first:

* attend a half-day course in Tutorial Teaching or in Small-Group teaching provided by the Centre for Teaching and Learning.
* obtain the written agreement of your supervisor and your college.

Before accepting an offer, you should make sure that you have a simple written statement setting out the expectations on both sides (hours of work, conditions, length of contract and rates of pay). All paid work should be approved by your supervisor and the DPhil Programme Director.

# Feedback, concerns and queries



## Feedback

Students will receive regular feedback from their supervisor through the Graduate Supervision Reporting (GSR) termly reports. It is expected that you will complete a self-assessment report every reporting period. If you have any difficulty completing this you must speak to your supervisor or Director of Graduate Studies. Your self-assessment report will be used by your supervisor(s) as a basis to complete a report on your performance this reporting period, for identifying areas where further work may be required, and for reviewing your progress against agreed timetables and plans for the term ahead. GSR will alert you by email when your supervisor or DGS has completed your report and it is available for you to view.

Please see Appendix G: The GSR Graduate Supervision for further information on the GSR System.

Students are also expected to make a self-assessment of their progress over each academic year including any problems that they might be experiencing in their academic work or with supervision. Students will need to complete a Training Needs Assessment form and return it to the DPhil Coordinator towards the end of the academic year. The form will be seen by the student’s supervisor who will discuss issues or concerns with the student and will take follow-up action as required, thus ensuring that the student receives appropriate help and support. The form will also be used to alert the Graduate Studies Committee and the student’s college to any issues that need to be addressed.

DPhil students will also have the opportunity to attend an annual meeting with the DPhil Programme Director to review their progress and are encouraged to consult the DPhil Programme Director, at any time during the year, to address particular needs or problems.

## Course Evaluation

The OII ensures that students also have the opportunity to comment on the structure, teaching, and content of the programme and courses. Feedback will thus be sought through the use of student evaluation forms at the end of each lecture or seminar series, and can always be given to the student representatives (see 9.3 below), who provide termly feedback directly to the Programme Directors at Graduate Joint Consultative Committee (GJCC). Further information about feedback will be given by course providers and the DPhil Coordinator. The feedback received from students is anonymous. The evaluations are reviewed at the Graduate Studies Committee and addressed as appropriate, and students are notified of how this will be either resolved or changed for the next academic year.

Further to this we also offer termly feedback sessions which gives DPhil students the opportunity to provide constructive feedback on the programme in general. These are usually scheduled after the DPhil Seminar in week 8.

## Student Representation

Student Representatives are a key link between the students within the cohort or year group and the academic staff within the OII. They seek out students’ views and represent students at meetings, working in partnership with staff to improve the course, campaign for change, and resolve any course-related issues which may arise. Course Reps work closely with the Divisional Board Reps, Oxford SU, and its elected officers (both full and part-time) to improve student experience across the university. Throughout Michaelmas Term, the Oxford Student Union puts on specific training for Course Reps for each division.

Please see the Oxford Student Union website at <https://www.oxfordsu.org/education/representation/> for information on training sessions that they provide which all new course reps are strongly advised to attend. These sessions are usually held in Michaelmas Term.

The Oxford Student Union also has a basic guide <https://www.oxfordsu.org/resourcehub/courserepguide/> on expectations of a course rep.

Student representatives should:

* regularly seek out the views and opinions of the students they represent on all matters concerning their student experience;
* bring course-level issues and concerns raised by the students they represent to meetings of the GJCC;
* attend and contribute, as far as possible, to any other meetings with staff to which they are invited;
* ensure that they are prepared for meetings by reading papers and consulting with the students they represent on the issues to be discussed;
* provide feedback to the students they represent on the outcomes of meetings;
* liaise with other course representatives to form a picture of the views of the department student body;
* attend training for course representatives provided by Oxford SU.

Oxford Student Union also has Divisional reps who represent students at Divisional level. They sit on the Divisional Board and relay the views of students to the academics. These students also are members of Student Council. These elected reps are key links for you as a Course Rep and you get in contact with them via: [pg-socsci@oxfordsu.ox.ac.uk](mailto:pg-socsci@oxfordsu.ox.ac.uk)

Please see the [Policy and Guidance on student engagement and representation](https://academic.admin.ox.ac.uk/sites/default/files/academic/documents/media/policy_guidance_on_student_engagement_representation.pdf.) for full information on the role and responsibilities of student representatives.

If you wish to contact any of the student reps they can be contacted at [dphil.reps@oii.ox.ac.uk](mailto:dphil.reps@oii.ox.ac.uk).

## Graduate Joint Consultative Committee

The OII has a Graduate Joint Consultative Committee (GJCC) which is a staff-student committee designed to enhance opportunities for feedback and participation amongst both MSc and DPhil students (see Appendix A: Graduate Joint Consultative Committee for further details). Students have the opportunity to elect three representatives from among the DPhil students to sit on this committee. The student representative will serve on the committee for one academic year at a time and elections will take place in Michaelmas Term. The nominated representative shall be entitled to attend the termly meetings and contribute to discussion. The student representative is responsible for sending a brief report to all students after each meeting listing all the issues discussed and request any feedback if required.

The GJCC committees will meet once during term time, normally in week 2. GJCC committee meetings are open to all interested students at the OII, although in matters of voting, the elected representatives will be the only voting members.

The Chair of the GJCC will be a DPhil student representative and will also serve on the Graduate Studies Committee at the OII. It is normally expected that the Chair will be a student who has served on the GJCC for at least one year previously, although the committee can decide to waive this in exceptional circumstances.

Student representatives sit on the Graduate Studies Committee and the Research Committee and they will be nominated by the GJCC. The Research Committee normally meets in week 6 and the Graduate Studies Committee normally meets in weeks 3 & 7. The Research Committee discusses all relevant academic and research issues with a view to promoting and co-ordinating those activities within the Institute. The Graduate Studies Committee is responsible for the oversight and evaluation of all courses and provision for graduates, as well as the admissions process and the implementation of teaching policy.

Please see appendices A & B for descriptions of the Graduate Joint Consultative Committee, Graduate Studies Committee and Research Committee responsibilities and membership.

Student representatives sitting on the Divisional Board are selected through a process organised by the Oxford Student Union (Oxford SU). Details can be found on the Oxford SU website at https://oxfordsu.org along with information about student representation at the University level.

## Sources of advice, help and counselling

If a student has concerns, academic or administrative, they have several possible courses of action open to them.

For academic issues:

* Within the OII students can consult their supervisor, the Programme Director, the Director of Graduate Studies, or the Deputy Head of Department, who will if necessary, consult the appropriate authorities on the student’s behalf.
* At their College, students can consult the Senior Tutor, the Tutor for Graduates or their own College Advisor, who will give similar help.
* Students can also consult the OII’s DPhil Coordinator or the Graduate Office at the Social Science Division, who will advise on the obtaining of necessary forms, submission of applications, dates and deadlines, deposit of theses, etc.

For careers advice:

* The Careers Service of the University at 56 Banbury Road can help students prepare for their careers after graduate school.

On a more personal level:

* Students can consult one of the OII’s harassment advisors if they believe they are being harassed or have any other personal issues that they would like to discuss. The OII has six harassment advisors in place, Mrs Laura Maynard, Dr Kathryn Eccles, Professor Sandra Wachter, and Dr Grant Blank. Contact details and further advice can be found on the [OII Intranet](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Harassment%20Advice.aspx).
* The Sexual Harassment and Violence Support Service support is an all-in-one provision and they provide support and advice to students who have been affected by sexual harassment or violence. This also includes stalking someone or following them in person and/or online. See <http://www.ox.ac.uk/againstsexualviolence> or e-mail [supportservice@admin.ox.ac.uk](mailto:supportservice@admin.ox.ac.uk).
* The University Counselling Service at 3 Worcester Street (which acts strictly in confidence) is experienced not only in general psychological problems but also in the special problems and blocks associated with academic work. See <http://www.ox.ac.uk/students/welfare/counselling> or phone: (2)70300 or email [counselling@admin.ox.ac.uk](mailto:counselling@admin.ox.ac.uk).
* *Nightline* is a confidential listening and information service run for students by students and is open from 8pm until 8am from ought to ninth week each term. Students can phone (2)70270 or visit Nightline at 16 Wellington Square.
* The student’s college nurse or the local doctor with whom they are registered may be able to help.

Every college has their own systems of support for students, please refer to your college handbook or website for more information on who to contact and what support is available through your college

Details of the wide range of sources of support are available more widely in the University are available from the Oxford Students website ([www.ox.ac.uk/students/welfare](http://www.ox.ac.uk/students/welfare)), including in relation to mental and physical health and disability.

### Disability Advisory Service

The University operates a Disability Advisory Service which provides information and advice to students with impairments, health conditions, specific learning disabilities, and any other physical or mental difficulties (see <http://www.ox.ac.uk/students/welfare/disability>). The Oxford Internet Institute works closely with this service to support students who require additional support or accommodation in their coursework, examinations, assessments, and interactions with the faculty and their peers at the OII.

Students who require support of this nature are advised to contact the Disability Advisory Service as soon as possible during the academic year, or when a new situation arises, for advice and support. The Service will liaise with the OII to provide you the support you need.

The OII supports the University’s *Common Framework for Supporting Disabled Students* (https://academic.admin.ox.ac.uk/common-framework-for-supporting-disabled-students). Chrissy Bunyan is the OII’s Disability contact, and is available to discuss any related issues, and to assist with connecting the student with appropriate support. She can be contacted at [christine.bunyan@oii.ox.ac.uk](mailto:christine.bunyan@oii.ox.ac.uk).

## Complaints and academic appeals within the department of Oxford Internet Institute

The University, the Social Science Division and the OII all hope that provision made for students at all stages of their programme of study will make the need for complaints (about that provision) or appeals (against the outcomes of any form of assessment) infrequent.

Where such a need arises, an informal discussion with the person immediately responsible for the issue that you wish to complain about (and who may not be one of the individuals identified below) is often the simplest way to achieve a satisfactory resolution. Advice can be sought from the list of contacts given above.

Many additional sources of advice are available from colleges, the Counselling Service, the Student Welfare and Support Services ([swss@admin.ox.ac.uk](mailto:swss@admin.ox.ac.uk)) and the Oxford SU Student Advice Service, which have extensive experience in advising students. You may wish to take advice from one of those sources before pursuing your complaint.

General areas of concern about provision affecting students as a whole should be raised through Graduate Joint Consultative Committee or via student representation on the department’s other committees.

### Complaints

Complaints are dealt with in different ways depending on the nature of the complaint.

If your concern or complaint relates to **academic decisions, examinations or assessments**, you can discuss this with the Programme Director, your supervisor or with the Director of Graduate Studies (Prof. Greg Taylor). Within the Department the officer concerned may attempt to resolve your concern/complaint informally. If, following such a discussion, you are not satisfied, you can submit an academic appeal to the Proctor’s Office. Such complaints must be made within 3 months of the relevant outcome. Further details can be found on the University website: <https://www.ox.ac.uk/students/academic/complaints>

The procedures adopted by the Proctors for the consideration of complaints and appeals are described on the [Proctors’ webpage](https://www.proctors.ox.ac.uk/resources-for-students), the Student Handbook, and the relevant [Council regulations](https://governance.admin.ox.ac.uk/legislation/council-regulations-6-of-2003).

If your concern or complaint relates to University services such as teaching or supervision, you should raise it in the first instance with the Programme Director, your supervisor, or with the Director of Graduate Studies. Within the Department, the officer concerned may attempt to resolve your concern/complaint informally. If you are unable to resolve the matter locally you can [submit a complaint to the Proctors’ Office](https://academic.admin.ox.ac.uk/complaints) using the appropriate form and should do so as soon as possible and in any event within 3 months of when the matters you are complaining about occurred.

A complaint about the **behaviour of a member of staff** in the University should be made to their head of Department, or in the case of a conflict of interest, to the head of the Division. If it is not clear to whom a complaint should be addressed, advice may be sought from Personnel Services. If your complaint relates to harassment, please see the detailed guidance and sources of support in section 10.5.8 of this Handbook.

If your complaint relates to the behaviour of another student in the University which constitutes harassment, please again see section 10.5.8 of this Handbook for detailed guidance and sources of support.

If your complaint relates to provision made by your college, you should raise it either with your tutor or with one of the college officers, Senior Tutor, or Tutor for Graduates (as appropriate). Your college will also be able to explain how to take your complaint further if you are dissatisfied with the outcome.

### Academic appeals

An academic appeal is an appeal against the decision of an academic body (e.g. boards of examiners, transfer and confirmation decisions etc.), on grounds such as procedural error or evidence of bias. There is no right of appeal against academic judgement.

If you have any concerns about your assessment process or outcome it is advisable to discuss these first with your subject or college tutor, Senior Tutor, Programme Director, Director of Graduate Studies, Supervisor, college or departmental administrator as appropriate. They will be able to explain the assessment process that was undertaken and may be able to address your concerns. Queries must not be raised directly with the examiners.

If you still have concerns you can make a formal appeal to the Proctors, who will consider appeals under the [University Academic Appeals Procedure](https://academic.admin.ox.ac.uk/academic-appeals-0).

# Regulations and Policies



## Examination Regulations

*Examination Regulations* are published in July each year covering the academic year from October to September. They are available online at examregs.admin.ox.ac.uk/. Any amendments made during the academic year are published in the [*University Gazette*](https://gazette.web.ox.ac.uk/home). This handbook has been compiled using the latest version of the *Examination Regulations*.

You should note that references in the regulations to the ‘board’ should be taken to refer to the OII’s Graduate Studies Committee and references to the ‘Secretary to the Faculties’ should be construed as the Teaching Office in the OII.

The University’s framework document for graduate students undertaking research degrees which has been developed by the University’s Education Committee can be found at https://academic.admin.ox.ac.uk/research-degrees.

## Fee regulations

University fees are paid termly through the student’s College. In virtually all circumstances, students are liable for 9 terms (18 for part-time) of fee payment (the exception being students who start the DPhil at the OII having already obtained an Oxford MPhil). Beyond these terms, the [University Continuation Charge](https://www.ox.ac.uk/admissions/graduate/fees-and-funding/fees-and-other-charges/continuation-charges) applies for each additional term the student is enrolled. Fees are charged whether or not a student is working in Oxford. Fees are not charged for any term during which a student’s status is formally suspended by the Graduate Studies Committee, provided the application to suspend was made prior to the start of that term. For in-term or retrospective suspensions, applications can be made to the [Joint Panel for Remission of Fees (JPRF)](https://academic.admin.ox.ac.uk/joint-panel-remission-of-fees) by the student’s college. A successful application normally applies the value of the fees for the relevant suspended term(s) to the term in which the student returns from suspension. See also 10.3.2 for further information on suspension of status.

## Residence requirements (Full-Time Students only)

Full Time Students are required by the University’s regulations to be in residence in Oxford during term time. That means a commitment to be in Oxford for at least the full eight weeks of all three terms of each academic year. The dates of full term can be found at <https://www.ox.ac.uk/about/facts-and-figures/dates-of-term?wssl=1>.

Students will also need to be available in Oxford for several events outside full term, from the induction programme to *viva voce* examinations (although please note that, with approval of the Graduate Studies Committee, examinations may be held remotely). Research away from Oxford should be discussed with your supervisor.

The minimum residence requirement for the degree of DPhil is 6 terms (including any period in PRS student status), unless a student already holds an MLitt, MRes, MPhil or MSc degree from Oxford University, when a minimum of 3 terms residence is required. From this period of residence a DPhil student may apply for dispensation of up to 3 terms. After transfer to DPhil status, students are not required to reside continuously, and may indeed need to be away from Oxford to conduct their fieldwork. Although no formal permission is then required from the department for absences of this kind, students are reminded that they should keep their supervisor(s) and college aware of their plans, and that they should maintain regular contact with their supervisor(s) at all times while a student is away.

### Dispensation from residence requirement

Formal dispensations from residence requirements have to be approved by the Graduate Studies Committee and the student’s college.

### Suspension of status as a postgraduate student

Suspension of status as a postgraduate student enables students to suspend their research studies without payment of fees if there is good reason for a student to do so. (Removal of graduate students from the Register is a different matter arising from academic or disciplinary offences; see 6.5.2 above.)

You may apply to the Graduate Studies Committee for suspension of status for a specified period. Any such application should be submitted using the **form GSO.17** (available from <http://www.ox.ac.uk/students/academic/guidance/graduate/progression>/exceptional) with details of the anticipated period required and with supporting comments from both the student’s supervisor and college.

If the application is approved, the student will not be liable to pay fees during the period of suspension.

Research students may be allowed to suspend for one or more terms, but suspensions are generally granted for one term at a time. When the student returns from suspension, they are to complete the GSO 17a *Confirmation of Return from Suspension* form.

**Grounds for suspension**

The Graduate Studies Committee is prepared to consider applications for suspension of status on the following grounds:

1. Where a student is effectively prevented from pursuing their course of study in circumstances which are outside their control though there are good grounds for believing that they will be able to resume work within a reasonable period for example, cases of:
   1. unforeseeable financial difficulty,
   2. physical or mental incapacity, or
   3. parental leave;
2. Where it is desirable that a student should give up their work for a *limited period*, either:
   1. in order to concentrate on some other project which cannot reasonably be deferred until their postgraduate work is completed (for example, in order to acquire some ancillary qualification); or
   2. to take up temporary work which is likely to be relevant to their subsequent career and the opportunity for which is *unlikely to recur*.
3. In the case of study abroad:
   1. where a student holds a research studentship which is suspended during the period of study abroad because of the nature of the study; or
   2. where a student’s work is unduly delayed by difficulties in making arrangements for study, or in carrying out such study, in another country.

**Notifying funders**

If a student is funded by an external body or bodies, they must communicate with them about any planned or granted suspension of status, and must secure the funders approval if required.

## Illness

The University records should show correctly for how many terms a student has been actively working on a thesis. If a student’s work is unavoidably interrupted by illness, they will be encouraged to apply for suspension of status. If their illness is not serious enough or of too short duration to justify suspension of status, but the student nevertheless feels that it is likely to have an adverse effect on their performance in the examination, they should submit a Mitigating Circumstances Notice to their Examiners (MCE). MCEs are submitted through the [Student Self-Service](https://evision.ox.ac.uk) and automatically considered by the relevant parties involved in the examination upon submission. Students should not write directly to the examiners, who cannot take account of pleas that do not arrive through the official channels. If a student falls ill and thinks that this might result in a subsequent application for an extension of time, they should obtain a medical certificate at the time. Students should always let their supervisor know when illness has prevented work on the thesis.

## Policies

A summary of University and OII policies and their websites can be found below**.**

### Codes of Conduct and University Regulations

The University has a wide range of policies and regulations that apply to students. These are easily accessible through the A-Z of University regulations, codes of conduct and policies available on the [central university website](https://www.ox.ac.uk/students/academic/regulations?wssl=1).

### University Statutes and Regulations

The [Oxford University Statutes and Regulations](https://governance.admin.ox.ac.uk/legislation) cover virtually all aspects of university governance, including complaints and disciplinary procedures, degree conferral, and miscellaneous regulations about student matters.

### Policy and Guidance on Research Degrees issued by Education Committee

The [*Policy and Guidance on Research Degrees*](https://academic.admin.ox.ac.uk/research-degrees)deals with various technical aspects of the DPhil, including:

* Notes of Guidance for Research Degrees
* Learning and Teaching Strategy
* Notes of Guidance on Examinations and Assessment
* Notes of Guidance on Introduction of New Courses and Major Changes to Existing Courses

### Disability Advisory Service

The University Disability Advisory Service (DAS) offers a wide range of rules and useful information for students and staff in respect of many different types of disability, accessible through [their website](https://edu.admin.ox.ac.uk/support-for-disabled-students).

### Equality and Diversity at Oxford

***“The University of Oxford is committed to fostering an inclusive culture which promotes equality, values diversity and maintains a working, learning and social environment in which the rights and dignity of all its staff and students are respected.” We recognise that the broad range of experiences that a diverse staff and student body brings strengthens our research and enhances our teaching, and that in order for Oxford to remain a world-leading institution we must continue to provide a diverse, inclusive, fair and open environment that allows everyone to grow and flourish.”***

University of Oxford [Equality Policy](https://edu.web.ox.ac.uk/equality-policy)

This contains numerous useful documents, including information on racial equality, gender equality, disability services, childcare and harassment. The last of these includes a detailed discussion of all types of harassment and bullying, how to identify it and what to do about it:

* [Equality and Diversity Unit](https://edu.admin.ox.ac.uk/)
* [Code of Practice Relating to Harassment](https://edu.admin.ox.ac.uk/harassment-advice)

As a member of the University you contribute towards making it an inclusive environment and we ask that you treat other members of the University community with respect, courtesy, and consideration.

The Equality and Diversity Unit works with all parts of the collegiate University to develop and promote an understanding of equality and diversity and ensure that this is reflected in all its processes. The Unit also supports the University in meeting the legal requirements of the Equality Act 2010, including eliminating unlawful discrimination, promoting equality of opportunity and fostering good relations between people with and without the ‘protected characteristics’ of age, disability, gender, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and/or belief and sexual orientation. You can visit their [website](https://edu.admin.ox.ac.uk/) for further details or contact them directly for advice on [equality@admin.ox.ac.uk](mailto:equality@admin.ox.ac.uk).

The Equality and Diversity Unit also supports a broad network of harassment advisors in departments/faculties and colleges and a central Harassment Advisory Service. For more information on the University’s Harassment and Bullying policy and the support available for students, you can visit their [website](https://edu.admin.ox.ac.uk/harassment-advice). See also below (10.5.8) for the departmental policy and procedures on harassment and bullying.

Finally, the Equality and Diversity Unit actively promotes inclusivity and support through a number of themes, including disability, gender, race, religion and belief, sexual orientation, and trans rights. More details, including details of relevant contact persons, can be found on their [Equality Themes](https://edu.admin.ox.ac.uk/equality-themes) page.

### Freedom of speech

Free speech is the lifeblood of a university. It enables the pursuit of knowledge. It helps us approach truth. It allows students, teachers and researchers to become better acquainted with the variety of beliefs, theories and opinions in the world. Recognising the vital importance of free expression for the life of the mind, a university may make rules concerning the conduct of debate but should never prevent speech that is lawful.

Inevitably, this will mean that members of the University are confronted with views that some find unsettling, extreme, or offensive. The University must therefore foster freedom of expression within a framework of robust civility. Not all theories deserve equal respect. A university values expertise and intellectual achievement as well as openness. But, within the bounds set by law, all voices or views which any member of our community considers relevant should be given the chance of a hearing. Wherever possible, they should also be exposed to evidence, questioning and argument. As an integral part of this commitment to freedom of expression, we will take steps to ensure that all such exchanges happen peacefully. With appropriate regulation of the time, place and manner of events, neither speakers nor listeners should have any reasonable grounds to feel intimidated or censored.

It is this understanding of the central importance and specific roles of free speech in a university that underlies the detailed procedures of the University of Oxford. For further information, please see the [University’s website on freedom of speech](https://compliance.admin.ox.ac.uk/prevent/freedom-of-speech).

### Student Welfare and Support Services

The Disability Advisory Service (DAS) can provide information, advice and guidance on the way in which a particular disability may impact on your student experience at the University and assist with organising disability-related study support. For more information, visit the DAS [website](https://academic.admin.ox.ac.uk/disability-advisory-service); you may also find the university’s [disability page](https://www.ox.ac.uk/students/welfare/disability?wssl=1) helpful.

The Counselling Service is there to help you address personal or emotional problems that get in the way of having a good experience at Oxford and realising your full academic and personal potential. They offer a free and confidential service. Please note that counselling sessions may take place online. For more information, please see the university’s [Counselling Service website](https://www.ox.ac.uk/students/welfare/counselling).

A range of services led by students are available to help provide support to other students, including the peer supporter network, the Oxford SU Student Advice Service, and Nightline. More information can be found on the university’s Student-led Support website.

Oxford SU also runs a series of campaigns to raise awareness and promote causes that matter to students. For full details, visit their [website](https://www.oxfordsu.org/your-union/what-were-working-on/).

### Clubs and Societies

There is a wide range of student clubs and societies to get involved in. For more details, visit the university’s [Clubs and Societies page](https://www.ox.ac.uk/students/life/clubs).

### Complaints of Harassment

The University is committed to fostering an inclusive culture which promotes equality, values diversity and maintains a working, learning and social environment in which the rights and dignity of all students are respected. Harassment or victimisation is regarded as unacceptable behaviour and is not tolerated in any form. All members of the University are expected to treat each other fairly and with respect, courtesy and consideration. This Procedure is designed to deal with student reports of harassment by other students that arise in a University context. This covers behaviour on University or college premises, or in the course of University activity within or outside Oxford whether academic, sporting, social, cultural, or other.

Harassing behaviours can take a variety of forms as defined in the University Harassment Policy. Reports of harassment against students which arise purely within the college environment may be dealt with under appropriate college procedures, while reflecting the principles of the [University Harassment Policy](https://edu.admin.ox.ac.uk/university-policy-on-harassment). Reports of harassment brought by students against University staff will be dealt with under the [Staff Procedure](https://edu.admin.ox.ac.uk/harassment-staff).

Student Welfare and Support Services can provide additional support to students, and to staff requiring advice on student cases. Where the harassment is of a sexual nature, students can contact the [Sexual Harassment and Violence Support Service](https://www.ox.ac.uk/students/welfare/supportservice). All reports made to support services will be recorded in accordance with the University’s [Data Protection Policy](https://compliance.admin.ox.ac.uk/data-protection-policy).

In serious cases, it is likely to be appropriate to proceed directly to stages 2 and 3 of this Procedure.

*Harassment Complaint Stage 1 – Information Action*

In some cases, a student who feels harassed may feel able to approach the person in question to explain what conduct the first student finds upsetting, offensive, or unacceptable, and to ask that person to refrain from that behaviour. At no time should a student feel obliged to approach an alleged harasser, and the University does not wish to suggest that students who feel that they have been harassed are responsible for rectifying the situation. It may often be appropriate to proceed directly to stages 2 and 3 of the procedure.

Before taking informal action, the student could discuss the situation with a Harassment Advisor, or in the case of sexual behaviour, with a Specialist Advisor at the [Sexual Harassment and Violence Support Service](https://www.ox.ac.uk/students/welfare/supportservice?wssl=1).

*What can the harassment advisor do?*

Harassment advisors will listen to staff and students who believe they are being harassed, to clarify the options open to them and to assist them in resolving the matter informally where possible and provide similar support to those accused of harassment.

Where requested, they will support individuals throughout the resolution of their concerns. This may include discussing with the individual what they may wish to say or write to the person whom they feel has harassed or bullied them, or how they might approach a senior member of staff for assistance in resolving the issue. This list is not exhaustive, but includes empowering and supporting the individual.

The advisor will deal with all cases with the utmost confidentiality except in cases where there is an unacceptable risk to a member of staff, student, or to the institution.

The harassment advisor cannot:

* approach the alleged harasser in an attempt to mediate or resolve the matter for you;
* act as your representative or advocate; or
* be involved in any formal stage of the process, be it in writing the formal complaint, the investigation, disciplinary or grievance procedures, except by way of giving you the support you need during this time.

Further details on the role of the harassment advisor can be found on the [Harassment Advisor Network webpage](https://edu.admin.ox.ac.uk/support).

OII harassment advisors:

|  |  |
| --- | --- |
| Karen Mead | [karen.mead@oii.ox.ac.uk](mailto:laura.maynard@oii.ox.ac.uk) |
| Kathryn Eccles | [Kathryn.eccles@oii.ox.ac.uk](mailto:Kathryn.eccles@oii.ox.ac.uk) |
| Sandra Wachter | [Sandra.wachter@oii.ox.ac.uk](mailto:Sandra.wachter@oii.ox.ac.uk) |
| Grant Blank | [Grant.blank@oii.ox.ac.uk](mailto:Grant.blank@oii.ox.ac.uk) |

If the student does not feel comfortable contacting a local advisor in their college or department, they can contact the Harassment Line for details of another advisor (Tel. 01865 270760 or email [harassment.line@admin.ox.ac.uk](mailto:harassment.line@admin.ox.ac.uk)). A Harassment Advisor can:

* listen to students who believe they are being harassed, to clarify the options open to them and to assist them in resolving the matter informally where possible;
* discuss with a student what they may wish to say or write to the person who they feel has harassed or bullied them or to a senior member of staff who can take action.

*Harassment Complaint Stage 2 – Student Welfare and Support Services*

If informal action does not succeed in resolving the situation, or would not be appropriate given the nature of the behaviour, it is very important to get advice and support to understand the options available.

Students can contact a local Harassment Advisor or the Harassment Line (Tel. 01865 270760 or e-mail [harassment.line@admin.ox.ac.uk](mailto:harassment.line@admin.ox.ac.uk)), or the [Oxford SU Student Advice Service](https://www.oxfordsu.org/wellbeing/student-advice/).

Other sources of advice include college Deans or Welfare staff, the Director of Graduate Studies, [Peer Supporters, Peers of Colour, or Rainbow Peers](https://www.ox.ac.uk/students/welfare/peersupport/finding-peer-supporter).

The University offers a voluntary mediation service for students who find themselves in conflict with another student ([the Student Resolution Service](https://www.ox.ac.uk/students/welfare/student-resolution-service?wssl=1)). Any student or staff member can make a confidential enquiry via e-mail to: [mediation@admin.ox.ac.uk](mailto:mediation@admin.ox.ac.uk). This service is not appropriate for cases of serious harassing behaviour or criminal conduct.

These sources of support and advice are also available to students who have been accused of harassment. Students can contact a local Harassment Advisor or (for reports of a sexual nature) a Specialist Advisor: [supportservice@admin.ox.ac.uk](mailto:supportservice@admin.ox.ac.uk).

If the harassment is sexual in nature, one of the specialist advisors in the Sexual Harassment and Violence Support Service can:

* give advice on options available to the student
* put in place practical support and manage any impact on academic work
* refer the student to appropriate support services
* arrange mediation between the student and alleged harasser, if both parties agree, with a trained mediator
* ensure that relevant members of staff within the collegiate university are informed of the case if appropriate, with consent
* support students through a formal disciplinary process

*Harassment Complaint Stage 3 – Formal Written Complaint*

If informal action does not succeed in resolving the situation, or would not be appropriate given the nature of the behaviour, the student should make a formal written Report to the Proctors. In some cases, it will be appropriate to proceed directly to this stage. The Proctors’ role is to determine whether the student who is the subject of the Report has breached the [University Code of Discipline](https://governance.admin.ox.ac.uk/legislation/statute-xi-university-discipline-0). Students should refer to the [Disciplinary Procedures](https://www.ox.ac.uk/students/academic/conduct) for details, including Appendix C: Special Arrangements in Reports involving Sexual Misconduct and/or violence.

If the student making the formal Report has not accessed advice and support, the relevant caseworker will normally direct the student to Student Welfare and Support Services.

The formal Report should set out as clearly and succinctly as possible

1. the nature of the behaviour that the reporting student is concerned about;
2. the effect of this behaviour on the reporting student; and
3. where possible, the resolution that the reporting student is seeking.

The Report should include dates and details of any witnesses, together with any documentary evidence. The reporting student should also state, where appropriate, any action taken at Stages 1 or 2.

The Oxford SU Student Advice Service, or if appropriate, the Sexual Harassment and Violence Support Service, can discuss a draft of the formal Report with the reporting student in order to check that items (i)-(iii) above are covered and that relevant supporting documentary evidence is included. These Services cannot offer legal advice, or speculate on possible outcomes.

If the reporting student is dissatisfied with the way this procedure has been followed, they can make a complaint under the [Student Complaints Procedure](https://academic.web.ox.ac.uk/complaints), in which case the complaint will be considered by members of staff who have not previously been involved in the case.

*Referrals*

On occasion, reports of harassment which should be considered under this Procedure may be made to staff other than those named in this procedure. In this situation, staff should explain the Procedure, and refer the student to Student Welfare and Support Services ([supportservice@admin.ox.ac.uk](mailto:supportservice@admin.ox.ac.uk)).

If a student does not wish to seek support and advice, or to make a formal Report or if there are queries about the procedure to be followed, staff can contact the Director of Student Welfare and Support Services’ office for advice on a confidential basis ([director.swss@admin.ox.ac.uk](mailto:director.swss@admin.ox.ac.uk)).

There may be occasions when it is appropriate for the Director of Student Welfare and Support Services to make recommendations to appropriate bodies, including the Proctors, regarding arrangements that would have the purpose of limiting contact between students for so long as may be considered reasonably necessary. Issues including but not limited to those around teaching, examinations and accommodation/social activity may need to be considered.

*Potentially Criminal Misconduct*

This Procedure, or parts of this Procedure, may not be applicable where the reports are of behaviours that may attract criminal sanction. This would include, but would not be limited to, cases of hate crime, serious assault, or threat of serious assault. The Director of Student Welfare and Support Services (or the Proctors for Stage 3) will decide whether this Procedure is applicable, having regard to all relevant circumstances including any police involvement.

*Confidentiality*

Information concerning reports of harassment must so far as reasonably possible be held in confidence by those to whom it is divulged. Unnecessary disclosure of such reports may attract disciplinary sanction. Information will be shared on a need-to-know basis. Once a formal Report is pursued, it is likely to be appropriate and/or necessary for certain information to be provided to others within the University, within certain colleges, or to external bodies.

Those to whom disclosure may be made outside the University include the police, the Office of the Independent Adjudicator (“OIA”) and the civil and criminal courts. The University will not normally report a matter to the police without the reporting student’s agreement, except in those rare circumstances where there is sufficient evidence to suggest that an individual poses an extreme risk.

### 8.5.8 Sexual Harassment and Violence Support Service

The Sexual Harassment and Violence Support Service is an all-in-one provision for any students regardless of age or gender who have been affected by sexual harassment or violence. They provide free support and advice, along with a safe place to be heard independent of your college or department.

They support students in all situations, whether the experiences of sexual harassment or violence happened in Oxford or elsewhere, and whether it was recent or in the past. Sexual harassment and violence also includes stalking someone or following them in person and/or online.

The support service is run by a team of three highly trained specialist advisors and an [Independent Sexual Violence Advisor](https://www.ox.ac.uk/students/welfare/supportservice/isva) (ISVA). For more information, visit the university website on [Sexual Harassment and Violence Support](https://www.ox.ac.uk/students/welfare/supportservice).

### Academic Integrity in Research

The university policy on [Academic Integrity in Research](https://hr.admin.ox.ac.uk/academic-integrity-in-research) sets out the Code of Practice on research carried out in Oxford, together with procedures in the case of suspected misconduct, including plagiarism.

### Proctors’ Office

The Proctors’ Office conducts oversight over university statutes and policy, which includes many aspects of complaints procedures, disciplinary matters, and student welfare, particularly pertaining to examinations. Their [website](https://www.proctors.ox.ac.uk/) provides contact information and [resources for students](https://www.proctors.ox.ac.uk/resources-for-students), particularly on academic appeals, student complaints, examinations and assessments, and residency requirements.

### Research Services

The university’s [Research Support](https://researchsupport.admin.ox.ac.uk/home) team facilitates research by providing guidance on funding applications (for projects such as conferences or workshops, not course funding) and best practice in research (for CUREC see below). Central University Research Ethics Committee (CUREC)

The [CUREC](https://researchsupport.admin.ox.ac.uk/governance/ethics) team is concerned with ethical issues involved in research involving human subjects. It includes a step-by-step guide to seeking ethical approval for research projects. CUREC approval is a key requirement for the Transfer of Status application. For more help with CUREC, including questions on how to apply for it, please contact the OII’s departmental Research Ethics Committee on [drec@oii.ox.ac.uk](mailto:drec@oii.ox.ac.uk).

### Information on Data Protection

The Data Protection Act 2018 is a key piece of UK legislation regarding data. Please see the university’s [Data Protection Policy](https://compliance.admin.ox.ac.uk/data-protection-policy) for further information.

### OII Code of Conduct

The Oxford Internet Institute is committed to promoting and maintaining a positive, supportive, and professional work and study environment for students, staff, visitors, and all associates of the Department and University (whether employed or otherwise). All members of the Department—staff, students, and associates—must abide by the University’s [Equality Policy](https://edu.admin.ox.ac.uk/equality-policy) and [Code of Practice](https://edu.admin.ox.ac.uk/university-policy-on-harassment) relating to harassment and should treat everyone, whether inside or outside the Department, equally, with respect and without distinction, regardless of role or status. Department staff, whether established, non-established, or administrative, must act at all times honestly, conscientiously, reasonably and in good faith, having regard to their responsibilities, the interests of the Department and University, their legal obligations, and the welfare of colleagues and students.

The Department regards as unacceptable any behaviour that is contrary to these commitments. All complaints of improper conduct or treatment will be treated seriously and in confidence. Anyone who believes they have been treated improperly should, in the first instance, speak informally to their line manager, the Harassment Officers, or, where appropriate, the Head of Department. Complaints that are substantiated, or cannot be resolved informally, may lead to formal grievance or disciplinary action as outlined in both Department and University policies.

Please see the [Harassment Procedure flowchart](https://www.rdm.ox.ac.uk/files/intranet/harrassment-procedure-flowchart-for-students.pdf) for Students for further information.

## Discipline

The OII also has a [Professional Code for staff and students](https://canvas.ox.ac.uk/courses/4670/modules/items/66915) on Canvas. Infringements of the OII’s and the University’s codes of conduct may lead to disciplinary action. Student discipline is the responsibility of the Proctors’ Office and full details are given [on](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\on) [their website](https://academic.web.ox.ac.uk/student-conduct-0). Sanctions include removal from the register of graduate students and fines.

## Disability and special needs

The OII has a wide range of facilities for those with disabilities, including (for those using wheelchairs) an entrance ramp, lift to all floors at the rear, a designated toilet for those with disabilities, and a kitchen and common room together with the library and seminar room on the ground floor. It also has induction loops in reception and in the seminar room for those with hearing impairment and a number of audio-visual aids for those with visual impairment.

If you have a disability or special needs, you are urged to let your supervisor or the Director of Graduate Studies know at the earliest opportunity so that appropriate support arrangements can be made wherever reasonably possible. Information about your health will be treated in confidence and only divulged on a need to know basis with your consent.

For full information on University policy on matters relating to disabilities, including guidelines for making reasonable adjustments to courses for students with disabilities, see the Disability Office [website](https://academic.admin.ox.ac.uk/accessible-and-inclusive-teaching).

## Health and safety

All Oxford Internet Institute employees, academic visitors, students, and any other persons entering the OII building are responsible for exercising care in relation to themselves and others who may be affected by their actions. This includes responsibility to:

* Make sure that your work is carried out in the approved way and in accordance with best possible practice.
* Obey all instructions from a Safety Officer or the Head of Administration and Finance in respect of health and safety.
* Inform the Facilities Officer of any significant new hazards to be introduced, or newly identified risks found in existing procedures.
* Report all fires, incidents and accidents immediately to reception staff or to the Facilities Officer.
* Familiarise yourself with the location of firefighting equipment, alarm points and escape routes, together with the fire procedures.
* Ensure that you sign in and out of the OII buildings at all times.

Further details about health and safety including fire drills and specific COVID-19 provisions will be given in Induction Week.

## University Policy on Student Maternity, Paternity and Adoption Leave

Students who give birth may choose to suspend their status normally up to a year. For further information please see the university’s page on [families and childcare](https://www.ox.ac.uk/admissions/graduate/student-life/families-and-childcare).

The Student Maternity, Paternity and Adoption Leave Policy handbook can be found [here](https://www.ox.ac.uk/sites/files/oxford/field/field_document/Student%20Maternity%2C%20Paternity%20and%20Adoption%20Leave%20Policy.pdf).

Students should apply for maternity, extended paternity, shared parental or adoption leave on the GSO.17b form at <https://www.ox.ac.uk/sites/files/oxford/field/field_document/GSO.17b.doc>.

## Policy On The Recording Of Lectures And Other Formal Teaching Sessions By Students

1. The University recognises that there are a number of reasons why students might wish to record lectures or other formal teaching sessions (such as seminars and classes) in order to support their learning. The University also recognises that in most cases copyright in lectures resides with the University or with the academic responsible for the lecture or formal teaching session, and that academics and students may have concerns about privacy and data protection. This policy sets out the circumstances in which such recordings may take place; the respective roles and responsibilities of those involved in such recordings; and the implications of breaches of this policy.
2. For the purposes of this policy, the term 'recording' refers to any audio or visual recording of a lecture or other formal teaching session, made with any type of audio or visual recorder.

Permission to record a lecture or other formal teaching session

1. Students who have been given permission to record lectures or other formal teaching sessions as a reasonable adjustment on disability-related grounds do not need to ask for permission to record from individual academics. Students who believe they have disability-related grounds for recording should contact the University’s Disability Advisory Service (http://www.ox.ac.uk/students/welfare/disability/study or disability@admin.ox.ac.uk) for further information on the process for obtaining such permission.
2. Students may request permission to record any lectures or other formal teaching sessions. All such requests should be made in writing (including by email) prior to the lecture course or equivalent, to the academic responsible. Subject to paragraph 3 above, the decision on whether to grant permission is at the discretion of the academic. Students may only record lectures where the academic responsible for the session has given their consent prior to the start of the lecture in writing (e.g. by email), and recordings of lectures may not be made by students unless this consent has been given. Retrospective requests are not permissible under this policy and covert recording of lectures will be treated as a disciplinary offence.
3. Students granted permission in writing to record a formal teaching session other than a lecture should ask the session leader to check at the start of the session that there are no objections from others present to a recording being made.
4. Where recordings are made available routinely by departments and faculties, students may not make personal recordings unless they have been given permission to record as a reasonable adjustment.

Use of recordings

1. Recordings of lectures or other formal teaching sessions may only be made for the personal and private use of the student.
2. Students may not:
   1. pass such recordings to any other person (except for the purposes of transcription, in which case they can be passed to one person only);
   2. publish such recordings in any form (this includes, but is not limited to, the internet and hard copy publication).
3. Students may store recordings of lectures for the duration of their programme of study. Once they have completed the programme of study, students should destroy all recordings of lectures or other formal teaching sessions.

Implementation

1. Where a student breaches this policy, the University will regard this as a disciplinary offence. All such breaches will be dealt with in accordance with Statute XI (<http://www.admin.ox.ac.uk/statutes/352-051a.shtml>).

# The University

The organisation of Oxford University is complex and it may be helpful to outline some of the main institutions and their functions. The University’s academic departments are divided among four divisional boards. The Oxford Internet Institute is a department within the Social Sciences Division.

The University is also collegiate and all graduate students belong both to a department and a college. Departments deal with lectures, examinations, and administration of graduate work, while colleges deal with undergraduate tutorial teaching and with the provision of various ‘domestic’ facilities. All graduate students must be admitted first by the University and then by a college.

The University deals with educational standards and the committee with primary responsibility for academic quality assurance, including in relation to graduate studies, is the Education Committee. It has issued Notes of Guidance on a range of topics relating to graduate studies which are available from [this website](https://academic.admin.ox.ac.uk/research-degrees) and which are printed in the [*Examination Regulations*](https://examregs.admin.ox.ac.uk/).



## The OII as a department

### Organisation

Details of the organisation of the department are given on the [OII Intranet](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Governance.aspx). Contact information and photographs of OII personnel are available for both [faculty](https://www.oii.ox.ac.uk/people/) and [staff](https://www.oii.ox.ac.uk/people/administration/).

Key people related to the DPhil Programme include:

* OII Director: Professor Vicki Nash
* Director of Graduate Studies: Professor Greg Taylor
* DPhil Programme Directors: Dr Mariarosaria Taddeo (ICSS) and Dr Adam Mahdi (SDS)
* Graduate Studies Manager: Christine Bunyan
* DPhil Coordinator: Marten Krijgsman
* MSc Coordinator: David Pepper

### Graduate Studies Committee

This is the main administrative body for the management of graduate studies at the Oxford Internet Institute. The Committee is chaired by the Director of Graduate Studies (Prof Greg Taylor) who has broad overall responsibility for the graduate programme. Details of the committee are listed in Appendix B: Standing Committees. The GSC normally meets in Weeks 3 and 7 of each term.

### Research Committee

The Research Committee is to discuss all relevant academic and research issues with a view to promoting and co-ordinating those activities within the Institute. This includes research funding opportunities, development and fundraising, publication and dissemination of research findings, events programme, teaching, academic resources including OII Library policy and research policy including ethical issues.

The Committee is chaired by the Director of Research (Dr Brent Mittelstadt). Details of the committee are listed in Appendix B: Standing Committees.

The Research Committee normally meets once a term in Week 6.

## The Colleges

The student’s College is treated as their official address for all University correspondence. If a student is to be away from Oxford, it is important that the college and their supervisor are both informed. All colleges provide dining and common room facilities where students can meet people from other disciplines. All have a Tutor for Graduates (or some equivalent post) who can give general advice and guidance. In addition, each graduate student is assigned to a College Adviser, who takes a general interest in the student’s well-being and will normally be working in politics or a cognate discipline.

Further details can be found on the University’s [website](https://www.ox.ac.uk/admissions/undergraduate/colleges/a-z-of-colleges).

## Equality, Diversity and Inclusion

*“The University of Oxford is committed to fostering an inclusive culture which promotes equality, values diversity and maintains a working, learning and social environment in which the rights and dignity of all its staff and students are respected. We recognise that the broad range of experiences that a diverse staff and student body brings strengthens our research and enhances our teaching, and that in order for Oxford to remain a world-leading institution we must continue to provide a diverse, inclusive, fair and open environment that allows everyone to grow and flourish.”*

University of Oxford [**Equality Policy**](https://edu.web.ox.ac.uk/equality-policy)

As a member of the University you contribute towards making it an inclusive environment and we ask that you treat other members of the University community with respect, courtesy and consideration. Please see the sections below for further information about EDI work at the University, divisional and departmental levels and how OII students can get involved.

**The Equality and Diversity Unit**

The Equality and Diversity Unit(EDU) works with all parts of the collegiate University to develop and promote an understanding of equality and diversity and ensure that this is reflected in all its processes. They have a team of advisors covering each area of equality and diversity, in relation to both staff and students. Their work is overseen by the Equality and Diversity Panel, which reports to the [Education](http://www.admin.ox.ac.uk/edc/) and [Personnel](http://www.admin.ox.ac.uk/statutes/regulations/520-122jj.shtml) Committees of [Council](http://www.admin.ox.ac.uk/statutes/783-121.shtml). Please see <https://edu.web.ox.ac.uk/about-us> for contact details of the EDU team members. You can also reach the EDU team at[equality@admin.ox.ac.uk](mailto:equality@admin.ox.ac.uk)**.**

The Unit supports the University in meeting the legal requirements of the Equality Act 2010, including eliminating unlawful discrimination, promoting equality of opportunity and fostering good relations between people with and without the ‘protected characteristics’ of disability, gender, race, sexual orientation, age, gender reassignment, marriage and civil partnership, pregnancy and maternity and religion and/or belief. Please see <https://edu.web.ox.ac.uk/equality-themes#/> for further information about the University’s work around each of these thematic areas, together with information about resources to support individuals from different backgrounds.

As of October 2021, some of the University’s current projects that relate to diversity and inclusivity include:

* [The Race Equality Task Force](https://edu.web.ox.ac.uk/task-force#/)
* [Student Wellbeing and Mental Health Strategy](https://www.ox.ac.uk/students/welfare/mentalhealthandwellbeing)
* [Digital Education Strategy Review](https://www.ctl.ox.ac.uk/digital-education-strategy)

The Equality and Diversity Unit also supports a broad network of harassment advisors in departments/faculties and colleges and a central Harassment Advisory Service. For more information on the University’s Harassment and Bullying policy and the support available for students visit: <https://edu.web.ox.ac.uk/harassment-advice#/>

**The Oxford Students’ Union**

Oxford SU is the representative body for all University of Oxford students and is involved in various projects that relate to Equality, Diversity and Inclusion: <https://www.oxfordsu.org/your-union/what-were-working-on/>.To find out more about how to get involved with the SU’s work, please contact the Student engagement team at [studentengagement@oxfordsu.ox.ac.uk](mailto:studentengagement@oxfordsu.ox.ac.uk).

**EDI at the Social Sciences Division**

The Social Sciences Division is committed to increasing the diversity of the people, scholarship and teaching across the division, and ensuring that each member is, and feels, valued, listened to and respected, able to be themselves, and to participate fully in the life of the Division.

The 2021 EDI Action Plan outlines steps the Division is taking to advance these goals: [Equality, Diversity and Inclusion Action Plan](https://www.socsci.ox.ac.uk/files/ssdedistrategyandactionplan2021pdf-3)

Departments across the Division are taking steps to tackle racism, advance equality, and create a more inclusive and equitable culture.  The Action plan outlines steps being taken by the Division to advance change, including work by the Division's Equality, Diversity and Inclusion Panel. For questions or comments about the Division’s work in this area, please contact [diversity@socsci.ox.ac.uk](mailto:diversity@socsci.ox.ac.uk).

**EDI at the OII**

The Oxford Internet Institute is committed to enhancing diversity and promoting equality of opportunity amongst all our staff and students. The OII seeks to maintain a positive and supportive working environment for its members and takes the well-being of all seriously.

The OII’s Equality, Diversity and Inclusion (EDI) committee is an internal group that considers and advises on how the department should support a working culture in which equality and diversity is embraced, and where appropriate focus is given to identifying, implementing and monitoring effective actions on diversity matters. OII Director [Vicki Nash](https://www.oii.ox.ac.uk/people/victoria-nash) chairs the group, with administrative support from Christine Bunyan, Graduate Studies Manager and Ornella Sciuto, HR Officer. The Committee’s Terms of Reference are:

* To monitor and promote, and make policy proposals relating to, equality, diversity and inclusion in the OII;
* To advise the Director and other Senior Executive Team members on equality, diversity and inclusion as regards the matters for which they are responsible;
* To support the department in providing an inclusive environment in which the rights and dignity of all its staff and students are respected;
* To provide a forum for discussion of equality and diversity issues raised by staff and students in a transparent manner;
* To consider and share best practice from the department, University, and the wider higher education community;
* To fulfil the role of Self-Assessment Team for Athena SWAN;
* To monitor and progress the Department's Athena SWAN action plan and develop future submissions;
* To actively promote the Athena SWAN Charter within the department through activities and events to foster equality, diversity and inclusion;
* To promote effective equality impact assessment of new departmental policies;
* To promote and support a well-balanced working and study environment for all staff and students;
* To monitor career support and mentoring for staff in the department;
* To liaise with and consult the University Equality and Diversity Unit as required.

The Equality, Diversity and Inclusion Committee meets no less than once per term, normally in Weeks 2 and 7. Meetings are open, meaning all students and staff members are welcome to attend. Meeting papers and minutes of previous meetings are made available on [Sharepoint](https://sharepoint.nexus.ox.ac.uk/sites/oii/EDI-Committee/SitePages/Home.aspx).

For any queries or comments about the work of the OII’s EDI Committee, please email [edi@oii.ox.ac.uk](mailto:edi@oii.ox.ac.uk).

## Social Science Graduate Office

At the Social Sciences Divisional Offices, the main office dealing with the organization of graduate studies is the Graduate Office (GO). Academic records of all students registered for higher degrees and diplomas of the University are maintained centrally on a student database. Each student’s record is opened on receipt of the student contract. All information is updated as student’s progress on their courses.

The Graduate Office within the Social Sciences Division also keeps individual correspondence files on current students, in which are retained copies of all applications (e.g. for transfer of status) and related correspondence, copies of supervisors’ termly reports, and other relevant documentation.. They can be contacted at [graduate-studies-2@socsci.ox.ac.uk](mailto:graduate-studies-2@socsci.ox.ac.uk).

## Library Facilities

### Oxford libraries

The library resources of Oxford are rich, but geographically scattered. The [Search Oxford Libraries Online (SOLO) catalogue](https://solo.bodleian.ox.ac.uk/) covers print and online holdings for almost all Oxford libraries, including the OII Library, as well as the Bodleian Libraries more broadly. Some important libraries for graduate work are mentioned here, but several others may be useful for specialised purposes; particulars of these will be found in the leaflet on Oxford libraries that is issued to all new students. Most Oxford libraries are part of the Bodleian Libraries. Full and up-to-date information on all Oxford libraries including times of opening, borrowing rights etc can be found at <http://www.ox.ac.uk/libraries>.

### The OII Library

This subject-specific library houses many volumes on the social aspects of information and communication technologies. The library also holds current subscriptions to several journals not found elsewhere in Oxford. Suggestions of titles for the library are welcome and should be sent to [library@oii.ox.ac.uk](mailto:library@oii.ox.ac.uk). The library is located on the ground floor at 1 St Giles’.

### The Bodleian Social Science Library

The [Social Science Library (SSL)](https://www.bodleian.ox.ac.uk/libraries/ssl) is located in the Manor Road Building and has books for loan on Criminology, Economics, Politics and International Relations, International Development, Sociology, Social Policy and Social Work, and Socio-Legal Studies. It has an extensive run of periodicals not for loan. Around 8 million volumes of Bodleian Libraries material is housed offsite, and can be ordered to the Social Science Library. All library material, print and electronic, is listed on [SOLO](https://solo.bodleian.ox.ac.uk/).

### Bodleian Libraries

This is the main library service of the University. This is one of the UK’s Legal Deposit libraries and in this role is entitled to request *inter alia* all works published or distributed in the UK. The Bodleian Libraries comprise 30 locations, including the Old Bodleian Library, Bodleian Social Science Library and Law Library. To obtain access to the Bodleian Libraries it is necessary to have a University Card, which you will always have as an on-course student. It is not possible to borrow books received under Legal Deposit, only to consult them in the Bodleian Libraries’ reading rooms. Photocopying of material in the Bodleian Libraries is permitted, subject to copyright laws; detailed arrangements for photocopying vary.

## Computing Facilities

### University facilities

Students will receive an Oxford University email address as soon as they have registered. The University’s IT services have extensive support programmes available:

* [courses](https://skills.it.ox.ac.uk/courses-home) in programming, word-processing, communications, and other topics
* free or cheap software (including Microsoft Office) via [their website](https://www.it.ox.ac.uk/get-software).
* a 24/7 phone helpline: 01865 612345 (please have your SSO username at hand)
* a [contact form](https://oxford.saasiteu.com/Default.aspx?ProviderName=ShibbolethProd&Role=SelfService&Scope=SelfService&CommandId=SearchOffering&Tab=ServiceCatalog&SearchString=HELP+REQUEST#1595416729985) for non-urgent IT support

Please see the [IT Services website](https://www.it.ox.ac.uk/) for full information about their services.

IT services offer the following inductions for new graduate students in Michaelmas term:

* One 2-hour session for highlighting electronic resources for research
* One shorter session during general departmental inductions for pointing out IT services

### OII facilities

The OII is currently located across three separate sites (1 St Giles, 41 St Giles and 34 St Giles). There is a dedicated DPhil study space at 41 St Giles.

DPhil students will have card access to 1 St Giles and 41 St Giles in office hours, 9am-5pm Monday to Friday. For meetings at 34 St Giles, students will use the intercom to gain access.

Students will have access to study space within the department’s buildings subject to maximum capacity with social distancing. For some rooms it may be necessary to book a slot in advance. Full instructions on room booking and study spaces will be given in Induction Week. Any queries about OII facilities, including library facilities and study space, can be sent to [facilities@oii.ox.ac.uk](mailto:facilities@oii.ox.ac.uk).

The following are also available to students if required:

* specialist software (e.g. statistics packages) if already licensed to the OII or the University and agreed with the IT Manager
* space for a personal blog
* use of a wiki
* calendaring via Outlook exchange
* external access to the OII server
* loan of laptop or other ICT equipment (e.g. audio equipment for recording interviews) for work on OII research projects for a maximum of two weeks unless exceptional circumstances deem a longer period as agreed with the Head of IT

The OII has its own IT support and web development team. They can be contacted at [help@oii.ox.ac.uk](mailto:help@oii.ox.ac.uk) and [webhelp@oii.ox.ac.uk](mailto:webhelp@oii.ox.ac.uk).

For full details of the OII’s computing facilities and support please see the [OII intranet](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Facilities.aspx)..

### Canvas

The most up to date reading lists and course deadlines are [available](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\available) through the relevant course page on [Canvas](https://canvas.ox.ac.uk/). All DPhil students normally have access to their compulsory courses, as well as any courses they audit. The [DPhil Programmes](https://canvas.ox.ac.uk/courses/4670) page contains key course information, including seminar schedules for the term, forms and guidance documents, and other helpful links.

### OII Intranet

The intranet is a single central point of access to all relevant information and services to all members of staff and students. The intranet consists of a set of informational pages including: Who’s Who, Emergency numbers, Building information, Committees, Research, Events, Finance,

Personnel, IT and Web. The Intranet also provides a set of services such as OII General Calendar, Telephone list, In/Out Board. You can login using your OII username and password [here](https://sharepoint.nexus.ox.ac.uk/sites/oii/SitePages/Facilities.aspx).

In addition, the student’s college is likely to offer computing facilities, especially for word-processing.

## Training facilities

The Centre for Teaching and Learning (CTL) will support all those who teach at the University of Oxford. It will promote inclusive teaching practices that are relevant to Oxford's unique learning environment. ​​ It will offer a range of courses, support and services, including: ​​

* Accredited programmes in teaching and learning in Higher Education​
* Digital tools, training and technical support ​
* Online courses and resources​​
* Consultancy for course and programme design (including blended and online)​
* Networks and events for sharing teaching practices​

Further details can be found on the [CTL website](https://www.ctl.ox.ac.uk/)..

The Centre for Teaching and Learning also has a [research supervision website](https://www.ctl.ox.ac.uk/teaching-resources), which is intended as a convenient resource for supervisors and students. The pages include explanations of Oxford’s policies and practice with links to University policy documents, downloadable tools and ideas for supervisors and students, links to further national and international websites, examples of good practice, listings and links to some of the research literature on doctoral study, including links to journal articles which the University has on subscription.

## The Language Centre

The [Language Centre](https://www.lang.ox.ac.uk/) provides a wide range of services at 12 Woodstock Road which are available free of charge to students.

* Courses in English as a foreign language
* Specialist courses in Academic Writing
* Library, with adjacent audio and video study laboratory, containing self-instruction material in more than eighty languages.
* Regular courses in various modern languages.

Details of language courses for the current year (which start on the Monday of first week of Michaelmas Term), and of the Centre’s opening hours, library facilities, registration procedures etc., are available at the Language Centre and on [their website](http://www.lang.ox.ac.uk).

Applications to register must be done by handing in a registration form on Monday – Wednesday of Week 1 of Michaelmas Term. **If students** **think they need any such courses, they should not hesitate to apply for them.**

## Careers guidance

The [Careers Service](http://www.careers.ox.ac.uk/) of the University with offices at 56 Banbury Road, can help students evaluate their prospects of finding appropriate employment, coaching on career development and one-on-one training for career exploration, interview preparation and job search strategies. They also provide courses in CV writing.

## Skills Toolkit

The [Research Skills Toolkit](https://skills.it.ox.ac.uk/research-skills-toolkit) brings together information about workshops, seminars, conferences and on-line resources which will give students the opportunity to extend their research skills and further develop transferable skills. These have been designed with the intention of helping students achieve their research goals as well as enhancing their employability.

## Other useful pages

* [Oxford Students](http://www.ox.ac.uk/students) - general information about student life in Oxford
* [International Students](https://www.ox.ac.uk/students/new/international) - general information about being an international student at Oxford. Visa information can be found on the [Visa & Immigration](https://www.ox.ac.uk/students/visa) page.
* [Student Health and Welfare](http://www.ox.ac.uk/students/welfare) - general information on welfare support at Oxford, including emergency contacts and procedure flowcharts.

# Financial matters



## Grants, prizes and other funds

Financial help towards research expenses, when not available through the main funding bodies financing your work, may be obtainable through various University funds. Details of University scholarships, prizes and trust funds are published each term in a supplement to the *University Gazette*. The main list is published annually around the start of Michaelmas Term.

There is also information on these matters in the University’s *Graduate Studies Prospectus*. This can be found on the [university website](http://www.ox.ac.uk/feesandfunding/). There may also be various college funds and awards available and students should speak with the college adviser about such opportunities.

Students are reminded of the terms of their financial declaration—particularly that, once enrolled, it remains their responsibility to meet the full cost of their studies.

## Financial Assistance

### Research expenses

Funds are made available by the OII with the aim of providing DPhil students with help towards expenses connected with their research. Such expenses might include travel essential to the research, the costs associated with presenting a paper at a conference, participant incentives and so on.

Research students wishing to apply for a grant should complete the application form and submit it with a description of the research or study to be undertaken and a brief letter (or email) of support from their supervisor to the Finance Officer, Duncan Passey ([finance@oii.ox.ac.uk](mailto:duncan.passey%20@oii.ox.ac.uk)). In normal circumstances students will be awarded a maximum of £750 for the duration of their degree. Research students wishing to apply for a grant should complete the application form (found on [Canvas](https://canvas.ox.ac.uk/courses/4670) under *Student Research Expenses*).

Any requests for further information should also be directed to Duncan Passey at the email address above.

### DPhil Training Budget

The OII has made £1,250 available for the financial year 2022/23 (Aug to July) towards social or training activities for the ICSS DPhil cohort. It is the responsibility of the student representatives to manage this budget and use it towards anything social or training related for the DPhil cohort. For any further questions please contact your DPhil representatives at [dphil.reps@oii.ox.ac.uk](mailto:dphil.reps@oii.ox.ac.uk).

## Hardship funding

### University and college funding

It is expected that students will secure the necessary funds to cover their fees and living costs before arriving at Oxford. However, the University and its colleges have a number of funds available to support students who find themselves in financial hardship while enrolled on their courses. For further guidance on hardship funding, please consult the [university website](https://www.ox.ac.uk/students/fees-funding/assistance/hardship).

Students in financial difficulty should approach their college in the first instance. Colleges understand the financial constraints students experience and will be able to offer advice on the support that students may be eligible to apply for, including any relevant [College Hardship Funds](https://www.ox.ac.uk/students/fees-funding/assistance/hardship/colleges).

The University operates the following centrally-administered hardship funds, which offer financial support through grants and/or loans:

* [Oxford Hardship Fund (OHF)](https://www.ox.ac.uk/students/fees-funding/assistance/hardship/ohf)for all students experiencing unexpected financial difficulties;
* [COVID-19 Assistance fund](https://www.ox.ac.uk/students/fees-funding/assistance-fund) to support students impacted by the coronavirus (COVID-19) pandemic.

### OII Hardship Fund

The OII operates a small fund to support students who experience financial hardship that could not have been anticipated at the start of their course.

The purpose of the fund is to provide support to students experiencing genuine and unforeseeable financial hardship. The fund is not intended to support discretionary or routine academic expenses such as conference or research travel, or book or equipment purchases.

Because resources are limited, students are asked, where eligible, to apply to their [college hardship fund](https://www.ox.ac.uk/students/fees-funding/assistance/hardship/colleges?wssl=1) and the [University Hardship Fund](https://www.ox.ac.uk/students/fees-funding/assistance/hardship/uhf?wssl=1) before applying to the OII’s Hardship Fund.

Please see [Appendix J: OII Student Hardship Funding Guidelines](#_Appendix_H:_OII) for full guidance on eligibility requirements and how to apply. For enquiries about the OII’s Hardship Fund, please contact Graduate Studies Manager Christine Bunyan ([christine.bunyan@oii.ox.ac.uk](mailto:christine.bunyan@oii.ox.ac.uk) or 01865 287304). A limited hardship fund exists for DPhil students with severe and unexpected financial difficulties. Students should send their application in writing to the Graduate Studies Manager Christine Bunyan ([christine.bunyan@oii.ox.ac.uk](mailto:christine.bunyan@oii.ox.ac.uk)). In their application, the student should explain how their financial circumstances have changed since the start of their course, why this is a source of legitimate financial hardship, why these changes could not have been anticipated at the start of their course, and how they plan to ensure that the remainder of their studies are financially sustainable. Applications should also include supporting evidence to establish the case for hardship, and confirm that an application has already been made to the College and University hardship funds (or explain why not). Please see [Appendix N: OII Student Hardship Fund Guidelines](#_Appendix_N:_OII) for full details.

## Paid Work

A student’s first commitment must be their Oxford degree work, which is demanding and intensive. If full-time students need, or wish, to undertake paid employment of any kind (whether inside or outside the University), they must first obtain the approval of their college, their OII supervisor and the Director of Graduate Studies.

Overseas students should also check with the Student Immigration Team ([student.immigration@admin.ox.ac.uk](mailto:student.immigration@admin.ox.ac.uk)) if they are eligible to work; further guidance can be found on the [Working while Studying](https://www.ox.ac.uk/students/visa/during/work) page.

The OII from time to time may advertise research or teaching assistantships for DPhil students close to an area of study for which they may apply, subject to the approval of their college and supervisor.

Your college is also likely to have a number of small jobs available, some of which, such as library jobs and occasional portering duties, can be comfortably be combined with study.

Please see the University [Guidance on Paid Work for Oxford graduate students](https://academic.admin.ox.ac.uk/policies/paid-work-guidelines-graduate-students) for further information.

For any queries about working during your studies, please speak to the DPhil Coordinator, or the OII HR Officer, [Ornella Sciuto](mailto:ornella.sciuto@oii.ox.ac.uk).

# Appendix A: Graduate Joint Consultative Committee

1. The terms of reference of the Graduate Joint Consultative Committee of the Oxford Internet Institute are:
   1. To act as a two-way channel of communication between the department and its students on all aspects of their educational experience in the department.
   2. To refer matters raised through the representation system to the relevant department committee.
   3. To receive and comment on any changes planned by the department with respect to admissions, induction, teaching, and assessment as referred to them by any department committee.
   4. To comment on physical resources within or related to the department, for example but not limited to, computer facilities, laboratory provision, library provision, and online resources.
   5. To comment upon safety within the department.
   6. To receive and consider analyses of and department responses to: the outcomes of course evaluation mechanisms; national statistics such as the Student Barometer; student performance through summary statistics; and annual reports of the Chairs of boards of examiners and external examiners reports.
   7. To receive and consider reports from department reviews, course reviews and professional, statutory and regulatory bodies as is allowed by the terms of the relevant committees/bodies.
   8. To review on an annual basis the effectiveness of the student representation system in the department including identifying any changes to the system.
   9. To discuss follow-up action resulting from previous GJCC meetings, in particular any matter which was referred to other department committees
   10. To report to the OII’s Graduate Studies Committee by submission of notes/summary of each meeting of the GJCC.
2. The membership of the Graduate Joint Consultative Committee shall be as follows:
3. Director of Graduate Studies
4. MSc Programme Director (SSI)
5. MSc Programme Director (SDS)
6. DPhil Programme Director (ICSS)
7. DPhil Programme Director (SDS)
8. Head of Administration and Finance
9. Graduate Studies Manager
10. MSc Coordinator
11. DPhil Coordinator
12. Graduate Studies Assistant
13. two representatives of full-time postgraduate research (DPhil in Information, Communication and the Social Sciences) students of the Institute in accordance with paragraph 3 below
14. two representatives of full-time postgraduate research (DPhil Social Data Science) students of the Institute in accordance with paragraph 3 below
15. one representatives of part-time postgraduate research (DPhil) students of the Institute in accordance with paragraph 3 below
16. two representatives of full-time postgraduate taught (MSc Social Science of the Internet) students of the Institute in accordance with paragraph 3 below
17. two representatives of part-time postgraduate taught (MSc Social Science of the Internet) students of the Institute in accordance with paragraph 3 below
18. two representatives of full-time postgraduate taught (MSc Social Data Science) students of the Institute in accordance with paragraph 3 below
19. such other co-opted members as the committee shall determine
20. Students of the Institute shall elect representatives of their number to serve on the committee prior to the first committee meeting of each year.
21. The committee shall be chaired by one of the student members, to be elected by the committee at the first meeting of the year. If the student chair becomes unavailable (for instance, if they have completed or left the programme and a new chair has not yet been elected), the DGS will serve as interim chair only until such a time as a new chair can be elected.
22. The secretary to the committee will be the OII Graduate Studies Assistant. The secretary will take the notes from the meetings, and liaise with the chair to create the minutes of the meeting.
23. The committee will normally meet at least three times a year, with meetings usually held once each term. The committee shall have leave to allow the chair to take action as appropriate between meetings.

# Appendix B: Standing Committees

### Graduate Studies Committee (GSC)

**Function:**

The Graduate Studies Committee shall manage all business of the Board relating to postgraduate studies within the department.

**Terms of reference:**

The terms of reference of the Graduate Studies Committee of the department are:

1. to implement all aspects of teaching policy for the OII as agreed by the Steering Committee
2. to decide on
   1. applications for admission as a Probationer Research Student and transfer to the OII DPhil programme, and applications for admission to the OII’s MSc programme
   2. suspension or removal of OII students from the register of graduate students,
   3. applications for transfer and confirmation of status,
   4. the examiners’ recommendation regarding the award of the degrees of Doctor of Philosophy and Master of Science, and
   5. the award of scholarships
3. to approve:
   1. nominations of assessors for transfer and confirmation of status of research students
   2. nominations for the board of examiners for the OII’s MSc programme
4. to review and make recommendations for changes and updates to the following in the light of university guidance and feedback from teachers, examiners and students:
   1. induction materials,
   2. OII graduate studies handbook,
   3. student feedback questionnaires,
   4. MSc courses and DPhil programmes,
   5. teaching methods and examination and marking schemes
5. to receive:
   1. supervisors’ termly and annual progress reports on all research and MSc students at the OII,
   2. reports of examiners and external examiners,
   3. results of student feedback questionnaires,
   4. statistics of success rates and details of complaints and academic appeals lodged and ensure that appropriate action is taken in the light of them.
6. to consider and approve applications for Visiting Student status.

**Membership:**

The membership of the Graduate Studies Committee shall be as follows:

* Director of Graduate Studies (*Chair*) (*ex officio*)
* Members of the department’s staff who are engaged in teaching for the department
* Head of Administration and Finance (*ex officio*)
* IT Manager (*ex officio*)
* four representatives of postgraduate students of the department (one from each programme)
* such other co-opted members as the committee shall determine

The Board will also endeavour to use its power of co-optation to ensure that there is gender representation on the Board proportionate to the profile of the permanent academic staff, if this has not been achieved through the elections.

Postgraduate students of the Department shall elect four of their number to serve on the committee. The student representatives shall not be entitled to take part in any reserved business except by leave of the chair.

**Meetings**

The Graduate Studies Committee will normally meet at least six times a year. The committee shall

have leave to allow the Chair to take action as appropriate between meetings.

### Research Committee

**Terms of reference:**

The terms of reference of the Research Committee are:

1. to develop the department’s research strategy and to discuss all relevant research issues with a view to promoting and co-ordinating those activities within the Institute, including:
   1. research funding in the context of the Institute’s overall finances
   2. publication and dissemination of research findings
   3. research related events, including the Bellwether series and OII colloquia
   4. academic resources
   5. research policy including ethical issues
2. to consider and approve research funding proposals
3. to consider and approve proposals for Postdoctoral Fellowships, Associate status and Visitor status (other than Visiting Student status)

**Membership:**

The membership of the Research Committee shall be as follows:

* Director of Research (*Chair*) (*ex officio*)
* research and academic staff on departmentally funded contracts
* Head of Administration and Finance (*ex officio*)
* IT Manager (*ex officio*)
* three representatives from the department’s contract research staff
* two representatives of the postgraduate students of the department

The Board will also endeavour to use its power of co-optation to ensure that there is gender representation on the Board proportionate to the profile of the permanent academic staff, if this has not been achieved through the elections.

Postgraduate students of the Department may elect two of their number to attend meetings of the committee. The student representatives shall be entitled to contribute to discussion of any unreserved business described at paragraph (a) above but may not take part in any other business except by leave of the chair. Apart from *ex officio* members, members shall serve for three years and be eligible for reappointment.

**Meetings:**

The Research Committee will normally meet at least three times a year. The Committee shall have leave to allow the Chair to take action as appropriate between meetings.

# Appendix C: Guidance on Research Proposal Preparation

PRS students are expected to submit a Research Proposal in support of their application for change of status.

1. A **‘Research Proposal’** consists of a plan for research and for writing a thesis, including the specification of a research topic or research question, relevant discussion of existing scholarship and theoretical approaches, an outline of plans for data gathering, data handling, and data analysis, and an account of how the data analysis will bear on or address the research question posed, or how it will make a contribution to the topic. The paper should include a bibliography of works consulted in its preparation. It should also include a projected schedule of work.
2. The paper will be no more than 15,000 words. Therefore the **account of existing scholarship and research**, or the literature survey, will necessarily be brief. It should, however, be very focused and show the assessors which literature will be **most important in guiding your research.**
3. The following is a **suggested structure** for a Research Proposal. However, it is not an invariable pattern. In particular, research projects vary in their emphasis (theory, the literature, the methods of data gathering, the methods of analysis, the results of the analysis, etc) so the relative lengths and the order of these sections can vary:
   1. State your **research topic**, research question or hypothesis to be tested as succinctly and clearly as you can.
   2. The research topic, question or hypothesis must be put into the context of the **existing literature**. It may be that the existing literature has a gap, or that the accepted findings are controversial or open to doubt, or that you think that the dominant theoretical framework(s) should be questioned, or that there is a continuing conflict between two or more ‘camps’, or that the methods used in existing literature should be improved, or that you think of the question in a different way from that of most published authors. In a brief section you need to outline your interpretation of the existing literature and explain how your research project ‘fits’ or will make a contribution.
   3. **Data gathering**. ‘Data’ includes a wide range of material. Your ‘data gathering’ section must outline your sampling strategy (how many of what chosen how from what population?), your data gathering and data handling methods, and your plans for data analysis. This applies to empirical projects of all sorts: quantitative, qualitative and mixed methods.
   4. **Data analysis:** statistical analysis (what kinds of modeling, descriptive or hypothesis-testing techniques?), discourse analysis (what kind?), or formal model building? Constructing historical chronologies? Analytic narratives? Applying game theory? At this point, you are aiming not to anticipate what the results of your analysis will be, but to be clear about what questions you will be asking of your data and to think about these questions in such a way that you are clear about the relevance of your **method** to your **theory** and hypothesis.
   5. **Ethical issues:** These should be identified and addressed (and a CUREC questionnaire completed and enclosed if the research involves human subjects)
   6. Finally, you need to wrap the thing up by discussing briefly how the data analysis you propose should bear on the question you start out with in such a way as to make a meaningful contribution to the field you have described in your literature review. (Again, this can seem so obvious as to be not worth stating, but assessors often criticize work because the research proposed is not relevant to the question asked).
   7. It is imperative that you include a preliminary timetable so that the assessors and your supervisor can be satisfied that you have a reasonable prospect of completing the work in three years.

# Appendix D: Guidance on MLitt

**Terms and conditions**

Candidates for the MLitt normally proceed through Probationer Research Student status to MLitt status. In each case, students should use the Transfer of Status form (GSO.2) and follow the procedure outlined in this Handbook.

A thesis for the degree of MLitt can normally be submitted by a student of full MLitt status after 6 terms of residence (12 terms for Part-Time) (including probationary or previous MLitt, MSc or MPhil status) and after not more than 9 terms (18 for Part-Time) from his or her first registration. MLitt students whose work is likely to exceed 9 terms (18 for Part-Time) should apply for an extension of time through the Graduate Studies Committee. The maximum permitted time for study is 15 terms (24 for Part-Time), but the Committee will normally only recommend an extension of three terms initially. Further terms will require increasingly good reasons.

The normal maximum length of an MLitt thesis is 50,000 words. This maximum is taken seriously and permission to exceed it is rarely given. On thesis preparation generally, see section 7 of the Handbook.

A candidate who has been awarded an MLitt cannot use the same topic for a DPhil.

MLitt students should also consult the [Regulations for the Degree of Master of Letters](https://examregs.admin.ox.ac.uk/Regulation?code=grftdomastoflett&srchYear=2021&srchTerm=1&year=2021&term=1).

**Requirements for award of MLitt**

The examiners of an MLitt thesis are asked to certify that:

i. the candidate possesses a good general knowledge of the field of learning within which the subject of the thesis falls;

ii. the candidate has shown competence in investigating the chosen topic;

iii. the candidate has made a worthwhile contribution to knowledge or understanding in the field of learning within which the subject of the thesis falls;

iv. it is presented in a lucid and scholarly manner;

v. it merits the award of the degree of Master of Letters.

MLitt examiners are asked to bear in mind that their judgement of the extent of the candidate’s contribution to knowledge or understanding of the relevant field of learning should take into account what may reasonably be expected of a capable and diligent student after two years of full-time study.

# Appendix E: Guidance on MSc by Research (MRes)

**MRes in Information, Communication and the Social Sciences**

The MRes in Information, Communication and the Social Sciences is typically only offered for PRS students or post-Transfer DPhil students as an alternative to completing the DPhil, who instead apply to complete their work at OII with an independent, research-focused year-long project.

The thesis for completion of the OII MSc by Research degree will have a maximum word limit of 25,000 words (excluding the bibliography and any appendices, but including footnotes and tables). The normal maximum length of an MRes thesis is 25,000 words. This maximum is taken seriously and permission to exceed it is rarely given. On thesis preparation generally, see section 7 of the Handbook.

A thesis for the degree of MRes can normally be submitted by a student of full MRes status after 3 terms from his or her first registration onto the programme. MRes students whose work is likely to exceed 3 terms should apply for an extension of time through the Graduate Studies Committee. The maximum additional permitted time for study is 3 terms, but the Committee will normally only allow an extension of one term at a time. Further terms will require increasingly good reasons.

A candidate who has been awarded an MRes cannot use the same topic for a DPhil.

**Transfer of Status**

MRes students will normally be registered as a Probationer Research Student (PRS) and must apply for Transfer to the status of a MRes student (MRes status) by the end of their first term. Transfer **must** be achieved by the end of the second term, which entails also meeting the submission deadline for that term, normally Monday of Week 4 of Hilary Term. The purpose of the transfer is to ensure that the student has a convincing research proposal and that they are making satisfactory progress in its development, and to satisfy the assessors that the work is potentially of MRes quality.

For further information on this process, please see sections 1 and 2 of the [General Regulations Governing Research Degrees](https://examregs.admin.ox.ac.uk/Regulation?code=grgoveresedegr).

**Purpose of Transfer of Status**

The Probationer Research Student (PRS) status is intended to be used constructively, permitting a wise choice of the research topic to be made in the context of broader reading as well as preliminary research, helping the student to become accustomed to the rhythm of graduate work, and allowing for the acquisition of any specific skills appropriate to the research.

The Transfer of Status assessment is to ensure that the student is making satisfactory progress in the development of the research, to ensure that the work is of potential quality suitable for an MRes degree, and that the methodology of the research is appropriate and practicable. The Transfer process provides the opportunity for the student to discuss their work with two independent members of staff and to receive feedback. Broadly, the assessment should show a plan for the thesis, which locates the research in the context of earlier work in the field, sets out the questions, hypotheses or issues on which it will focus, and describes and explains the methods by which these will be answered, tested or addressed.

The assessment procedures are intended to remove the risk of failure and to reduce the risk of referral as far as possible, and must therefore be as rigorous as necessary to achieve this.

The formal Regulations for Transfer of Status are set out in the [General Regulations Governing Research Degrees](https://examregs.admin.ox.ac.uk/Regulation?code=grgoveresedegr), and in the special regulations for individual subjects, grouped within their particular Division. Further information is also available in the Education Committee’s [*Policy and Guidance on Research Degrees*](https://academic.admin.ox.ac.uk/research-degrees).

**Timing of Transfer of Status**

The OII expects students to complete the transfer from PRS status to MRes status by the end of Michaelmas Term but not later than Hilary Term.

Please note that **completion** **of Transfer** involves both the submission *and the assessment* of the Transfer of Status document. Thus, submissions should be made by the department deadlines for submissions which is Monday of Week 4 of Michaelmas or Hilary Term. This allows time for the transfer submission to be read and assessed by the end of the term. Students who know that their chosen assessors may be unavailable for a period of time should take that into account and may wish to submit earlier to ensure that their assessment can take place in time to meet the deadline for Transfer of Status.

All Transfer documents (except the online form) should be submitted by email to [dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk).

**How to Apply for Transfer of Status Assessment**

Applications for transfer of status should be made using the Application to Transfer Status form available from the [Student Self-Service](https://evision.ox.ac.uk)[t](http://www.ox.ac.uk/students/academic/guidance/graduate/progression). Students are required to complete the form and to provide supplementary information on development of both research specific and personal and professional skills during their time as a Probationer Research Student. Students are also required to indicate whether their work requires research ethics approval, and apply separately for CUREC/IREC as appropriate. Supervisors are asked to suggest names of appropriate assessors.

**Content of Transfer of Status**

The transfer of status application must include:

* Application to Transfer Status, available [online](file:///\\files.oii.ox.ac.uk\Z%20Drive\private\Teaching\6%20DPhil%20Programmes\06%20Course%20Documents%20and%20Regulations\Graduate%20Studies%20Handbook\2022-23\online) through the [Student Self-Service](https://evision.ox.ac.uk)
  + Signed by supervisor and an appropriate officer of student’s college;
  + Indicate clearly the status to which they wish to transfer (i.e. MSc by Research);
  + Confirmation from the supervisor that required courses have been satisfactorily completed;
* Appointment of Examiners form (downloaded from [Canvas](https://canvas.ox.ac.uk/courses/4670/modules/items/685847)); this must be submitted by Monday of week 2 of the term they are submitting for Transfer;
* an outline of their research (one side of A4 paper), summarising the thesis topic;
* a detailed research proposal of no more than 5,000 words. This should:
  + draw upon relevant literature to discuss the background to the research, theoretical perspectives, and possible outcomes to the research;
  + state key research questions;
  + discuss the overall methodological approach and specific strategies to answering these research questions, paying particular attention to practical and ethical issues relevant to the research;
  + a preliminary timetable for the research;
* a list of references;
* a completed copy of the relevant Research Ethics form (CUREC);
* any other materials, including substantial original research or expression made on the public Internet, that will help the assessors evaluate the student’s candidacy.

Before submission, students should discuss drafts of all of these items with their supervisor.

Students need to submit physical copies signed forms and an electronic copy of all other the materials for the application.

**Appointment and role of assessors**

In order to minimise delays, supervisors are expected to identify appropriate assessors and seek GSC approval at the beginning of the term in which transfer materials are to be submitted. Twoassessors must be appointed, neither ofwhomwill normally be the student’s supervisor. Assessors will normally be academic members of staff working in the University of Oxford and only in exceptional circumstances will an external assessor be appointed.

Supervisors should submit the names of the assessors to the DPhil Coordinator ([dphil@oii.ox.ac.uk](mailto:dphil@oii.ox.ac.uk)) prior to GSC meeting of the term (meetings take place in Week 3 and 7 each term) the student plans to submit their transfer. Once the Graduate Studies Committee has confirmed and approved the assessors, it is the responsibility of the students’ supervisor to contact the assessors to organise a mutual convenient time for the interview. Unless advised otherwise by their supervisor, students should not contact the assessors directly but may of course respond to their communications about dates etc. Students should normally expect to be interviewed within ***6-8 weeks* of submission of their transfer application.**

The Transfer assessment is a formal requirement, but the interview is not an official examination or viva, and *sub fusc* is not worn. The assessors will write a report and submit recommendations to the Director of Graduate Studies. Following the interview, students should normally expect to hear the outcome of their assessment within *2* weeks, though this may be longer during the vacation periods.

Students will be expected during the interview to show evidence of their understanding of general theory and research methods in the social sciences applicable to their proposed study and specific research methods appropriate to the study of social aspects of the Internet and related ICTs.

In their report, the assessors are required to advise whether:

* the student is competent to complete and present a thesis in English
* the required coursework materials have been submitted
* the proposed thesis topic and treatment represent a viable project
* the proposed thesis topic and treatment comply with the Division’s ethical standards
* the written work and oral examination show that you have a good knowledge and understanding of the subject
* the research schedule is viable and you should in principle be able to complete the proposed schedule of work for the MRes within one year from initial registration as PRS

Before submitting their report, assessors may request additional written work or other evidence from the candidate. Assessors then submit their report to the Graduate Studies Committee which may

* accept the application
* recommend resubmission, within a specified time and/or on specified terms
* reject the application
* request additional written work or other evidence, or appoint an additional assessor, before reaching a decision

**Instructions to assessors**

Assessors are asked to contact students as soon as reasonably possible to arrange a time for the interview, or to explain problems in doing so. Students can find it an anxious wait, and may have good reasons for wanting the assessment completed as soon as possible (please let the DPhil Coordinator know if there is a problem in this respect at the time of application).

Assessors are invited to consider whether the student is capable of carrying out advanced research, and that the subject of the thesis and the manner of its treatment proposed by the student are acceptable for transfer to MRes. Assessors should judge the application against the criteria for success defined below. They should aim to provide constructive criticism and advice to the student to identify and address deficiencies and thereby strengthen their proposed research project, rather than to present a judgemental verdict. Dismissive or aggressive remarks are not appropriate. An application to transfer to MRes status must provide evidence that the applicant can construct an argument, can present material in a scholarly manner, has a viable subject to work on, and can be reasonably expected to complete in one year. The written work will not read like a final thesis. Omissions, unpersuasive arguments, or missing perspectives are not fatal unless they seem to indicate an inability to reach the necessary standard for the final thesis. The research proposal and thesis structure need not be completely finalised, but the student should have clearly defined ideas of what the research questions are and have possible ways to answer them.

The joint assessors’ report should be about 1 page in length, providing a permanent record of advice given to the student at this stage and an indication of the student’s progress. It should normally include a summary of the points raised in the interview, feedback on the written work submitted prior to the interview, comments on the positive aspects of the student’s work, as well as any concerns about the student’s progress and suggestions for the research going forward. Finally, for non-native English speakers, the report should indicate the assessors’ view of the student’s ability to present and defend the work in English.

Significant differences of opinion between the assessors will be adjudicated by the Graduate Studies Committee, in consultation with the assessors and supervisors.

**Criteria for Success**

For transfer of status to be approved, the student will need to be able to show that their proposed thesis and treatment represents a viable topic and that their written work and interview show that they have a good knowledge and understanding of the subject. In addition, the assessors will judge the application against the following criteria:

1. Competence in both written and spoken English
2. The aims of the research are realistic and focused
3. Evidence of wide reading and critical analysis
4. Appropriate methodology and research techniques are proposed
5. Limitations to the research are addressed
6. It is clear how the research will develop for a MRes thesis
7. There is a suitable timetable for the research
8. The candidate demonstrates the progression of an argument
9. The candidate shows a scholarly and rigorous approach to research issues
10. The research topic and treatment meet the Division’s ethical standards.
11. The written work and interview show that the candidate has a good overall knowledge and understanding of the subject.
12. The University has adequate facilities (including supervision) to enable the research to progress.
13. The student is capable of carrying out advanced research
14. The proposed schedule of work can be completed within one year

**Viva**

Having passed Transfer of Status, MRes students should be examined in the same manner as DPhil students, with two examiners, at least one of whom shall be an external, including the viva examination. The process will also be the same as for a DPhil viva. MRes students do not apply for Confirmation of Status.

**Requirements for award of MRes**

The examiners of an MRes thesis are asked to certify that:

i. the candidate possesses a good general knowledge of the field of learning within which the subject of the thesis falls;

ii. the candidate has shown competence in investigating the chosen topic;

iii. the candidate has made a worthwhile contribution to knowledge or understanding in the field of learning within which the subject of the thesis falls;

iv. it is presented in a lucid and scholarly manner;

v. it merits the award of the degree of Master of Research.

MRes examiners are asked to bear in mind that their judgement of the extent of the candidate’s contribution to knowledge or understanding of the relevant field of learning should take into account what may reasonably be expected of a capable and diligent student after one year of full-time study.

# Appendix F: Marking Scales

OII Marking Scale

|  |  |  |
| --- | --- | --- |
| Marking Scale | | |
| 70-100 | Distinction | For exams: a very good answer that has a well-structured argument, is lucidly written, shows strong familiarity with relevant literature or theory, and includes necessary command of empirical material. Theses should also display strong analytical power, and originality either in a fresh approach to texts and literature or in generating new evidence. |
| 65-69 | Merit | Work of high standard that covers the major points and shows familiarity with relevant literature or theory. It will include some elements of distinction quality, but is either not sufficiently original, or less well-written, or has a less well-structured argument, or includes inaccuracies. The marks of 68 and 69 should indicate an examiner’s preparedness to move up to a distinction if a co-assessor or panel of examiners so recommend. |
| 50-65 | Pass | Work of solid scholarly standard that shows some analytical capacity and a reasonable coverage of relevant empirical material. It may include a well-structured argument, but be marred by omissions and/or some inaccuracies. |
| 49 and below | Fail | An examination answer or dissertation that fails to display the criteria necessary for a pass. It may have some or all of the following weaknesses: the standard of writing is too poor; it is without sufficiently clear structure and argument; it does not cover the literature and empirical material adequately; it does not focus on the topic; it contains serious omissions and inaccuracies. The marks of 48 and 49 should indicate an examiner’s preparedness to move up to a pass if a co-assessor or panel of examiners so recommend. |

# Appendix G: Graduate Supervision Reporting (GSR)

At the end of each term, the student’s supervisor(s) will submit a report on their academic progress. To facilitate this reporting, the University operates the online Graduate Supervision Reporting (GSR) system. Within this system, students have the opportunity to contribute to their termly supervision reports by reviewing and commenting on their own progress.

Students are **strongly encouraged** to take the opportunity to review and comment on their academic progress, any skills training they have undertaken or may need to the future, and on their engagement with the academic community (e.g. seminar/conference attendance or any teaching they have undertaken).

The student’s supervisor(s) will review and comment on their academic progress and performance during the current term and assess skills and training needs to be addressed during the next term. The supervisor should discuss the report with the student, as it will form the basis for feedback on their progress, for identifying areas where further work is required, for reviewing progress against an agreed timetable, and for agreeing plans for the term ahead.

Students on doctoral programmes should reflect on the progress made with their research project during the current term, including written work (e.g. drafts of chapters) and they should assess this against the plan of research that has been agreed with their supervisor(s).

All students should briefly describe which subject-specific research skills and more general personal/professional skills they have acquired or developed during the current term. Students should include attendance at relevant classes that form part of their programme of study and also include courses, seminars or workshops offered or arranged by the OII or the University. Students should also reflect on the skills required to undertake the work they intend to carry out. Students should mention any skills they do not already have or may wish to strengthen through undertaking training.

The DPhil Programme Director and the Director of Graduate Studies have access to these reports, and will review them, watching in particularly for any issues flagged as a concern. If the student has any complaints about the supervision they are receiving, they should raise this directly with the Director of Graduate Studies at the OII. Students should not use the supervision reporting system as a mechanism for complaints.

Students are asked to report in weeks 7 and 8 of term. Once the student has completed their sections of the online form, it will be released to their supervisor(s) for completion and will also be visible to the Director of Graduate Studies and to their College Advisor. When the supervisor’s sections are completed, students will be able to view the report, as will the relevant Director of Graduate Studies and their College Advisor. Directors of Graduate Studies are responsible for ensuring that appropriate supervision takes place, and this is one of the mechanisms they use to obtain information about supervision. College Advisors are a source of support and advice to students, and it is therefore important that they are informed of the students’ progress, including concerns (expressed by the student and/or their supervisor).

It is strongly recommended to complete a self-assessment report every reporting period. If you have any difficulty completing this you must speak to your supervisor or Director of Graduate Studies.

Your self-assessment report will be used by your supervisor(s) as a basis to complete a report on your performance this reporting period, for identifying areas where further work may be required, and for reviewing your progress against agreed timetables and plans for the term ahead. GSR will alert you by email when your supervisor or DGS has completed your report and it is available for you to view.

Use this opportunity to:

* Review and comment on your academic progress during the current reporting period
* Measure your progress against the requirements and agreed timetable for your programme of study
* Identify skills developed and training undertaken or required (taught programmes only)
* List your engagement with the academic community
* Raise concerns or issues regarding your academic progress to your supervisor
* Outline your plans for the next term (where applicable)

Students and supervisors are reminded that having a positive student-supervisor relationship is an important factor in student success. Research suggests that one of the strongest predictors of postgraduate completion is having expectations met within the student-supervisor relationship

To access GSR, please visit the [Student Self-Service](https://evision.ox.ac.uk). Students will be able to log on to the site using their single sign-on details. Full details of how to use the site are provided [online](https://www.ox.ac.uk/students/selfservice); however, should a student need additional support, they should contact the OII’s Divisional Graduate Studies Assistant at [graduate-studies-2@socsci.ox.ac.uk](mailto:graduate-studies-2@socsci.ox.ac.uk) in the first instance.

# Appendix H: Research Supervision

**Research supervision: a brief guide**

The role of the supervisor is to:

* Establish a timetable of regular meetings for detailed discussion of your progress (these meetings should take place **at least** once per term)
* Agree a research plan and programme of work, and to establish clear academic expectations and milestones
* Agree with you a timetable for the submission of written work and to return your work within a reasonable time
* Support you in considering your subject-specific and personal and professional skills training needs through the Training Needs Analysis on a regular basis and ensure that these needs are met
* Co-operate with you to produce a detailed joint report on your progress at the end of each term
* Ensure you are aware of the formal requirements in relation to transfer and confirmation of status and final submission, and help you to incorporate these into your plan of work
* Discuss any health and safety aspects of your research proposal and approve any risk assessments required

Your role as a student is to:

* Maintain regular contact with your supervisor, and respond to him/her in good time
* Meet with your supervisor regularly, keep a written record of your discussions, and give due weight to any guidance or corrective action proposed
* Draw up a research plan and timetable of work in consultation with your supervisor, and to keep relevant records of all aspects of your work
* Co-operate with your supervisor to make a detailed joint report on your progress at the end of each term
* Take responsibility for your research programme, including the development of subject-specific, research, and personal and professional skills
* Take responsibility for (i) the preparation and content of your thesis, giving due regard to any advice from your supervisor, and (ii) its timely submission in accordance with the timetable set
* Be aware of the University’s guidance on plagiarism and of any ethical or legal issues, health and safety requirements, or intellectual property issues arising from your research
* Pursue opportunities to engage with the wider academic community at University, national and international level

**Appointment of supervisors for Graduate Research Students**

Patterns of supervision differ in the Social Sciences Division according to the nature of the subject or research project. In some subjects there is typically a sole supervisor; others may have two or more supervisors (particularly those with an interdisciplinary element), with one designated as the “primary supervisor”. Joint supervision is possible at the OII and, especially for strongly interdisciplinary research, sometimes encouraged.

Departments and faculties should ensure that expectations with regard to the supervisor role, including regular meetings with students, are spelled out clearly and are understood by all supervisors. In the case of joint supervision, the respective roles and responsibilities of the supervisors concerned should be clearly established from the outset, (for example, managing responsibility for fieldwork).

The department or faculty shall ensure that each graduate student has access to one or more named persons to whom they can turn for support, such as a Primary or Co-Supervisor(s), Secondary Supervisor, Programme Director or the Director of Graduate Studies. Where there is a sole supervisor, these other sources of support, and the arrangements for providing cover during the absence of the supervisor referred to below, are especially important.

Students should also expect to be able to approach a college adviser, appointed by the student’s college (the college advisor must not be the same person as the department supervisor). The college may also have procedures in place to monitor the overall well-being of graduate research student, including a discussion of academic reports. If the college identifies any concerns which might impact on the academic progress of the student concerned, and which may not already have been recognised in departmental/faculty reports, it may refer these in confidence to the Director of Graduate Studies in the department/faculty concerned, who will take appropriate action.

**Who can supervise?**

* Someone of sufficient standing who is be able to operate with credibility on behalf of the department/faculty.
* Someone who has sufficient experience to be able to provide appropriate guidance to the student about the necessary procedures and, in particular, the academic expectations associated with an Oxford doctorate in their subject area.
* Someone who is able to undertake the tasks assigned to the supervisor in the Education Committee’s [*Policy and Guidance on Research Degrees*](https://academic.admin.ox.ac.uk/research-degrees), including integrating students into the national and international network in their subject.
* Someone who has sufficient security of tenure to make it likely that they will see the student's research through to successful conclusion. Particular care should be taken when appointing supervisors for part-time research degrees.
* Departments should have regard to the University’s [Staff-Student Relationship Policy](https://academic.admin.ox.ac.uk/staff-student-relationships), which prohibits relationships between a doctoral supervisor and their student.

**The primary supervisor**

A student may have one or more supervisors, but there must be one who is responsible for overall academic progress and pastoral needs, and who is responsible for signing progression forms.

The primary supervisor shall normally be:

* A member of staff based in the student’s home department (including college fellows) on a permanent contract and who is an associate professor, reader, or professor, OR
* A researcher based in the student’s home department with an independent fellowship that lasts for the duration of the student’s degree.
* The primary supervisor will be currently engaged in research in the relevant discipline(s) so as to ensure the direction and monitoring of the student’s progress is informed by up-to-date subject knowledge, methods, and research developments.
* Nobody should be appointed as the primary supervisor if it is known at the time of appointment that s/he will not be in post for the normal duration of the student’s programme.

A person appointed to supervise alongside the primary supervisor shall normally be:

* An associate professor, reader, or professor.
* A member of research staff who is grade 8 or above.
* An independent research fellow (those with fellowships secured from an external learned society, research council or equivalent). The fellow should have at least three years’ experience as a post-doctoral researcher before becoming a supervisor.
* A postdoctoral researcher with at least three years of experience of research.
* A Department Lecturer at grade 8 or above who is research-active and has at least three years of experience of research.
* An employee of an external organisation who has both a relevant doctorate (or equivalent research expertise) in the subject of the student’s DPhil, and who has at least three years of experience of working in research and development.
* A postdoctoral researcher who has done less than three years’ postdoctoral research should not normally be appointed as a supervisor, however, this should not preclude informal support as part of a supervisory team.

For a student following an interdisciplinary DPhil who has two equally senior supervisors in two different departments, there should still be a single primary supervisor, who will be based in the department where the student is registered for administrative purposes. For the purposes for signing off Transfer, Confirmation and submission forms, the primary supervisor should liaise with their counterpart in the other department, and where this is any disagreement between the two, the DGS in the department where the student is registered will make the final decision.

A candidate should not be admitted if there is no suitable specialist supervision available.

**New supervisors**

Appropriate support and training will be given to new supervisors and all appointees new to supervision are required to undertake the [online supervision course](https://www.ctl.ox.ac.uk/online-courses) *DPhil Supervision at Oxford (Humanities and Social Sciences)* developed by the Division in association with the CTL.

For members of academic staff in their initial period of office, departments will appoint a Mentor who will, amongst their other duties, provide advice, support, and guidance on teaching, and supervision of research students. New academic staff will also have access to general support and advice from the Director(s) of Graduate Studies in their department/faculty. The supervision record of a new member of academic staff is included in the review prior to appointment to retiring age, and a high standard of supervision is expected.

**Quality assurance for supervision**

Departments should put in place mechanisms to ensure that the quality of supervision is not put at risk as a result of the excessive volume and range of other duties assigned to individual supervisors.

Normally Associate Professors will supervise **four students**, and Associate Professors with Tutorial Fellowships and joint (cross-departmental) post-holders will supervise **three students** (the notional maxima being eight and six respectively).

These norms and notional maxima are based on sole supervision and, where staff are engaged in joint supervision, would be adjusted to reflect the level of commitment involved. Adjustments may also be required where staff hold significant research or administrative posts.

The Division emphasizes the importance of adhering to the the [UK Quality Code for Higher Education](https://www.qaa.ac.uk/quality-code) indicators of sound practice in the provision of supervision, which state that higher education providers will:

* appoint supervisors with the appropriate skills and subject knowledge to support and encourage research students, and to monitor their progress effectively;
* ensure each research student has a supervisory team containing a main supervisor who is the clearly identified point of contact;
* ensure that the responsibilities of research student supervisors are readily available and clearly communicated to supervisors and students;
* ensure that individual supervisors have sufficient time to carry out their responsibilities effectively.

**Frequency of meetings**

The Division normally expects a full-time research student to have a minimum of nine one-hour meetings (or equivalent) per year (part-time students pro rata). Where possible meetings should be held face-to-face, but may also be held virtually e.g. via MS Teams with the agreement of both the supervisor and student.

In addition, students with more than one supervisor may request at least one meeting with all of their supervisors together per year.

The frequency of meetings may vary according to the stage of the research programme. It follows that, alongside their other duties, a supervisor should be able to provide this typical level of support for each of their research students.

Departments are responsible for making appropriate arrangements to cover for a supervisor’s absence on leave or for other reasons and should ensure that students are not disadvantaged by appointing a supervisor who is about to go on leave.

**Change of supervisor**

Where a student’s research changes focus such that their current supervisor may no longer be the most appropriate person to provide guidance on the revised topic, the department/faculty, in consultation with the supervisor concerned, should consider whether an additional or alternative supervisor should be appointed. It should be noted that such a change of research focus is unusual and requires prior permission from the department or faculty concerned.

Where a student feels that there are good grounds for contemplating a change of supervisor, this should first be discussed with the supervisor concerned, or if this seems difficult, with the appropriate head of department, Director of Graduate Studies or their deputies, or the college adviser.

If this involves concerns over the quality of supervision, students should be encouraged to seek to resolve the matter by informal means where possible, but should be made aware of the University’s formal complaint procedures.

At the OII we encourage students to speak to either the Director of Graduate Studies, the DPhil Programme Directors, or the Graduate Studies Manager if they have any concerns with their supervisor.

**Supervisor checklist**

This document provides a checklist of the main areas of responsibility of supervisors:

*General responsibilities*

* provide academic leadership to the student, and clarification of expectations;
* advise the student about all aspects of the research programme: standards, planning, literature, sources, attendance at classes/ lectures, techniques and skills*;*
* undertake a regular Training Needs Analysis/Skills Review with the student;
* (where acting as a co-supervisor or part of a supervisory team) co-ordinate advice and guidance, and ensure that respective responsibilities (such as managing fieldwork etc) are clear both to academic colleagues and to the student;
* avoid absence on leave without appropriate temporary supervision having been arranged for the student. [Leave will not normally be approved without such arrangements being in place.]

have reasonable familiarity with institutional, national and international expectations relating to research environments, research supervision and research training (see the the [UK Quality Code](https://www.qaa.ac.uk/docs/qaa/quality-code/advice-and-guidance-research-degrees.pdf));

* *Meetings and feedback*
* arrange an initial meeting with the student as soon as possible at the beginning of the degree, and agree with the student expected frequency, format, and duration of future meetings, and arrangements for contact when either the supervisor or student is away from Oxford,
* meet with the student regularly;
* where students have more than one supervisor, they may request at least one meeting with all of their supervisors together per year;
* contact the student ahead of return from suspension and arrange to formally meet as soon as possible upon the student’s return to study;
* always arrange a meeting with the student as soon as possible upon his/her return from fieldwork;
* keep written records of the meetings to ensure both student and supervisor are clear on action to be taken and to help in monitoring progress;

*Student Research*

* assist the student in defining the topic of research which can be completed and written up within the prescribed period;
* advise at an early stage on research design and the effective collection and storage of data;
* provide an overview and guidance on the structure of the completed thesis and guide the student through to completion;
* give guidance on:
* the nature of research and the standard expected (including advice on presentation and writing style);
* the planning of the research, literature and sources;
* attendance on appropriate research training and professional skills training courses, including fieldwork safety courses;
* techniques that may be needed;
* other sources of advice and expertise;
* ethical issues, and the procedures for seeking ethical approval through the Social Sciences and Humanities Inter-Divisional Research Ethics Committee (IDREC), where appropriate;
* ensure that the student is aware of, and has taken appropriate action with respect to:
* any ethical, research integrity and legal issues connected with the research and data storage;
* any health and safety issues connected with the research, including lab-based research and/or fieldwork (see below – Supervisors’ responsibilities for students undertaking fieldwork). This includes identifying and ensuring appropriate risk assessment and training;
* issues concerning intellectual property;
* issues related to third party copyright for the hard copy and digital thesis
* issues concerning [conflicts of interest](https://compliance.admin.ox.ac.uk/conflicts-of-interest)
* the need to avoid plagiarism and to be aware of [University guidance on plagiarism](https://www.ox.ac.uk/students/academic/guidance/skills/plagiarism).

*Student progress, monitoring and performance*

* assist the student to work within a planned framework and timetable;
* monitor the student’s ability to write a coherent account of their work in good English;
* review student feedback and make termly reports on the student’s work using Graduate Supervision Reporting (GSR), including reviewing and updating training requirements. The supervisor should discuss the contents of the report with the student; in particular, any concerns should be discussed with the student before being flagged in GSR;
* provide the student with regular information as to the student’s progress, and, where problems arise, provide guidance and assistance in relation to necessary, corrective action;
* provide relevant information on students’ attendance, academic progression, and performance to the department;
* assist the student with the preparation, timetable and submission of material relating to applications for Transfer of Status, and for Confirmation of Status, and to provide appropriate feed-back, especially where the student has failed to meet the required standards;
* ensure the student is familiar with all examination procedures and requirements;
* advise the student on the timing of submission of the thesis and consult with the student in order to make recommendations for the appointment of examiners;
* to encourage the student to apply for any adaptations needed in response to disabilities ahead of milestones assessments or the final oral examination;

**Resources**

* ensure that the student is familiar with the research facilities and activities of a department or faculty;
* advise as appropriate on financial support available, for example, funding for conferences, field trips, or other research travel;
* encourage the student to obtain knowledge and information about career opportunities
* alert the student, where necessary to other services provided within the University, for example, health, disabilities, and counselling;

*Development and training*

* assist the student during the course of the first term, and at least annually thereafter, with the identification and subsequent development of skills for subject specific research training and for personal and professional purposes, including advice on teaching opportunities and appropriate training and ensure that the Training Needs Analysis/Skills Review is uploaded onto GSR;
* encourage the student to attend the Divisional student induction event provided through the Grand Union Doctoral Training Partnership and the appropriate courses offered through Divisional Skills Training Programme;
* pursue opportunities for the student to take part in the intellectual life of the department and to discuss his or her work with peers and others in the wider academic community (including the presentation, and possible publication, of research outcomes where relevant) at divisional, university, national and international level.

**Discussion prompts for first meetings with students**

To help clarify mutual expectations and establish good communication between supervisors and students, it may be useful to consider the following questions:

*Research Direction*

* How much direction do you expect to provide as a supervisor?
* How much direction does your student expect you to provide?

*Knowledge and skills*

* What skills do you expect your student to have or to acquire? (Use the Training Needs Analysis/Skills Review document to support this discussion.)

*Time management and meetings*

* How often do you expect to meet with your students and how?
* How much time do they expect from you?

*Feedback and constructive criticism*

* How often do you expect to receive work from students?
* What sort of feedback will you provide?
* What are your student’s expectations?

*Turnaround times*

* How quickly do you expect to provide feedback on work that students have submitted for review?
* How quickly does your student anticipate you being able to provide feedback?

*Communication between meetings*

* What medium do you prefer to communicate with students: by phone, e-mail, MS Teams/Skype, in writing?
* How quickly do you expect to respond to messages from students?
* What do they prefer and expect?

*Expectations for written work*

* Do you expect to receive students’ work all at once, or in smaller chunks?
* Do you expect their drafts to be ‘works in progress’ or more polished pieces?
* At what intervals do you expect students to submit work?
* Would you prefer to receive documents in hard copy or electronically?

Research student checklist

This document provides a checklist of the main areas of responsibility of research students:

*General responsibilities*

* an obligation to act as a responsible member of the University’s academic community*;*
* responsibility for his or her own research activity, for satisfying the requirements of the D.Phil. programme, and for giving the necessary time and effort to the programme;
* responsibility for the direction of and innovation in the research project as it develops, with the support of the supervisor(s);
* responsibility for reviewing skills and training needs on a regular basis with the support of the supervisor(s), undertaking any training agreed with the supervisor and department(s) concerned, and uploading completed Training Needs Analysis/Skills Review documents on GSR;
* responsibility for working with his or her supervisor(s), other staff and colleagues to maximise progress in his/her research degree.

*Meetings and feedback*

* Attend an initial meeting with the supervisor as soon as possible at the beginning of the degree, and agree with the supervisor the expected frequency and duration for future meetings, and arrangements for contact when either the supervisor or student is away from Oxford
* where more than one supervisor is appointed, request to meet with all supervisors together at least once per year;
* arrange to meet with the supervisor as soon as possible upon return from fieldwork or suspension;
* discuss and agree with the supervisor the most appropriate model of supervision, the type of guidance/comment which is most helpful, and the expected speed for feedback on written work;
* recognize the demands made on a supervisor’s time and the need to prepare adequately for meetings and to observe deadlines;
* accept the importance of constructive criticism within the supervisory relationship, and seek a full assessment of the strengths and weaknesses of any work;
* keep a written record of discussions with the supervisor, and give full weight to any suggested guidance and corrective action proposed;

*Research*

* define the area of research, complete the literature review, acquaint him/herself with the background knowledge needed, and produce a timetable for the completion of the research project;
* write a clear and detailed research proposal prior to embarking on the research for the thesis;
* abide by the University’s requirements with regard to plagiarism, and the legal, ethical, and health and safety guidelines related to her/his research;
* prior to embarking on empirical work or fieldwork (data collection):

1. seek approval from the supervisor;
2. where research involves human subjects, seek ethical approval via her/his department/faculty and complete the University ethical approval form(s) for submission to the Social Sciences and Humanities Inter-divisional Research Ethics Committee (IDREC) prior to undertaking data collection;
3. Undertake any necessary risk assessments and obtain travel insurance well in advance of the proposed trip, and agree a plan to remain in contact with the supervisor;
4. where necessary, apply in good time for a disclosure through the Disclosure and Barring Service (DBS) if the research involves working with children and/or vulnerable adults.

*Progress, monitoring and performance*

* in consultation with the supervisor, establish a clear timetable and programme work which is kept under regular review, and keep relevant records of all aspects of the work;
* submit written material in sufficient time to allow for comments and discussion;
* engage actively in the review process and play an active role in planning and reviewing progress;
* seek out and follow the regulations applying to the research programme, and seek clarification, where necessary;
* provide regular reports on progress where these are required (and at least once a year for the supervisor), and to inform the supervisor immediately of any circumstance which might lead to interruption of study;
* with the support of the supervisor, complete the assessed written assignments required as part of the research training programme and submit them by the dates specified;
* ensure that the standard of his or her written and spoken English is of the necessary standard for the submission of a thesis;
* allow sufficient time for writing up and pay particular attention to final proof reading;
* decide when he or she wishes to submit the thesis for examination, having provided the supervisor with sufficient time to comment on the final draft and having taken account of the supervisor’s opinion;
* (where the student feels that there are good grounds for contemplating a change of supervision arrangements) discuss this with the existing supervisor, or, if this presents difficulty, with another appropriate officer in the department, faculty or with a college adviser.

*Resources*

* make positive use of University, departmental/faculty, and college teaching and learning facilities;
* make appropriate use of any guidance available relating to the student’s career after successful completion of a research degree.

*Development and training*

* attend the required courses/training, and other appropriate courses and research training as agreed with the supervisor;
* make full use of opportunities to engage in the intellectual life of the department/faculty and the wider academic community;
* make appropriate use of opportunities for personal and professional development.

**Supervisors’ responsibilities for students undertaking fieldwork**

**Overview**

*Fieldwork*

The University has a legal duty of care to its students undertaking fieldwork. University Policies and Procedures are in place to set out how this duty of care is to be discharged. These procedures require that risks are assessed, and proportionate measures and arrangements put in place to mitigate those risks to an acceptable level.

*Responsibility*

Supervisors play a key role in this process in terms of a) ensuring risk assessments are carried out; b) ensuring their students are properly prepared for their fieldwork; as well as c) bringing their own experience and knowledge to guide, advise, assess, and check arrangements. All University employees have a legal duty to take reasonable care for the safety of those affected by their [the employees] acts or omissions. Employees, and students, are therefore expected to comply with the University’s health and safety policies. A key requirement for field trips is careful planning to reduce the likelihood or impact of something going wrong. Supervisors must therefore be able to demonstrate this planning by ensuring assessments are in place, appropriately prepared, documented where necessary, reviewed and authorised.

Specific duties of Supervisors are to:

* Be aware of relevant University Safety Policies and Departmental procedures.
* Consider the health and safety implications of any research proposal.
* Ensure their students have received training appropriate to their needs.
* Ensure that risk assessments have been made and the safety provisions relating to the work exist and have been discussed with those doing it.
* Ensure that suitable arrangements are in place for regular contact to provide support and checks on the student’s welfare while they are away.
* Review arrangements with the student after the fieldwork to identify any problems and learn any lessons

Relevant university policies, training courses and further information /resources can be found at:

* [Practical guide for fieldwork supervisors](https://www.socsci.ox.ac.uk/files/fieldworkchecksheetandpracticalguideforsupervisorstemplate100718pdf)
* [More information on fieldwork (useful links and information)](https://socsci.web.ox.ac.uk/fieldwork)
* [Fieldworker experiences case studies](https://socsci.web.ox.ac.uk/fieldworker-experiences)
* [Fieldwork training](https://socsci.web.ox.ac.uk/welcome-to-researcher-development)
* University policy statement on [Overseas travel](https://safety.admin.ox.ac.uk/overseas-travel) and [Safety in fieldwork](https://safety.admin.ox.ac.uk/fieldwork)

# Appendix I: Advancing Teaching and Learning (ATL)

The ATL programme is designed for those who have sustained teaching experience (i.e. teaching more than a just a few sessions) and who wish to develop their teaching or learning support practice in higher education. It is open to DPhil students, postdoctoral and early career researchers, academics new to teaching and professional learning support staff, amongst others. Full details of staff eligible to apply are given below.

The ATL programme is a voluntary programme designed for those engaged in teaching or supporting learning whilst undertaking the programme. The ATL programme is externally accredited by [Advance HE](https://www.advance-he.ac.uk/) and integrates the requirements for Descriptor 1 of the [UK Professional Standards Framework (PSF) for Teaching and Supporting Learning in Higher Education](https://www.advance-he.ac.uk/guidance/teaching-and-learning/ukpsf) within the programme’s activities and assessment process. By passing the ATL, participants will simultaneously be judged to have fulfilled the requirements for Descriptor 1 of the PSF and can be designated as an Associate Fellow of the Higher Education Academy (AFHEA).

DPhil students are eligible to join as long as they have:

1. attended a [Preparation for Learning and Teaching at Oxford (PLTO)](https://www.ctl.ox.ac.uk/preparation-for-learning-and-teaching-at-oxford-plto) session; and

2. have **confirmed** teaching or learning support responsibilities during the ATL programme. This can include being a teaching assistant on any course taught by the university, running revision sessions for undergraduate finalists, teaching a series of workshops/tutorials/classes, lab demonstrations, etc.

N.B. If you have substantial university teaching experience across different contexts and your current teaching role amounts to more than 15 hours across the academic year you may want to apply for the [PGCert in Teaching and Learning in Higher Education](https://www.ctl.ox.ac.uk/programmes-and-courses).

Further details and how to apply can be found on the [CTL website](https://www.ctl.ox.ac.uk/advancing-teaching-and-learning-atl#tab-2022276).

Students that are interested in ATL programme should contact the Director of Graduate Studies to discuss further.

# Appendix J: Training Needs Analysis Framework

Training Needs Analysis (TNA)

INSTRUCTIONS AND GUIDANCE

For Students:

* TNA provides an opportunity to reflect on your existing expertise and skills, and to work with your supervisor to identify your training and development needs. Be honest with your self-assessment of your current skills, and make some realistic objectives for the year ahead.
* If this is the first time you’ve carried out a TNA, think about it as a baseline and reflect on all previous relevant experiences. If this is a follow-up TNA (students in years 2 and beyond) then please provide responses to questions 1-10 relating only to the previous academic year.
* In developing your training plan and objectives, have a look at the training, courses and resources offered by your department, the Social Sciences Division, the University's Language Centre, the Careers Service, the Bodleian libraries, IT services, as well as any national and international opportunities. Information about all these can be found at ([www.socsci.ox.ac.uk/training](http://www.socsci.ox.ac.uk/training))
* Arrange a meeting to share and discuss this analysis with your supervisor.
* Upload the completed TNA to the Graduate Supervision System (GSS) once discussed and agreed with your supervisor.

For Supervisors:

Review the TNA form prior to the scheduled meeting.

* Help identify areas where the researcher could take action over the coming year to acquire and develop the skills and competencies needed to progress their research.
* Assist with selecting and prioritising concrete actions to accomplish, and suggest targets and deadlines.
* Consider training and resources available through the department, the Social Sciences Division ([www.socsci.ox.ac.uk/training](http://www.socsci.ox.ac.uk/training)), the Language Centre, the Careers Service, the Bodleian libraries and ITLP.
* Write down your comments to summarise any advice, guidance, and suggested next steps.
* Ensure the student uploads the completed TNA form to GSS following your meeting.

|  |  |  |  |
| --- | --- | --- | --- |
| Name: |  | Supervisor(s): |  |
| Department: |  |
| Funder, if applicable: |  |
| Pathway, if applicable (e.g. Area Studies, Migration, Politics): |  | Current programme of study: |  |

Please confirm that you have discussed your TNA with your supervisor.

PREVIOUS TRAINING AND WORK EXPERIENCE

|  |
| --- |
| Describe any previous professional and work experience that may be relevant to your current research plans and topic of study. |
|  |

1. WRITING AND PUBLICATIONS:

|  |  |
| --- | --- |
| What writing experience/skills have you developed? List any report writing, policy briefs, academic publishing, blogging, book reviewing, funding proposals, peer reviewing and journal editing experience. | Alongside your dissertation, what other publication and writing projects do you plan to pursue? What training or support would help you do so? |
|  |  |

2. COMMUNICATION SKILLS AND PUBLIC ENGAGEMENT:

|  |  |
| --- | --- |
| To date, what opportunities have you had to develop your communication skills? How much experience do you have of oral presentations, conferences, outreach and public engagement activities? Have you participated in any language, presentation or media training or in other communication activities relevant to your research? | What specific opportunities should you seek in order to develop your confidence and communication skills? Are there further opportunities you should seek to improve your language skills? What training might you need to do so? |
|  |  |

3. NON-ACADEMIC ENGAGEMENT AND KNOWLEDGE EXCHANGE:

|  |  |
| --- | --- |
| What experience have you had of working with business, public-sector, or civil-society organisations? Have you been involved in 'knowledge exchange' activities (e.g. advisory work, collaborative research with non-academic partners, placements, briefings etc?) How have these strengthened your skills and/or your networks? | Think about ways your research could contribute to society or influence work being done outside of academia. Are there any connections you need help in brokering? What skills or training might you need? |
|  |  |

4. TEACHING:

|  |  |
| --- | --- |
| What teaching experience, if any, have you gained (e.g. tutorials, one-off courses)? Do you have a teaching accreditation or relevant experience from training or the workplace? | What teaching and supervisory experience would be beneficial? |
|  |  |

5. BIBLIOGRAPHIC AND COMPUTING SKILLS:

|  |  |
| --- | --- |
| What research and technical skills have you acquired to date? Please include details, such as bibliographic tools, statistical software, as well as research and data management. | What specific opportunities should you seek to improve your skills in this area over the year(s) ahead? |
|  |  |

6. TRAINING IN RESEARCH DESIGN AND METHODOLOGY:

|  |  |
| --- | --- |
| What research methods skills have you acquired, either as part of a formal research training degree or in other forms? List content from your previous or current degree programme as relevant. | What research and methods training are you planning (or required to complete) over the coming year? Are there specific skills you think you will need help with, or that you would like to develop further? |
|  |  |

7. ETHICS AND RESEARCH INTEGRITY

|  |  |
| --- | --- |
| What, if any, training have you received on research ethics, research integrity and/or fieldwork risk assessments? | What ethical and safety issues will your research raise? What skills or training might you need? |
|  |  |

8. FIELDWORK

|  |  |
| --- | --- |
| To date, have you carried out any fieldwork? Have you received training on safety in fieldwork and risk assessments? | What ethical and safety issues will your fieldwork raise? What skills or training might you need? |
|  |  |

9. FUNDING, RESEARCH LEADERSHIP & PROJECT PLANNING

|  |  |
| --- | --- |
| Have you applied for small grants, fellowships or other funding? (e.g. equipment grants, conference travel, early career fellowships)? Have you organised seminars/conferences or edited journals? Have you designed or managed projects outside of your DPhil? | What opportunities do you plan to gain in these areas during this year and throughout your DPhil? Do you have ideas for new research initiatives or collaborations? What skills or training might you need? |
|  |  |

10. PROFESSIONAL AND PERSONAL EFFECTIVENESS

|  |  |
| --- | --- |
| What do you see as your particular professional and personal strengths? (e.g. team working, managing projects/people, leadership, bridging between academia and policy/practice, communication). | Are there areas of personal effectiveness you would like to develop? What are these and how best can you pursue this? |
|  |  |

AND FINALLY, YOUR CURRENT CAREER ASPIRATIONS (IF YOU KNOW!)

|  |
| --- |
| Where do you see yourself working in 3 to 5 years? What do you hope to achieve in your career in the long-term? What would help you to gain more clarity in your career plans? This will help your supervisor understand and support your current plans. |
|  |

RESEARCH AND TRAINING OBJECTIVES FOR THE COMING YEAR

(TO BE COMPLETED WITH YOUR SUPERVISOR)

SUBJECT AND RESEARCH METHODS TRAINING AND OBJECTIVES FOR THIS YEAR

|  |
| --- |
|  |

OTHER TRAINING AND PROFESSIONAL DEVELOPMENT OBJECTIVES FOR THIS YEAR

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| --- |
|  |

OTHER COMMENTS, THOUGHTS, CONCERNS?

|  |
| --- |
|  |

# Appendix K: Oxford University Research Archive (ORA) and Digital Publication of Theses

The University of Oxford is committed to the widest dissemination of research theses produced by its graduate students. The Oxford University Research Archive (ORA) is an online archive of research output including theses created in fulfilment of Oxford awards, produced by graduate students at the University of Oxford.

ORA provides maximum visibility and digital preservation for Oxford digital theses. Students should read the important information about the deposit of, and access to, digital theses which is available at <http://ox.libguides.com/digitaltheses> and includes:

* Legal requirements (including funder mandates) and author responsibilities
* When to deposit the digital copy of your thesis
* How to deposit the digital copy of your thesis
* Options for open access and embargos. Theses, or parts of theses, can be embargoed for reasons such as sensitive content, material that would affect commercial interests, pre-publication or legal reasons
* Information about file formats, fonts and file sizes

*DPhil, MLitt, MRes and MSc (by Research) Degrees*

All students following the DPhil, MLitt, or MSc (by Research) who registered for the DPhil from 1 October 2007 onwards, are required to deposit both a hardbound and a digital copy of their thesis with the Bodleian Libraries. Please be aware that this is a condition for award of the degree, and it is enforced. The digital copy should be deposited into ORA at <http://ora.ox.ac.uk> after Leave to Supplicate (LTS) has been granted. Students who commenced these degrees before October 2007 must deposit a hardbound copy but may also optionally submit a digital copy.

Copyright of the thesis usually rests with the author: this does not change when depositing your thesis in ORA. The author does not give away any rights to the Oxford University Research Archive or the Bodleian Libraries. However, students should read the information on third party copyright at:

<http://ox.libguides.com/aecontent.php?pid=435474&sid=3564761>

*Third party copyright*

If material has been incorporated within the thesis where copyright is held by an individual or group that is not the author (third party copyright) permission will be needed to make such material freely available on the Internet. It is best to obtain such permission when sourcing the material. Proof of permission will need to be provided when depositing the thesis in ORA (e.g., e-mail or letter). Authors should contact ORA staff ([ORA@bodleian.ox.ac.uk](mailto:ORA@bodleian.ox.ac.uk)) if they are unsure. A useful template to keep track of permissions for use of third-party copyright materials is available for download at:

<http://ox.libguides.com/aecontent.php?pid=435474&sid=3564761>

Further information or queries about depositing digital theses should be addressed to [ORA@bodleian.ox.ac.uk](mailto:ORA@ouls.ox.ac.uk).

*The Social Sciences Division – Restricted access arrangements*

Whilst the Social Sciences Division strongly supports open access to, and wide dissemination of, theses produced by its students, access to the full text of digital theses can be restricted unless requirements of funding bodies require open access to be provided earlier (see below). When completing the ORA online deposit form authors should therefore indicate whether they would like an embargo (currently a choice of one year or three years) or to make their thesis available immediately. For example, if the author’s funding specifies an earlier release date. There is no need to complete a separate GSO3.C Dispensation from Consultation form at the time of deposit.

If an embargo is chosen at the time of deposit, only the following information from your thesis will be available in ORA for the duration of the embargo:

(i) Item record (details including your name, thesis title, subject area) and

(ii) Abstract and

(iii) Full text search for single words or short passages of text.

At the time of deposit an author may request permanent closure in ORA under the following circumstances:

(a) For digital material where copyright is held by a third party and permission to disseminate it via the Internet in ORA has not been granted by the copyright holder, the Oxford Internet Institute will grant permission for the copyright material to be deposited as a separate file from the thesis, on the understanding that the thesis will be available for consultation or reproduction but access to the copyright material will be restricted.

(b) Where confidential material forms only a small part of a thesis and the force of the thesis will not be seriously impaired by the removal of such material, the Oxford Internet Institute may grant permission for the access to the confidential material to be closed on the understanding that the thesis will be available for consultation or reproduction but access to the confidential material will be restricted.

Authors can also choose to override any requested embargo and make their thesis open access, either at the time of deposit or at any time during the embargo. Authors who wish to make their thesis freely available on deposit should indicate this on the online ORA deposit form. Once the embargo is in place, students wishing to end it early should e-mail [ORA@bodleian.ox.ac.uk](mailto:ORA@bodleian.ox.ac.uk) . It is not recommended for those planning to publish their research as a book or article to make their thesis openly available in ORA without first discussing this matter with their supervisor and consulting potential publishers to ascertain their policy. The embargo will be automatically lifted when it expires, and it is the responsibility of the author to apply for an extension, prior to expiry, if required. No reminder will be sent by the Department/Faculty, the Bodleian Libraries or ORA staff, and it will be assumed that the full text can be released if an application for Dispensation from Consultation form is not submitted (see below).

If you are in receipt of research funding the following may apply:

The Terms and Conditions of Research Council Training Grants (<https://www.ukri.org/wp-content/uploads/2021/03/UKRI-150321-TrainingGrantsTermsConditions-Mar2021.pdf>)

require that metadata describing the thesis should be lodged in ORA as soon as possible after leave to supplicate has been granted, and for the full text version to be available within a maximum of twelve months. The Division has therefore agreed that the full-text of RCUK-funded students’ theses should be made available within one year of leave to supplicate being granted.

Students funded by any other external body should be aware of, and also abide by, the terms and conditions for open access defined by their funder. Where there are discrepancies, the funding body’s requirements should supersede any embargo preferred by the student at the point of deposit.

*Dispensation from consultation of your thesis – The Bodleian Libraries and ORA*

(i) Authors may apply for dispensation from consultation beyond the end of an embargo period (or other period specified by their funding body) of the copy of the thesis deposited in the Bodleian or other University Library and/or of the electronic copy of the thesis deposited in ORA if there is good reason for such a request. Reasons for requesting dispensation might include Intellectual Property considerations: that consultation or reproduction would put at risk confidential material or invalidate an application for a patent on a product or process described in a thesis. Students are advised to be particularly mindful of the terms of any agreements with an outside body or sponsor governing supply of confidential material or the disclosure of research results described in the thesis.

(ii) Dispensation will always be granted (a) in cases where confidentiality has been made a condition of access to materials that are subsequently incorporated in a thesis and (b) for material where copyright is held by a third party and permission to disseminate it via the Internet has not been granted by the copyright holder. Students should apply for dispensation by completing form GSO.3C, available at:

<http://www.ox.ac.uk/students/academic/guidance/graduate/progression>

Dispensation from consultation is granted by the department/faculty not the Bodleian Libraries or ORA staff. If you need any help with progression forms, please contact your Graduate Studies Assistant at [graduate-studies-2@socsci.ox.ac.uk](mailto:graduate-studies-2@socsci.ox.ac.uk).

*Journal articles included within the thesis*

Authors sometimes include published journal articles within their theses. Authors needing to include such articles as part of the e-thesis can make the article freely available only in compliance with copyright and any sponsor permissions. See [www.sherpa.ac.uk/romeo.php](http://www.sherpa.ac.uk/romeo.php) for guidance or ask ORA staff ([ORA@bodleian.ox.ac.uk](mailto:ORA@bodleian.ox.ac.uk)).

*Plagiarism*

Making the thesis open access increases its visibility, gains recognition for the author and certifies them as author of the work. It can also give rise to concerns about increased risk of plagiarism. However, when work is available open access, plagiarism is easier to detect (by using a web search engine).

*General Queries*

Any further information or queries regarding the deposit of your digital thesis, should be referred to [ORA@bodleian.ox.ac.uk](mailto:ORA@bodleian.ox.ac.uk).

# Appendix L: OII Student Hardship Fund Guidelines

The OII operates a small fund to support students who experience financial hardship that could not have been anticipated at the start of their course.

Students must demonstrate the following in their application:

* How their financial situation has changed since their studies began.
* Why these changes are unexpected and could not have been predicted at the start of their course.
* What steps they are taking to find alternative funding. Students in the early years of a multi-year course must demonstrate that they have thought about how they are going to fund the rest of their studies.

*Eligibility*

The purpose of the fund is to provide support to students experiencing genuine and unforeseeable financial hardship. The fund is not intended to support discretionary or routine academic expenses such as conference or research travel, or book or equipment purchases.

Because resources are limited, students are asked, where eligible, to apply to their college hardship fund (<https://www.ox.ac.uk/students/fees-funding/assistance/hardship/colleges?wssl=1>) and the University Hardship Fund (<https://www.ox.ac.uk/students/fees-funding/assistance/hardship/uhf?wssl=1>) before applying to the OII’s Hardship Fund.

Awards will **not be made** to the following groups of students:

* students who have not convinced the committee that they are experiencing financial hardship;
* Recognised Students or visiting students;
* research students who have submitted their thesis. This includes students who are resubmitting;

Awards will **not normally be made** to the following groups of students unless there are exceptional circumstances:

* students who are not currently enrolled. This includes suspended and lapsed students;
* students whose situation is financially unsustainable. Students need to demonstrate that they have a plan to secure sufficient funding for the remainder of their course.
* students who have already received assistance from the OII Hardship Fund;
* students who are applying for assistance with a funding deficit in the next academic year. These students should apply at the start of the next academic year;
* research students who are beyond their fourth year of study.

*How does the OII define unexpected circumstances?*

The following circumstances are not considered unexpected and will not normally result in an award:

* research students whose funding has run out before they are ready to submit their thesis. The Committee does not normally consider this to be sufficient grounds for an award as it is expected that students will have made provision for the realistic length of their studies and to be making academic progress in accordance with expectations. However, the Committee is able to use its discretion in deciding individual cases where research has been disrupted for reasons beyond a student’s control;
* students who have been affected by fluctuations in exchange rates. The Committee will generally only consider awards where this has been catastrophic or combined with other circumstances;
* students applying for financial assistance with their research and/or fieldwork. These are normally part of projected course costs so are not considered unexpected;
* students who are unable to obtain paid employment unless a contract was in place prior to commencing their studies;
* students who require assistance with childcare costs, where this has not been taken into account at the start of their studies. It is expected that all students will have made reasonable provision for themselves and their family before beginning their studies. Assistance cannot be given to students who need to increase their childcare because they have underestimated their study needs;
* students who need assistance in repaying debts which were in existence prior to start of their course. It is expected that students will have taken these into account when deciding whether they can afford their studies;
* assistance will not normally be given to support maternity or paternity leave for children born whilst a student is on course, unless there are exceptional circumstances;
* students who require funding to replace damaged or stolen belongings will be expected to report losses to the police and make any insurance claims before applying to the Hardship Fund. Please note that where an award is made to fund repair or replacement costs these are likely to be capped, and may not cover a like-for-like replacement;
* students whose parents or sponsors have allegedly withdrawn their support unless there are exceptional circumstances.

*How to apply*

Students should send their application in writing to the Graduate Studies Manager. In their application, the student should explain how their financial circumstances have changed since the start of their course, why this is a source of legitimate financial hardship, why these changes could not have been anticipated at the start of their course, and how they plan to ensure that the remainder of their studies are financially sustainable. Applications should also include supporting evidence to establish the case for hardship, and confirm that an application has already been made to the College and University hardship funds (or explain why not).

*Awards and assessment*

Applications are considered on a first-come, first-served basis by a committee consisting of the Director of Graduate Studies and the directors of each of the OII’s four degree programmes. Applications will be assessed against the eligibility criteria noted above.

Applications are assessed using all expected available savings and income for the current academic year, against the University’s standard estimates of the lower range living costs for a single student studying at Oxford in the current academic year. The Committee regards these figures as a guideline and will treat them with as much latitude as it sees fit in any particular case. It is expected that students whose expenses are particularly heavy, for whatever reason, will clearly draw the Committee’s attention to this fact in their application.

If appropriate and relevant to the case a student may need to provide medical evidence and details of any disability support.

The Committee has some flexibility over the level and type of award that can be offered to students, but the following points should be taken into consideration:

* awards will usually take the form of a grant, but may be offered as a loan in circumstances where the committee deems this appropriate;
* students in their final year will normally be given a loan as it is expected that they will be able to take on paid employment on completion of their studies.